

# 2016 ANNUAL ACTION PLAN

Housing and Community Development Department  
*Neal Rackleff, Director*

July 1, 2016 - June 30, 2017

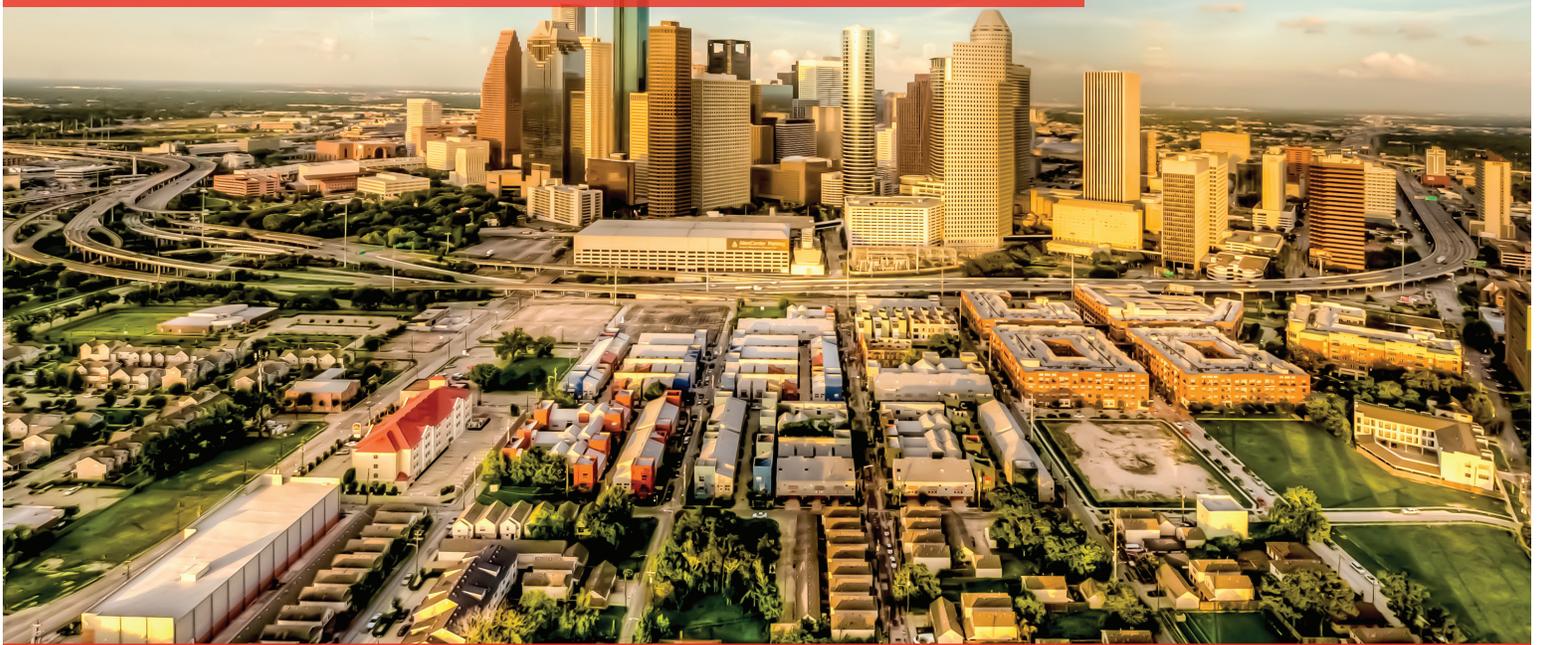


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# DRAFT 2016 ANNUAL ACTION PLAN



CITY OF HOUSTON

SYLVESTER TURNER, MAYOR

HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT

NEAL RACKLEFF, DIRECTOR

DRAFT MARCH 2016

THIS DOCUMENT IS SUBJECT TO CHANGE PENDING HUD APPROVAL

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## Executive Summary

### *ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)*

#### 1. Introduction

**Overview.** The 2016 Annual Action Plan (Plan) represents the second year of the 2015-2019 Consolidated Plan approved by the U. S. Department of Housing and Urban Development (HUD) on July 22, 2015. This Plan is the City of Houston (City) Housing and Community Development Department's (HCDD) official application for HUD entitlement grants and proposes programs and services to be funded during the City's Fiscal Year (FY) 2017/ HCDD's Program Year (PY) 2016 (July 1, 2016 - June 30, 2017). There are four sources of federal program funds in this application

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grants Program (ESG)

According to HUD, the primary objectives of the entitlement funds are to increase the availability/accessibility, affordability, and sustainability of decent housing, suitable living environments, and economic opportunity for low- and moderate-income Houstonians. As in the past several approved Consolidated Plans, the 2015-2019 Consolidated Plan funding priorities are divided into four categories designed to benefit low- and moderate-income Houstonians

- Affordable housing
- Supportive services
- Public improvements and infrastructure
- Economic development

The objectives of the 2015-2019 Con Plan are to

- Improve housing opportunities by creating and preserving decent, safe, affordable rental and homeowner housing
- Expand sustainable homeownership opportunities for low- and moderate-income families
- Meet the needs of persons with HIV/AIDS and their families, through the provision of housing, health, and support services
- Reduce homelessness by assisting individuals and families to stabilize in permanent housing after experiencing a housing crisis or homelessness, by providing appropriate housing and supportive service solutions
- Enhance quality of life for residents by ensuring access to appropriate services
- Strengthen neighborhoods by investing in infrastructure, enforcement of safety codes, and public facilities that maximize impact by providing access to services
- Enhance the City's economic stability and prosperity by increasing opportunities for job readiness and investing in economic growth to increase the number of jobs or goods and services available
- Promote and ensure fair access to housing for all residents

Priorities and objectives from the 2015-2019 Consolidated Plan align directly with HCDD's primary initiatives which are to: Eliminate Homelessness, Revitalize Communities, Foster Community Economic Development and Enhance the Quality of Life.

For each of the five years of the Consolidated Plan, the City is required to prepare an Annual Action Plan to inform citizens and HUD of the City's intended actions during that particular year. At the end of each program year, the City must prepare a Consolidated Annual Performance and Evaluation Report (CAPER) to provide information to HUD and citizens about that year's accomplishments towards achieving the goals and objectives identified for that year.

**Importance of Annual Action Plan.** In the Annual Action Plan, HCDD provides a concise summary of specific actions that will take place during the program year to address the priority needs and goals identified in the Consolidated Plan.

The 2016 Annual Action Plan

- Reports on the intended use of funds received by the City of Houston from HUD for PY 2016
- Explains HCDD's method for distributing entitlement funds
- Provides an opportunity for public input in the development of the annual plan

## 2. Summarize the objectives and outcomes identified in the Plan

**Annual Objectives.** The Plan identifies programs and activities that will be used to meet HCDD's goals. As required by HUD, each activity is linked to one of three federal program objectives: 1) decent housing, 2) suitable living environment, or 3) economic development. Each objective is matched with one of three outcomes: 1) availability/accessibility, 2) affordability, or 3) sustainability. The annual activities that will enable HCDD to achieve these objectives and outcomes in PY 2016 are listed below.

### Decent Housing

Making decent housing more available, accessible, affordable, and sustainable for low- and moderate-income residents through

- Acquisition, rehabilitation, and new construction of multifamily properties
- Lead-based paint testing and abatement activities
- Services to HIV/AIDS populations
- Homelessness prevention and rapid rehousing

### Suitable Living Environment

Making suitable living environments more available, accessible, affordable, and sustainable for low- and moderate-income residents through

- Building/rehabilitating neighborhood facilities
- Code enforcement
- Juvenile, youth, and childcare programs
- Supportive and transitional housing
- Support services for populations with special needs (e.g. elderly, persons with disabilities)
- Services for the homeless
- Health care education and services

### Economic Development/Opportunities

Increasing access to economic opportunity for low- and moderate-income communities through

- Expansion of business products based on community need
- Small business development services
- Job training and employment services for low- and moderate-income persons

### 3. Evaluation of past performance

**Past Performance.** During the last consolidated planning period, HCDD achieved many of the goals set for the period of July 1, 2010 to June 30, 2015. The following table outlines the goals and outcomes of the past five-year period, ending June 30, 2015. Accomplishments from the first year of the 2015-2019 Consolidated Plan will be in the 2015 CAPER available in the fall of 2016.

**Table 1 – 2010-2014 Consolidated Plan Goals and Accomplishments**

Goal Name	5 Year Goal	Accomplishments	Percent of Goal Accomplished
Preserve existing housing stock	Homeowner Units: 676 Relocation: 260	Homeowner Units: 221 Relocation: 55	Homeowner Units: 33% Relocation: 21%
Address and reduce exposure to lead hazards	Units Abated/Remediated: 1,020	Units Abated/Remediated: 1,171	Units Abated/Remediated: 115%
Increase supply of affordable rental housing	Rental units: 1,250	Rental units: 1,057	Rental units: 85%
Increase affordable homeownership	Households: 1,050	Households: 594	Households: 57%
Provide assistance to persons affected by HIV/AIDS	Households: 19,740	Households: 19,063	Households: 97%
Prevent homelessness and support rapid re-housing	Persons and Households: 11,147	Persons and Households: 14,166	Persons and Households: 127%
Provide youth services	Persons 57,900	Persons: 77,723	Persons: 128%
Provide health services	Persons: 15,824	Persons: 34,121	Persons: 216%
Provide public services	Persons: 49,314	Persons: 63,017	Persons: 128%
Provide elderly services	Persons: 11,894	Persons: 16,840	Persons: 142%
Provide homeless services	Persons: 133,140	Persons: 215,499	Persons: 162%
Improve neighborhood facilities	Public facility or infrastructure activity: 30	Public facility or infrastructure activity: 29	Public facility or infrastructure activity: 97%
Maintain neighborhood integrity	Housing Unit: 43,960 Sites: 265	Housing Unit: 117,503 Sites: 1,262	Housing Unit: 267% Sites: 476%
Provide job services for persons with disabilities	Persons: 830	Persons: 1,280	Persons: 154%
Provide job services for low- and mod-income persons	Persons: 892	Persons: 754	Persons: 85%
Provide loans for small businesses	Businesses: 85 Jobs: 75	Businesses: 83 Jobs: 107	Businesses: 98% Jobs: 143%
Provide Technical Assistance to Small Businesses	Businesses: 3,000	Businesses: 3,200	Businesses: 107%
Assist businesses to provide increase service in LMI areas	Business: 1	Businesses: 1	Businesses: 100%

During the past five years, several goals were revised from the original goals set in the 2010-2014 Consolidated Plan to

- Provide a more accurate goal as some goals were originally misrepresented
- Better align with HUD reporting guidance like reporting code enforcement site visits instead of citations issued
- Represent new goals outlined in new funding sources such as with the change from the Emergency Shelter Grant to Emergency Solutions Grant
- Amend goals that were no longer achievable during the period due to unforeseen occurrences

Despite goal revisions, some activities did not meet the five-year goal set. This is partly due to a 30% decrease in CDBG funds and a 50% decrease in HOME funds during the last five year period. In addition to severe funding cuts, programmatic changes and market conditions also contributed to some activities not meeting the five-year goal.

When HCDD was awarded more than \$150 million dollars through the CDBG-Disaster Recovery Round II (CDBG-DR2) program, HCDD shifted staff capacity needed to address disaster related single family home repair activities from CDBG funded activities. Since support was directed toward CDBG-DR2, CDBG entitlement funding was not used for single family home repair activities during part of the past five year period. This is reflected in the number of units completed and number of households relocated, which both fell short of the goal. HCDD staff is still working to complete 275 repairs or reconstructions of single family homes using CDBG-DR2 and Tax Increment Reinvestment Zone (TIRZ) funding.

The rental housing goal was not met during the past five years because Mayor Annise Parker made a commitment to end chronic homelessness by 2015. Partnering with the Coalition for the Homeless, the Continuum of Care, the Houston Housing Authority (HHA), and many other social service organizations, HCDD focused resources on developing permanent supportive housing units, which need more funding assistance for long term supportive services compared to rental housing for other low- and moderate-income populations. In addition, HOME funding was cut by 50% during the prior consolidated planning period, tremendously lowering the funding available to address rental housing needs. These issues contributed to the shortfall in the rental housing goal.

The goal for assisting homebuyers was also not met partly due to slowed market conditions during the first two years of the planning period, followed by a period with low inventory, high demand, and rising home prices. The Homebuyer Assistance Program was revised and funding sources changed from HOME to CDBG, and further programmatic revisions are expected during PY 2016, to increase incentives and further lower the cost of a homeowner unit, as home prices continue to remain high.

Even though a few goals were not met, HCDD was able to come close or exceed almost all of its five-year goals during the previous five-year period. HCDD will continue to utilize federal funding to address affordable housing and provide services to low- and moderate-income Houstonians.

#### 4. Summary of Citizen Participation Process and consultation process

The Housing and Community Development Department (HCDD) is responsible for producing the 2016 Annual Action Plan. In this capacity, HCDD works with a number of City departments, the Houston Housing Authority, non-profit organizations, and other stakeholders to ensure that the planning process is both comprehensive and inclusive. HCDD solicits information from other City departments, the private sector, non-profit organizations, neighborhood-based organizations, and residents, regarding existing conditions and strategies for addressing current needs.

HCDD recognizes that Houston residents are the center of, and partners in, the development and execution of the 2016 Annual Action Plan. HCDD aggressively seeks and provides opportunities for residents to become involved in the development of the Annual Action Plan. Opportunities for citizen input are provided throughout the planning process through

- Publications and postings
- Public hearings
- 2016 Community Needs Survey

In October 2015 and January 2016 HCDD formally met with its Community Development Advisory Council (CDAC). Following the consultation requirements in consolidated planning regulations (*§91.100 Consultation: local governments*), the CDAC is made up of public and private agencies that provide assisted housing, health services, and social services, community-based and regionally-based organizations that represent protected class members,

and organizations that enforce fair housing laws. CDAC members provide meaningful information to strengthen HCDD's planning and outreach process. The CDAC has been instrumental in planning and implementing citizen and stakeholder participation strategies.

### **5. Summary of public comments**

A summary of citizen comments on the 2016 Annual Action Plan, along with HCDD's responses, will be available in the Appendix of this final document.

### **6. Summary of comments or views not accepted and the reasons for not accepting them**

This section will be updated after the 30-day public comment period is complete.

### **7. Summary**

The 2016 Annual Action Plan represents detailed activities for the second year of the 2015-2019 Consolidated Plan. During PY 2016, HCDD will build upon past experience and strategies striving to meet the five year goals set in the Consolidated Plan and updated in Annual Action Plans. HCDD staff will continue to focus on using data-driven approaches and utilizing various citizen and stakeholder participation techniques, to validate the needs set in the 2015-2019 Consolidated Plan.

Although, the 2016 Annual Action Plan marks the fourth Plan that has employed the ConPlan Template in the Integrated Disbursement and Information System (IDIS) – HUD's entitlement funding reporting database, the 2015-2019 Consolidated Plan was the first time that all aspects of the new online system will be functional. Only after the 2015 CAPER is completed in September 2016 will Houston have completed all aspects of the Consolidated Plan, Annual Action Plan, and CAPER in the ConPlan Template for the first time.

HCDD continues to make great strides in addressing the community's needs and the Consolidated Plan priorities, through community involvement, evaluating past performance, and responding to past experience with improved efficiency.

***PR-05 Lead & Responsible Agencies - 91.200(b)***

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table 2 – Responsible Agencies

Agency Role	Name	Department/Agency
LEAD AGENCY	HOUSTON	HCDD

**Narrative**

The City of Houston Housing and Community Development Department (HCDD) serves as the lead agency responsible for administering the programs covered by this 2016 Annual Action Plan, which include

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grants Program (ESG)

**Consolidated Plan Public Contact Information**

For questions regarding the 2016 Annual Action Plan, please contact the City of Houston Housing and Community Development Department (HCDD) at (832) 394-6266 or submit your comments to

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**AP-10 Consultation – 91.100, 91.200(b), 91.215(l)****1. Introduction**

The City of Houston (City) recognizes that partnerships with public and private entities are vital to the provision of effective services to the Houston community. Each strategy prioritized by the City of Houston is only accomplished through effective collaborations with community partners. These partners provide the expertise needed to ensure quality service provision, housing development, and neighborhood revitalization efforts.

In preparation for the 2016 Annual Action Plan, HCDD performed outreach to both citizens and other stakeholders. These stakeholders included people who work with low- and moderate-income persons, persons with special needs, or persons of protected classes, and those who work in low- and moderate-income areas.

Coordination and consultation with public and private agencies is important to the City of Houston when developing the Annual Action Plan. HCDD will continue to work with a number of City departments, the Houston Housing Authority, major non-profit organizations, and other stakeholders, to ensure that the planning process is both comprehensive and inclusive. HCDD secures information from stakeholders and residents regarding existing conditions and strategies for addressing current needs.

With respect to economic development, HCDD coordinates with private industry, businesses, developers, and social service agencies. For instance, HCDD will continue to work with the Houston Business Development, Inc. (HBDI) and the Houston Redevelopment Authority (HRA) to enhance small businesses through business lending and expand services in low- and moderate-income areas like building new grocery stores.

In PY 2016, HCDD plans to continue to obtain meaningful input to strengthen programming and activities during the consolidated planning process. HCDD will

- Continue to reach out to and consult with organizations listed in the table titled “Agencies, groups, organizations who participated” in this section of the Plan
- Seek new opportunities for collaboration and consultation to find innovative approaches to addressing pressing community issues and fair housing impediments
- Participate in the process and advance community strategies to end chronic homelessness in Houston
- Host meetings with members of the Community Development Advisory Council (CDAC) to improve HCDD’s citizen and stakeholder outreach methods

**Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

The City of Houston strives to partner with public and private agencies to ensure funding priorities are in line with current community development goals. HCDD is involved in many community collaborations with an aim to enhance coordination between housing and service providers in order to better serve the community.

HCDD continues to lead a community-wide effort to create deeply subsidized affordable housing units that are linked to mainstream and social supports, including primary and behavioral health care and housing in partnership with the City’s Health and Human Services Department, Harris County Community Services Department, Houston Housing Authority, Harris County Housing Authority, Houston Housing Finance Agency, and the Coalition for the Homeless of Houston/Harris County. This effort is being accomplished through the joint solicitation of proposals and a pipeline committee comprised of partner agencies. This partnership, between housing and service providers, will allow supportive housing units to receive both the appropriate rental subsidies, as well as service funds necessary to house the target population. HCDD and the Mayor Parker’s Office hosted and staffed this pipeline committee on behalf of the CoC.

As a member of The Ryan White Planning Council, HCDD works with medical service providers to coordinate the housing and service needs of persons affected by HIV/AIDS. HCDD has a seat on the Priority and Allocations Committee, a subcommittee of the Ryan White Planning Council. HCDD staff members meet at least twice a year with the current funded HOPWA providers to ensure that funding is being allocated and distributed in the most efficient way possible to benefit those need. Finally, HCDD staff members meet every month along with a funders work group, made up of housing and service providers, to ensure that services and housing goals are aligned.

HCDD will continue to seek out opportunities to strategize with a variety of groups to address short-term and long-term community needs. In addition, HCDD staff will meet with community groups and agencies throughout the year to solicit input regarding HCDD programs and to plan future activities.

In addition, HCDD will continue stakeholder engagements such as those listed in the following table "Agencies, groups, organizations who participated". HCDD will also host public and private housing providers with private and government service agencies at periodic meetings of the Community Development Advisory Council (CDAC). CDAC meetings will enhance the exchange of information between housing and service providers and plan for future coordination.

**Describe the coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

HCDD continues to work closely with the Continuum of Care (CoC) and the CoC Lead Agency, The Coalition for the Homeless of Houston/Harris County (Coalition). HCDD holds a voting seat on the CoC Steering Committee. The CoC Steering Committee voted to align with the four goals outlined in the federal strategic plan to end homelessness, which includes a goal to end chronic homelessness by 2016 and youth and family homelessness by 2020. To achieve this goal, the CoC has been actively receiving HUD technical assistance through the OneCPD Initiative. The Special Assistant and the Deputy Special Assistant to the Mayor for Homeless Initiatives are two full-time staff positions at the City of Houston charged with developing strategies in coordination with local partners to end chronic homelessness in Houston. These two staff members along with HCDD staff have taken a lead role in the local planning efforts related to several larger federal initiatives including the HUD/USICH (United States Interagency Council on Homelessness) Dedicating Opportunities to End Homelessness Initiative and the Mayor's Challenge to End Veteran Homelessness.

With less than 250 units needed to meet the community goal, HCDD and the Mayor's Office continue to lead the collaborative effort to develop 2,500 units of permanent supportive housing for the chronically homeless, cultivation of a new integrated care supportive housing service model, and initiating the implementation of a coordinated access system to systematically identify and prioritize the most vulnerable chronically homeless persons for placement into permanent supportive housing. Each of the outlined activities includes consultation and collaboration with a variety of stakeholders, including: homeless housing and service providers, public housing authorities, Harris and Fort Bend Counties, Harris Health System, Mental Health and Mental Retardation Authority (MHMRA), VA Medical Center, Houston Police Department, Harris County Sheriff, and the local HUD field office. HCDD has participated in a collaborative planning process with community partners to generate a financial model and create an implementation plan to support this initiative. This plan called for partners to dedicate resources over a period of several years to work cooperatively to vet, fund, and shepherd the permanent supportive housing projects needed. These commitments include resources for capital, operating, and service funding ensuring that all are fully subsidized and capable of meeting the model standards and the needs of the target population. Capital projects are currently in development and those that have completed development are currently leasing.

Progress is being made to fully implement the coordinated access system. During this past year, the Continuum of Care expanded coordinated access to six additional locations and is working with HCDD to host a call center which

would bring the coordinated access system to scale. The coordinated access system also continues to interface with Harris County Jail and many hospital emergency rooms to ensure homeless individuals are connected to permanent housing options regardless of where they connect with the human services system.

In addition to the collaborative efforts to end chronic homelessness, HCDD has actively participated in planning efforts to standardize and target homelessness prevention and rapid re-housing services. This has included updating program standards and common system outcomes, in partnership with Harris and Fort Bend Counties, the City of Pasadena, as well as the Texas Department of Housing and Community Affairs. In 2015, all parties launched a new system-wide rapid rehousing program and have rapidly rehoused over 218 households comprised of 655 individual people.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The four local jurisdictions comprise the CoC (City of Houston, Harris County, Fort Bend County, and City of Pasadena, and the Coalition for the Homeless of Houston/Harris County (Coalition)) continue to meet regarding implementation of ESG changes associated with the HEARTH Act. These meetings are referred to as the Public Funders Workgroup, a group within the CoC structure which ensures that all members of the CoC are targeting funding toward continuum-wide goals. This group assists in updating and maintaining standards for both the provision of assistance and performance. These continuum-wide performance measures are used to evaluate performance and determine resource allocations based on data from HMIS. Agencies receiving funding from HCDD must receive verification of participation in this system as a threshold requirement for funding. In the CAPER, HCDD will evaluate and include information from HMIS about the outcome of projects and activities assisted with ESG funds.

The strategy developed in the Houston area for ESG homelessness prevention funds prioritizes people who are at-risk of homelessness and who have experienced homelessness in the past. The CoC has developed a prioritization tool for homelessness prevention subrecipients of ESG funds. Families and persons in domestic violence situations are prioritized as those most at-risk of becoming homeless and in need of homelessness prevention assistance to achieve housing stability. The City of Houston, Harris County, Fort Bend County, City of Pasadena, and the Coalition for the Homeless of Houston/Harris County, coordinated ESG homelessness prevention and rapid re-housing strategies through the CoC.

ESG activity priorities will continue to be homelessness prevention and rapid rehousing. Rapid rehousing activities will target those that: are first time homeless, have few recent episodes of homelessness, are part of a family that is homeless, and are fleeing/attempting to flee domestic violence.

HCDD plans to continue funding the Coalition in support of the operation and administration of HMIS. In addition, HCDD works with the Coalition and the CoC to ensure that policies and procedures are aligned with HUD requirements. As HCDD continues to develop its strategy for addressing funding priorities under the HEARTH Act, all work has been and continues to be done in coordination with the Coalition for the Homeless, the CoC - including its committees and workgroups, and other adjacent jurisdictions. Partners are actively working to align and braid resources across the jurisdictions in support of a single, standardized rapid rehousing and prevention model, with the ultimate goal of leveraging substantial private investment in the coming years to substantially increase the number of homeless families to be served.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities**

**Table 3 – Agencies, groups, organizations who participated**

Agency/Group/Organization	Agency/Group/Organization Type	What section of the Plan was addressed by Consultation?	How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination?
Houston Housing Authority (HHA)	PHA	Public Housing Needs Homeless Strategy	<p>HCDD consulted with HHA staff regarding the Public Housing sections of the Plan. HCDD and HHA work together on a variety of issues and initiatives.</p> <p>To address homeless needs identified in the Consolidated Plan, HCDD has consulted with stakeholders in the PSH Planning Group, which coordinates funding of permanent supportive housing with the goal of ending chronic homelessness. HHA is a member of the PSH Planning Group and works to pair Section 8 vouchers to support PSH units.</p> <p>HHA serves on the CoC and CDAC. HCDD and HHA will continue to meet regularly to discuss current projects and plan for potential future projects and initiatives.</p>
Harris County Housing Authority	PHA	Homelessness Strategy	To address homeless needs identified in the Consolidated Plan, HCDD has consulted with stakeholders in the PSH Planning Group, which coordinates funding of permanent supportive housing with the goal of ending chronic homelessness. Harris County Housing Authority is a member of the PSH Planning Group.
Funders Together to End Homelessness Houston	Regional organization Other: Partnership with Public/Private Organizations	Homelessness Strategy	To address homeless needs identified in the Consolidated Plan, HCDD has consulted with Funders Together, a public/private funding group that has participated in homeless planning efforts and has agreed to strategically invest resources to leverage public investment and help meet the CoC’s goals.
Coalition for the Homeless of Houston/Harris County	Services-homeless Regional organization Planning organization	Homelessness Strategy Homeless Needs – Chronically homeless Homeless Needs – Families with children Homelessness Needs – Veterans Homelessness Needs – Unaccompanied youth	Coalition staff was consulted regarding the Homeless sections of the Plan. The Coalition manages the HMIS system in which HCDD subrecipients report accomplishments for ESG activities. Data from HMIS is used to evaluate ESG program performance.
United Way of Greater Houston	Services-Education Services-Employment Civic Leaders	Non-housing Community Development Strategy Anti-poverty Strategy	HCDD staff made a brief presentation about the Annual Action Plan process and fair housing and also distributed the 20116 Community Needs Survey at a THRIVE meeting at the United Way. THRIVE is a group organizations that promote

Agency/Group/Organization	Agency/Group/Organization Type	What section of the Plan was addressed by Consultation?	How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination?
			financial literacy and employment opportunities for low- and moderate-income persons.
Houston Health Department	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Other government – Local	Lead-based Paint Strategy	HHD provides HCDD with lead-based paint program information and data for use in development of the Plan, as needed.  HHD uses CDBG funds to address lead-based paint hazards, including lead-based paint testing and remediation, for underserved populations. HHD and HCDD staff will continue to collaborate on future funding opportunities that will encourage an inclusive approach to promoting health and community development.  HCDD and HHD also partner to serve low- and moderate-income persons and persons with disabilities through a variety of public services or public facilities projects to address needs identified in the Plan.
City of Houston – Parks and Recreation Department	Services-Children Services-Education Other government – Local	Anti-poverty Strategy	HCDD consulted with HPARD to determine the demand for youth programs. HCDD plans to continue partnering with HPARD to serve youth.
Houston Housing Finance Corporation (HHFC)	Housing	Housing Strategy	HCDD regularly consults with HHFC on ways to efficiently deploy resources in the community. HHFC assists in implementing new multifamily development by providing bridge loans during the HCDD document preparation process. HHFC will continue to work with HCDD on new initiatives supporting economic development, including activities that address areas without full service grocery stores, also known as food deserts.
Houston Area HIV Services Ryan White Planning Council	Services-Persons with HIV/AIDS	Non-Homeless Special Needs HOPWA Strategy	HCDD staff meets periodically with the Ryan White Planning Council to share research and strategize ways to improve the quality of life for those affected by HIV/AIDS. HCDD staff serves on the Council and also serves as a co-chair of the Priorities and Allocations Committee. This information is utilized when determining needs of and services for the HIV/AIDS community.
Houston Habitat for Humanity	Housing	Housing Need Assessment Non-housing Community Development Strategy Anti-Poverty Strategy	HCDD partnered with Houston Habitat for Humanity by creating the Affordable Housing Symposium, where stakeholders were invited to discuss the links between fair housing, affordable housing, education, and health. A community needs survey was distributed during the event.

**Identify any Agency Types not consulted and provide rationale for not consulting**

HCDD contacted many organizations through various outreach techniques including meetings, e-mails, phone calls, and real-time audience surveys to solicit input and participation in the planning process. HCDD believes that all

critical agency types were consulted during the participation and consultation process and did not intentionally fail to consult with any particular agency.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

**Table 4 – Other local / regional / federal planning efforts**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Coalition for the Homeless of Houston / Harris County	The CoC's priorities and its Strategic Plan to End Homelessness directly correspond to HCDD's Strategic Plan goals related to homeless populations.
Continuum of Care's Priorities and Program Standards	Coalition for the Homeless of Houston / Harris County	The CoC's priorities and standards directly overlap with HCDD's Strategic Plan and are used by the City of Houston when developing standards for its ESG funding.
Our Great Region 2040	Houston-Galveston Area Council	HCDD's Strategic Plan has many of the same goals as H-GAC's regional plan, including addressing quality of life issues, specifically affordable housing and serving Houston's low-income populations through community development activities.
Fair Housing Equity Assessment	Houston-Galveston Area Council	Both HCDD and the FHEA have goals to affirmatively further fair housing in the City of Houston and the region. The development of the FHEA served as part of the analysis for Our Great Region 2040.
Livable Centers	Houston-Galveston Area Council	The Livable Centers Plans have been conducted in various areas of the City of Houston. Some areas overlap with HCDD's Areas for Community Reinvestment. The objectives of these Plans, including improving quality of life, overlap with HCDD's Strategic Plan's public facilities strategy.
Annual Plan	Houston Housing Authority	HHA's PHA plan provides details about agency operations and programs, including participants for the upcoming year. Both HHA and HCDD aim to help very low-income households secure housing.
Harris County Consolidated Plan	Harris County	HCDD's Consolidated Plan has many of the same goals as Harris County's Consolidated Plan including addressing homelessness and improving the quality of life of underserved and low- and moderate-income communities.
City of Houston's Capital Improvement Plan (CIP)	City of Houston Finance Department	Both the CIP and the Consolidated Plan address the infrastructure needs in Houston.
Rebuild Houston	City of Houston	Rebuild Houston is a new pay-as-you-go funded business model for restructuring and maintaining Houston's drainage and street system. Rebuild Houston and the Consolidated Plan address neighborhood improvements.
CDBG-DR2 Planning Study	City of Houston Housing and Community Development Department	Through a combined effort with community leaders and statewide advocates, HCDD analyzed data to produce a Needs Assessment resulting in areas in which housing activities, using CDBG-DR2 funding, will be targeted. These areas are illustrated in the map titled, "Community Revitalization Areas (CRAs), CRA Outreach Areas, and Target Areas for Disaster Recovery Round II."
2015 Analysis of Impediments to Fair Housing Choice (AI)	City of Houston Housing and Community Development Department	Both plans use housing and demographic data and citizen and stakeholder outreach to determine city housing needs and barriers. The overall goal for the AI is to eliminate housing discrimination, and HCDD works hard to align all of its Consolidated Plan goals with the AI.

**Narrative**

Coordination of efforts remains a high priority for the City of Houston when addressing community needs and supporting goals in the Consolidated Plan. One recent example of how HCDD worked with other City Departments and community agencies to prevent many potential families from becoming homeless is HCDD's coordination of the closing of Crestmont Village. In September 2015, a judge ordered the closing of the multifamily apartment complex, Crestmont Village, by the end of October 2015. Residents had experienced substandard living conditions due to the neglect of the property owners. HCDD worked with Council members, other City departments, and public and private

agencies including the Houston Apartment Association to relocate over 100 families to safer and cleaner living environments.

HCDD continues to broaden its outreach efforts and has been able to gather strategic input into the planning process this year. HCDD continues to work closely with other governments in the metropolitan area, the state, and the federal government to implement the Consolidated Plan and its goals. HCDD's CDAC played an integral part in the development of the 2016 Annual Action Plan. HCDD works closely with HHA, Harris County, and other local jurisdictions to align funding objectives, especially objectives addressing homelessness. In addition, HCDD continues to participate in numerous conferences with the Texas Department of Housing and Community Affairs (TDHCA), to align HCDD's objective of ending chronic homelessness, through developing permanent supportive housing with those of TDHCA. Finally, HCDD continues to work closely with HUD officials both at the local office and through OneCPD.

**AP-12 Participation - 91.105, 91.200(c)****1. Summary of citizen participation process/Efforts made to broaden citizen participation**

HCDD recognizes that Houston residents are the center of, and partners in, the development and execution of the 2016 Annual Action Plan. The Citizen Participation Plan (CPP) establishes a means by which citizens, public agencies, and other interested parties can actively participate in the development of the Consolidated Plan, Annual Action Plan, Substantial Amendments, and the Consolidated Annual Performance and Evaluation Report (CAPER). It also sets forth the jurisdiction's policies and procedures for citizen participation. As needed, the CPP is updated. With recent regulatory changes in the summer of 2015, the CPP has been updated as a part of this 2016 Annual Action Plan.

Using the CPP as a guide, HCDD seeks community involvement and provides residents with many opportunities to become involved in the development of the Annual Action Plan. As input and comments are received, appropriate HCDD staff reviews and uses this information to inform community needs, allocation priorities, and programming.

Opportunities for citizen input are provided during the entire planning process, from the development of the Annual Action Plan to reviewing the draft document, through

- Publications and Postings
- Public Hearings
- HCDD Community Needs Survey

HCDD publicizes all phases of the Plan's development to gather public comment. HCDD developed promotional/informational material about the Plan and a needs survey that was available in English, Spanish, Vietnamese, and Chinese to broaden knowledge about the process to various groups including limited English speakers.

As part of the development of the 2016 Annual Action Plan, HCDD conducted two public hearings to gather input in drafting the plan, as well as two public hearings to solicit comments on the draft Plan. HCDD again used Survey Monkey to collect quantitative data and to gather citizen input about community needs, while drafting the plan. The community needs survey was available at the two fall public hearings, as well as at several other events.

**Efforts to Broaden Citizen Participation.** HCDD implemented a broad outreach campaign to promote public participation in the development of the 2016 Annual Action Plan. There was concerted effort to reach out to diverse populations including outreach to minorities, non-English speaking persons, persons with disabilities, and special needs populations. The following is a summary of these efforts.

- Held public hearings either in a central location or near low- and moderate-income neighborhoods, including Denver Harbor Multi-Service Center, Leonel J. Castillo Community Center, Buffalo Soldiers Museum, and the Turkish Raindrop House.
- Extended public hearing invitations to the HHA Resident Council to post in each HHA community.
- Advertised in community newspapers including *La Voz*, *Southern Chinese Daily News*, and *Houston Forward Times* (also online), and also in *The Houston Chronicle*, a newspaper of general circulation.
- Advertised public hearings in several languages including English, Spanish, Vietnamese, and Chinese. Made the community needs survey available in English, Spanish, Vietnamese, and Chinese.
- Organized outreach efforts for populations with special needs to receive feedback on community needs.

**Citizen Participation Outreach**

**Table 5 – Citizen Participation Outreach**

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
Newspaper Ad	Non-targeted/broad community	HCDD published a public notice in the <i>Houston Chronicle</i> on September 9, 2015, announcing the draft 2014 CAPER was available for public comment from September 9, 2015 to September 24, 2015.	No public comments were received.	No public comments were received.	<a href="http://www.houstontx.gov/housing/public_legal_notices.html">http://www.houstontx.gov/housing/public_legal_notices.html</a>
Other – Affordable Housing Symposium	Non-targeted/broad community  Other: Businesses involved in affordable housing development	HCDD partnered with Houston Habitat for Humanity to present the <i>2015 Affordable Housing Symposium</i> on October 27, 2015. 29 Community Needs Surveys were collected during the event. Symposium speakers made presentations about HCDD’s past and future projects as well as the interconnectedness of fair housing, affordable housing, education, and health.	No public comments were received.	N/A	N/A
Newspaper Ad	Minorities  Non-English Speaking – Specify other language: Spanish and Chinese  Non-targeted/broad community	A notice of the fall public hearings was published in the <i>Houston Chronicle</i> on November 2, 2015. Advertisements also appeared in Spanish in <i>La Voz</i> on November 8, 2015; in Chinese in <i>Southern Chinese Daily News</i> on November 7, 2015; and in English in <i>Houston Forward Times</i> from November 4 -10, 2015.	No public comments were received.	N/A	<a href="http://www.houstontx.gov/housing/public_legal_notices.html">http://www.houstontx.gov/housing/public_legal_notices.html</a>
Internet Outreach	Non-targeted/broad community	Information about the fall public hearings was presented on the HCDD website, Facebook page, and Twitter page. Flyers were sent by e-mail to CDAC members.	No public comments were received.	N/A	<a href="http://www.houstontx.gov/housing">http://www.houstontx.gov/housing</a>
Other – Community Needs Survey	Non-targeted/broad community	The community needs survey was available at the two fall public hearings. HCDD staff also made the survey available during several events including:	The results of the community needs survey support the priority needs and goals set in the 2015-2019 Consolidated Plan.	All comments were considered. HCDD did not	N/A

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
		<p>THIRVE meeting, CDAC meeting, Al Greene's Health and Housing Fair, Affordable Housing Symposium, Target Hunger at Denver Harbor, Harris County AAA Chronic Disease and Injury Prevention. In addition, flyers with links to the online survey were distributed at other events, such as a First Time Homebuyer Workshop, My Brother's Keep Kashmere Area Action Team Meeting, and AMMA-YES Prep at the Third Ward Multi-Service Center.</p> <p>HCDD used paper surveys and online surveys using Survey Monkey to collect data. One hundred seventy-two (172) respondents participated in the survey, which consisted of 12 questions.</p>	<ul style="list-style-type: none"> <li>•The top three general categories respondents selected as most important to fund in the upcoming year were 1) affordable housing, 2) supportive services, and 3) economic development</li> <li>•Almost all respondents thought that HCDD should continue to address the eight community needs listed in the 2015-2019 Consolidated Plan</li> <li>•The needs that respondents listed as having the highest priority that HCDD should address in PY 2016 are 1) addressing public service needs and 2) economic development needs</li> <li>• Most respondents agreed that HCDD's goals should continue to be the eight goals listed in the 2015 Consolidated Plan</li> <li>• Over one third of respondents were either unsure (23%), or opposed to (11%), HCDD's goal to "Provide assistance to persons affected by HIV/AIDS"</li> </ul>	<p>specifically reject any comments received.</p>	
Public Hearing	Non-targeted/broad community	<p>HCDD administered a community needs survey and accepted public comments at two fall public hearings for the 2016 Annual Action Plan. These public hearings were held on November 17, 2015 at Denver Harbor Multi-Service Center and December 18, 2015 at Leonel J. Castillo Community Center. There were approximately 51 people that attended the fall public hearings.</p>	<p>11 speakers commented at the fall public hearings.</p>	<p>All comments were considered. HCDD did not specifically reject any comments received.</p>	<p>N/A</p>

## Expected Resources

### AP-15 Expected Resources – 91.200(c) (1,2)

#### Introduction

The City of Houston uses multiple resources to carry out activities that benefit low- and moderate-income residents. The City of Houston receives entitlement funding of CDBG, HOME, HOPWA, and ESG. HCDD administers the entitlement funding on behalf of the City of Houston. In addition to entitlement grant funds, HCDD receives state and local funding to address community needs, as illustrated in the Expected Resources – Priority Table. Many City departments also receive funding that address needs listed in the Consolidated Plan, and HCDD works with these departments to leverage entitlement grant funds when possible along with other funding.

#### Priority Table

Table 6 – Expected Resources – Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public – federal	Acquisition Administration and Planning Economic Development Housing Public Improvements Public Services	22,140,237	181,511	0	22,321,748	0	CDBG funds finance housing, public facilities and improvements, public services, and economic development assistance activities. CDBG funds are combined with many public and private funds to create a greater impact in neighborhoods. When requests for proposal are conducted, proposals that use CDBG funding to leverage other funding are preferred. CDBG funded activities conducted by other City departments use CDBG funding as match for other public funding or combine CDBG funds with federal, local, or private funding to implement programming.
HOME	public – federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	6,820,641	94,943	0	6,915,584	0	HOME promotes public/private partnerships as a vehicle for expanding the stock of affordable housing. HOME funds are leveraged with private and public funding sources to support multifamily development activities. The Multifamily Housing Program's activities are funded through a request for proposal process in which greater preference is given to proposals that have other sources of equity and financing.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of Con Plan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public – federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	9,639,531	0	0	9,639,531	0	Organizations applying for HOPWA funding are selected through a competitive request for proposal process and sources of leverage include public funding, such as Ryan White or Shelter Plus Care, and private funding, such as in-kind resources, funding from foundations, and resident rent payments.
ESG	public – federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	2,012,200	0	0	2,012,200	0	Organizations applying for ESG funding must provide a 1 to 1 match for the ESG funds they receive and are selected through a competitive request for proposal process.
CDBG-DR Hurricane Ike Round 2 (CDBG-DR2)	public – state	Homeowner rehab Multifamily rental rehab Multifamily rental new construction	0	0	97,000,000	97,000,000	97,000,000	CDBG-DR2 will be used to fund development of affordable single family and multifamily rental homes and repair single family homes to address damage to homes by Hurricane Ike.
Homeless Housing Services Program (HHSP)	public – state	Financial Assistance Rapid re-housing (rental assistance) Rental Assistance Services	1,300,000	0	0	1,300,000	0	Organizations applying for HHSP funding are selected through a competitive request for proposal process. Funds are used for local homeless initiatives.
TIRZ Affordable Housing Set-Aside	public - local	Housing	14,000,000	0	0	14,000,000	0	TIRZ Affordable Housing Set-Aside funds are local funds and are often leveraged with federal funding to create a greater impact for low- and moderate-income persons and communities. Housing developments are selected through a competitive request for proposal process.

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

HCDD will use a combination of public and private funding to carry out affordable housing activities during the period covered by this Plan. Through the Multifamily Housing Program, for-profit and non-profit developers partner with HCDD to build or rehabilitate affordable housing. Developers must use HCDD funding to fill financing gaps. Other development funding must be obtained through additional private and public sources, which may include the following

- Local Tax Incentives and Funding
  - Tax Abatement Ordinance
  - Tax Increment Reinvestment Zones (TIRZ) and TIRZ Affordable Housing Set-Aside
  - Homeless and Housing Bonds
- Federal and State Tax Incentives
- State Funded Bond Programs
- Private Sources

HCDD has been awarded several special grants and will be expending these funds during the next program year to address housing needs in the community, which include CDBG-DR2 and Homeless Housing Services Program (HHSP). HCDD will continue to research additional funding sources to promote and fund community development activities that further support goals in the Consolidated Plan.

Non-housing community development activities also leverage HCDD federal funds to execute the activities identified in the Plan. Public service agencies utilizing CDBG, HOPWA, and ESG funds must provide some level of match. Other City departments funded with entitlement grants leverage these dollars with other resources. For example, HHD leverages CDBG funding with other federal grants for lead-based paint testing and remediation activities.

**HOME Matching Funds.** The City, as a fiscally distressed participating jurisdiction in the HOME Program, must match 12.5 cents for each dollar of HOME funds spent on affordable housing. The match must come from state or local, non-federal sources, and constitutes a permanent contribution to the HOME Program. Since July 2005, the City requires multifamily applicants to help generate this match. Rules regarding what can be counted as a match under the HOME Program are very specific; therefore, HCDD strictly adheres to and maintains compliance with 24 CFR 92.

The HOME match obligation may be met with any of the following sources

- Cash or cash equivalents from a non-federal source
- Value of waived taxes, fees, or charges associated with HOME projects
- Value of donated land or real property
- Cost of infrastructure improvements associated with HOME projects
- Value of donated materials, equipment, labor, and professional services

The match requirement for the City has been met with cash contributions from Homeless and Housing Bond Funds, TIRZ Affordable Housing Set-Aside funds, private donations from endowments, and non-cash contributions, including donated or reduced cost land. As reported in the 2014 CAPER, the excess HOME match carried over to the next federal fiscal year was \$4,214,353.06. Information regarding the match will be updated in the 2015 CAPER, which will be submitted to HUD in September 2016.

**ESG Match.** The ESG matching requirement is a one to one match and will be satisfied with CDBG funding from HCDD and both "in-kind" and private funding from subrecipients.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Currently, there are no parcels of City owned land or property that will be used to address the needs identified in this plan.

**Discussion**

While HCDD is responsible for the provision of services to low- and moderate-income Houstonians using the entitlement funds and one-time grants, HCDD cannot achieve these goals alone. There are many other organizations that carry out the strategies listed in this Plan using other federal, local, and private funding sources. For instance, other City of Houston departments provide services to low- and moderate-income residents by utilizing funding from various sources.

In addition, other local agencies and non-governmental organizations receive other federal or state funding to carry out these activities. In its 2016 Annual Plan, the Houston Housing Authority estimated that it would have \$181 million to address residents' housing needs in the Houston area, which includes \$120 million in Section 8 Housing Choice Voucher Programs. It is anticipated that the funding will likely be similar for the Houston Housing Authority in its 2017 Annual Plan.

The Coalition for the Homeless of Houston/Harris County (Coalition) receives and manages the area's McKinney-Vento Homeless Assistance Act funding on behalf of the local Continuum of Care (CoC). In 2014, the CoC received just over \$23 million to address homeless issues in the region. In November of 2015, the Coalition submitted an application for almost \$30 million of fiscal year 2015 funding, which includes renewal projects, new projects from reallocations of prior funding, and a permanent housing bonus project.

The Texas Department of Housing and Community Affairs estimated that the Houston area (Region 6) had \$11 million in 9% competitive Housing Tax Credits to award for multifamily affordable housing rehabilitation or new construction, in 2015. This amount is not guaranteed and projects may not be developed within the City of Houston depending on where the selected developments are located. A similar amount of Housing Tax Credits will likely be available in 2016 for the region.

While some projects or actions may include primarily public funds, public funds alone would also be insufficient to achieve the goals of this Plan. Private funds from individuals, non-profit organizations, and private organizations are needed. HCDD continues to work with other City departments, local non-profit agencies, and various funding providers to develop coordinated strategies to leverage funding to assist low- and moderate-income persons and households.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

**Table 7 – Goals Summary**

Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	2016 Funding	Goal Outcome Indicator
Preserve and expand the supply of affordable housing	2015	2019	Affordable Housing	Citywide	Assistance for homeowners Assistance for renters Assistance for homebuyers	CDBG:\$5,200,000 HOME:\$6,224,026	Other: 65 Other – Units Abated/Remediated Rental Units Constructed: 98 Household Housing Unit
Expand homeownership opportunities	2015	2019	Affordable Housing	Citywide	Assistance for homebuyers		Direct Financial Assistance to Homebuyers: 50 Households Assisted
Provide assistance to persons affected by HIV/AIDS	2015	2019	Affordable Housing	Citywide	Assistance for renters Public service needs	HOPWA \$9,639,531	Tenant-Based Rental Assistance / Rapid Rehousing: 475 Households HIV/AIDS Housing Operations: 300 Households Other: 3,475 – Households -Supportive Services / Housing Information and Referral/Homeless Prevention
Reduce homelessness	2015	2019	Affordable Housing Non-Housing Community Development	Citywide	Assistance for renters Homeless Needs	ESG: \$2,012,200 CDBG: \$525,000	Tenant-Based Rental Assistance / Rapid Rehousing: 65 Households Assisted Homelessness Prevention: 225 Persons Assisted Public Service Activities Other Than Low/Moderate Income Housing Benefit: 26,190 Persons Assisted
Enhance quality of life through the provision of public services	2015	2019	Non-Housing Community Development	Citywide	Public service needs	CDBG \$3,218,357	Public Service Activities Other Than Low/Moderate Income Housing Benefit: 18,975 Persons Assisted
Revitalize communities	2015	2019	Non-Housing Community Development	Areas for Community Reinvestment	Improvement of neighborhood facilities Neighborhood needs	CDBG \$ 5,914,042	Other: 6 Public Facility or Infrastructure Activities Other Than Low/Moderate-Income Housing Benefit Housing Code Enforcement/Foreclosed Property Care: 32,250
Foster community economic development	2015	2019	Non-Housing Community Development	Community Revitalization Areas	Economic development needs	CDBG \$3,000,000	Jobs: 20 Public Service Activities Other Than Low/Moderate Income Housing Benefit: 380 Persons Assisted
Promote fair housing	2015	2019	Non-Housing Community Development	Citywide	Fair housing needs	CDBG: 100,000	Other: 50,000 Persons Reached with Fair Housing Information

**Goal Descriptions**

**Table 8 – Goal Descriptions**

1	<b>Goal Name</b>	Preserve and expand the supply of affordable housing
	<b>Goal Description</b>	The City will provide funding for several Programs that will include single family home repair activities, lead-based paint reduction activities, and new construction and rehabilitation of rental units. These activities will help to preserve and expand the supply of affordable housing in Houston.
2	<b>Goal Name</b>	Expand homeownership opportunities
	<b>Goal Description</b>	The City will fund direct assistance for homebuyers to increase housing options for low- and moderate-income families.
3	<b>Goal Name</b>	Provide assistance to persons affected by HIV/AIDS
	<b>Goal Description</b>	The City will provide funding for housing and services supporting persons who are affected by HIV/AIDS. This goal will also support the goal of reducing homelessness.
4	<b>Goal Name</b>	Reduce homelessness
	<b>Goal Description</b>	The City will fund activities that will provide homeless prevention and rapid re-housing activities. It will also provide funding for various public service activities to support those in or at risk of becoming homeless.
5	<b>Goal Name</b>	Enhance quality of life
	<b>Goal Description</b>	The City of Houston will provide funding for various public service activities that will expand or make these services more accessible to low and moderate-income or special needs persons.
6	<b>Goal Name</b>	Revitalize communities
	<b>Goal Description</b>	The City will support activities that will enhance and preserve neighborhoods. Activities include code enforcement and public facility improvements.
7	<b>Goal Name</b>	Foster community economic development
	<b>Goal Description</b>	The City will fund activities related to employment training, job creation, and business growth to help increase family's incomes and provide goods in services in low- and moderate-income areas.
8	<b>Goal Name</b>	Promote fair housing
	<b>Goal Description</b>	The City will fund activities related to the promotion of fair housing through education, outreach, research, and other methods.

**Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):**

During PY 2016, the City of Houston expects to provide affordable housing as defined by HOME 91.215(b) in the following ways

- The Homebuyer Assistance Program expects to serve 50 households with downpayment and closing cost assistance in PY 2016. Of the 50 households, HCDD estimates that 8 households (15%) will have a household income between 31-50% AMI and 42 households (85%) will have an income between 51-80% AMI.
- The Multifamily Housing Program will construct and rehabilitate housing predominately for households with an income under 80% AMI using entitlement funding. Most are likely to be below 60% AMI to comply with tax credit rules and preferences.

## Projects

### *AP-35 Projects – 91.220(d)*

#### Introduction

Projects have been selected for inclusion in this Plan based on 2015-2019 Consolidated Plan priorities, analysis of existing funding sources, and public input. Projects align directly with HCDD's primary initiatives: Eliminate Chronic Homelessness, Revitalize Communities, Foster Community Economic Development and Enhance the Quality of Life. During PY 2016, HCDD will engage in community development activities through increasing the availability and quality of affordable housing, the delivery of public services, investment in neighborhood facilities, and economic development opportunities. Request for proposals will be conducted before and during the program year to choose locations and subrecipients for some projects.

These projects only represent entitlement funding for PY 2016 and do not signify all the activities that will be carried out during the program year by HCDD. For instance, some activities, such as single family home repair and homebuyer assistance, will use TIRZ funding instead of entitlement funding during the program year.

#### Projects

Table 9 – Project Information

#	Project Name
1	Neighborhood Facilities and Improvements
2	Public Services
3	Code Enforcement
4	Economic Development
5	CDBG Administration
6	Multifamily Housing Program
7	HOME Program Administration
8	2016 CityofHouston TXH16F004 CoH
9	2016 ProjectSponsor TXH16F004 UnaSpAct
10	ESG16 Houston

#### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

HCDD has made allocations for PY 2016 based upon the priorities set forth in the 2015-2019 Consolidated Plan, citizen and community input, qualified responses to Request for Proposals, an analysis of prior years' budget and expenditures, and City Council direction. Additional factors that contributed to targeting funds to specific activities are

- The stated needs, analysis, and objectives in the 2015-2019 Consolidated Plan
- Priorities stated in each program's solicitation and award guidelines
- Compliance with HUD entitlement grants' (CDBG, HOME, HOPWA, and ESG) rules and regulations

HCDD will continue to partner with the CoC and other organizations in the Houston area. Reducing homelessness continues to be a priority for HCDD. It is expected that one of the priorities given to projects in the public services RFP will include serving the homeless population.

HCDD strives to serve those most in need of assistance. The three main obstacles to meeting the needs of the underserved in Houston are the lack of resources, the lack of service or housing availability, and the lack of knowledge about programs. HCDD will work to leverage its resources with other agencies, assist families to grow their income and build assets, create and make available housing and service opportunities, and advertise available services to the community. More information about future actions to assist the underserved are included in the AP-85 Other Actions section of this Plan.

## Projects

*AP-38 Project Summary*

## Project Summary Information

Table 10 – Project Summary

Project Name	Target Area	Goals Supported	Needs Addressed	Funding
Neighborhood Facilities and Improvements	Citywide	Revitalize communities	Improvement of neighborhood facilities	CDBG: \$3,316,212
Public Services	Citywide	Reduce homelessness Enhance quality of life Foster Community Economic Development	Public service needs	CDBG \$3,218,357
Code Enforcement	Areas for Community Reinvestment	Revitalize communities	Neighborhood needs	CDBG \$2,597,830
Economic Development	Community Revitalization Areas	Foster community economic development	Economic development needs	CDBG: \$3,000,000
CDBG Administration	Citywide	Preserve and expand the supply of affordable housing Expand homeownership opportunities Enhance quality of life Revitalize communities Foster community economic development	Assistance for homeowners Homeless needs Public service needs Improvement of neighborhood facilities Neighborhood needs Economic development needs	CDBG \$4,464,349
Multifamily Housing Program	Citywide	Preserve and expand the supply of affordable housing	Assistance for renters	CDBG: \$5,200,000 HOME \$6,224,026
HOME Program Administration	Citywide	Preserve and expand the supply of affordable housing Expand homeownership opportunities	Assistance for renters Assistance for homebuyers	HOME \$691,558
2016 CityofHouston TXH16F004 CoH	Citywide	Provide assistance to persons affected by HIV/AIDS	Assistance for renters Assistance for homeowners Public service needs	HOPWA \$289,185
2016 SponsorActivity TXH16F004 UnaSpAct	Citywide	Provide assistance to persons affected by HIV/AIDS	Assistance for renters Assistance for homeowners Public service needs	HOPWA \$9,350,346
ESG16 Houston	Citywide	Reduce Homelessness	Homeless needs	ESG \$2,012,200 CDBG \$525,000

<b>Project Title</b>	<b>Neighborhood Facilities and Improvements</b>
<b>Project ID</b>	1
<b>Target Areas</b>	Citywide
<b>Annual Goals Supported</b>	Revitalize Communities
<b>Priority Need(s) Addressed</b>	Improvement of neighborhood facilities
<b>Expected Resources</b>	CDBG: \$3,316,212
<b>Description</b>	<p>This project includes rehabilitation and construction of neighborhood facilities, parks, and other improvements to facilities and infrastructure in low- and moderate-income areas or facilities serving populations primarily consisting of low- and moderate-income individuals or populations with special needs. Facilities may be publically or privately owned and may include parks, medical facilities, and community centers.</p> <p>PY 2016 CDBG funds for neighborhood facilities will be awarded to City departments or nonprofit or for-profit organizations selected through an RFP process prior or during the program year. The locations of activities will be determined after subrecipients are selected. The funding of \$3,316,212 includes program delivery expenses. The total funding amount includes projected CDBG program income in the amount of \$114,770 to be expended on Neighborhood Facilities and Improvements. Proposed accomplishments for PY 2016 include the completion of 6 public facilities. The target date for expending these funds is June 30, 2018.</p>
<b>Target Date</b>	6/30/2018
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	These activities are intended to serve thousands of people primarily living in low- and moderate-income areas or people with disabilities
<b>Location Description</b>	The locations of activities will be determined after subrecipients are selected.
<b>Planned Activities</b>	Eligible activities include rehabilitation and construction of various public or private facilities including infrastructure.
<b>Goal Outcome Indicator</b>	6 Public facility or infrastructure activities other than low/moderate-income housing benefit

<b>Project Title</b>	<b>Public Services</b>
<b>Project ID</b>	2
<b>Target Areas</b>	Citywide
<b>Annual Goals Supported</b>	Enhance quality of life Reduce Homelessness Foster Community Economic Development
<b>Priority Need(s) Addressed</b>	Public service needs
<b>Funding</b>	CDBG: \$3,218,357
<b>Description</b>	An RFP will be issued in PY 2016 for public service projects, and private non-profit agencies will be selected soon thereafter. Projects from other City Departments will also be selected around the beginning of the Program Year. The locations of activities will be determined after subrecipients are selected. These public services will likely fund homeless services, job training, youth enrichment, child care, and other public services activities. These activities will primarily serve extremely low- and low-income families. Projected CDBG program income in the amount of \$30,439 is estimated to be used for public service activities. Proposed accomplishments for PY 2016 include providing services to 18,975 people. The target date for expending these funds is June 30, 2018.
<b>Target Date</b>	6/30/2018
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	These activities will serve approximately 18,000 families that are extremely low-income, low-income, and moderate-income.
<b>Location Description</b>	The locations of activities will be determined after subrecipients are selected.
<b>Planned Activities</b>	Eligible activities will be a variety of public service activities which may include employment training, services, elderly services, health services, and homeless services.
<b>Goal Outcome Indicator</b>	18,975 Persons Public service activities other than low/moderate-income housing benefit.

<b>Project Title</b>	<b>Code Enforcement</b>
<b>Project ID</b>	3
<b>Target Areas</b>	Areas for Community Reinvestment
<b>Priority Need(s) Addressed</b>	Neighborhood needs
<b>Annual Goals Supported</b>	Revitalize Communities
<b>Funding</b>	CDBG: \$2,597,830
<b>Description</b>	<p>This project funds code enforcement activity including site visits conducted by the Department of Neighborhoods increasing the safety and security of low- and moderate-income neighborhoods. Using \$2,409,650 of CDBG funding, code enforcement staff will evaluate and assess properties that may have violated the City's building codes and pose a threat to the health and safety of low- and moderate-income neighborhoods. During PY 2016, it is estimated City staff will perform 20,000 site visits in Community Reinvestment Areas. The target date for completing this activity is June 30, 2017.</p> <p>This project also funds title searches associated with the Department of Neighborhoods code enforcement activities to increase the safety and security of low- and moderate-income neighborhoods. During PY 2016, it is estimated that \$188,180 of CDBG will fund title searches on 250 properties located in Areas for Community Reinvestment. The target date for completing this activity is June 30, 2017.</p>
<b>Target Date</b>	6/30/2017
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	This activity will benefit tens of thousands of families living in low- and moderate-income areas.
<b>Location Description</b>	Location of these activities will mainly be in Areas for Community Reinvestment.
<b>Planned Activities</b>	These code enforcement activities include inspection of multiple types of property including housing units.
<b>Goal Outcome Indicator</b>	20,250 Housing code enforcement/Foreclosed property care

<b>Project Title</b>	<b>Economic Development</b>
<b>Project ID</b>	4
<b>Target Areas</b>	Community Revitalization Areas
<b>Priority Need(s) Addressed</b>	Economic development needs
<b>Annual Goals Supported</b>	Foster community economic development
<b>Funding</b>	CDBG: \$3,000,000
<b>Description</b>	This project funds economic development activities that may include loans to businesses and job creation activities. Subrecipients will be selected during the program year to carry out activities. The proposed accomplishments for this funding will likely include 2 businesses assisted after PY 2016. The target date for expending these funds is by 2018. Proposed accomplishments for PY 2016 include 20 jobs created or retained.
<b>Target Date</b>	12/31/2018
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	Activities are proposed to benefit hundreds of low- and moderate-income families.
<b>Location Description</b>	Subrecipients will be selected during the program year to carry out activities which will determine the location.
<b>Planned Activities</b>	These economic development activities may include loans to businesses in support of job creation or retention or in support expanding services to low- and moderate-income persons or neighborhoods.
<b>Goal Outcome Indicator</b>	20 Jobs

<b>Project Title</b>	<b>CDBG Administration</b>
<b>Project ID</b>	5
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Assistance for renters Assistance for homeowners Assistance for homebuyers Homeless needs Public service needs Improvement of neighborhood facilities Addressing neighborhood needs Economic development needs Fair housing needs
<b>Annual Goals Supported</b>	Preserve and expand the supply of affordable housing Expand homeownership opportunities Reduce homelessness Enhance quality of life through the provision of public services Revitalize communities Foster community economic development Promote fair housing
<b>Funding</b>	CDBG: \$4,464,349
<b>Description</b>	This project will fund HCDD's legal oversight, planning, compliance, and financial activities which ensure proper use of CDBG funds. This includes: \$130,000 for the Coalition for the Homeless of Houston/Harris County to assist in planning the Point-In-Time Count and may include other activities, \$100,000 reserved for fair housing activities and staff costs, \$79,000 for transfer to the City of Houston's Finance Department, \$433,000 for transfer to the City of Houston's Legal Department and \$3,722,349 for general planning activities and oversight of projects and organizations funded by CDBG. Projected CDBG program income in the amount of \$36,302 is estimated to be used for planning and administration activities. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The target date for completing this activity is June 30, 2017.
<b>Target Date</b>	6/30/2017
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	This is an administrative activity.
<b>Location Description</b>	This is an administrative activity.
<b>Planned Activities</b>	This Project funds planning, administration, and oversight activities associated with and in support of the CDBG Program.
<b>Goal Outcome Indicator</b>	N/A

<b>Project Title</b>	<b>Multifamily Housing Program</b>
<b>Project ID</b>	6
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Assistance for renters
<b>Annual Goals Supported</b>	Preserve and expand the supply of affordable housing
<b>Funding</b>	HOME: \$6,224,026 CDBG: \$5,200,000
<b>Description</b>	<p>HCDD's Multifamily Housing Program will expand access to and improve the quality of multifamily rental housing for low- and moderate-income residents through multifamily housing acquisition, rehabilitation, or new construction projects. Relocation assistance to households will also be provided as required. It is estimated that 96 newly constructed affordable, City restricted units will be completed during PY 2016.</p> <p>HCDD will issue an RFP in October 2016 for these funds. The outcome of the RFP will determine the locations of projects. Projects within the Community Reinvestment Areas will be given preference during the selection process.</p> <p>Projected HOME program income in the amount of \$85,449 is estimated to be used for the Multifamily Housing Program. The estimated completion date for expending these funds is 2019.</p>
<b>Target Date</b>	12/31/2019
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	These activities will serve hundreds of low- and moderate-income families. Activities will also serve special needs populations including homeless individuals and families, elderly, and persons with disabilities.
<b>Location Description</b>	The outcome of the RFP will determine the locations of projects.
<b>Planned Activities</b>	This Project funds planning, administration, and oversight activities associated with and in support of the CDBG Program.
<b>Planned Activities</b>	Planned activities for the Multifamily Housing Program include acquisition/rehabilitation, new construction, and relocation in support of creating and preserving affordable rental housing units.
<b>Goal Outcome Indicator</b>	96 Rental units constructed

<b>Project Title</b>	<b>HOME Program Administration</b>
<b>Project ID</b>	7
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Assistance for renters
<b>Annual Goals Supported</b>	Preserve and expand the supply of affordable housing
<b>Funding</b>	HOME: \$691,558
<b>Description</b>	Planning and administration activities associated with and in support of the HOME Investment Partnerships Program. The allocation for planning and administration activities will be used to ensure compliance with HOME Program requirements. Projected HOME program income in the amount of \$9,494 is estimated to be used for planning and administration activities. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The proposed target date for completing this activity will be June 30, 2017.
<b>Target Date</b>	6/30/2017
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	This Project funds planning and administration activities associated with and in support of the HOME Investment Partnerships Program.
<b>Location Description</b>	This Project funds planning and administration activities associated with and in support of the HOME Investment Partnerships Program.
<b>Planned Activities</b>	This Project funds planning, administration, and oversight activities associated with and in support of the CDBG Program.
<b>Goal Outcome Indicator</b>	N/A

<b>Project Title</b>	2016 CityofHouston TXH16F004 CoH
<b>Project ID</b>	8
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Assistance for renters Assistance for homeowners Public service needs
<b>Annual Goals Supported</b>	Provide assistance to persons affected by HIV/AIDS
<b>Funding</b>	HOPWA: \$289,185
<b>Description</b>	Grantee administration is funding for HCDD administration and oversight of the HOPWA project sponsors. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The target date for completing these activities is June 30, 2017.
<b>Target Date</b>	6/30/2017
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	The eligible activity is administration in the HOPWA Program.
<b>Location Description</b>	Funding will support activities located in the Houston Eligible Metropolitan Statistical Area (EMSA).
<b>Planned Activities</b>	The eligible activity is administration in the HOPWA Program.
<b>Goal Outcome Indicator</b>	N/A

<b>Project Title</b>	2016 SponsorActivity TXH16F004 UnaSpAct
<b>Project ID</b>	9
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Assistance for renters Assistance for homeowners Public service needs
<b>Annual Goals Supported</b>	Provide assistance to persons affected by HIV/AIDS
<b>Funding</b>	HOPWA: \$9,350,346
<b>Description</b>	<p>Funding for organizations and City departments that administer programs and projects that prevent homelessness and increase access to decent, affordable housing to those affected by HIV/AIDS.</p> <p>HCDD will allocate \$1,775,579 for operating costs, \$2,300,000 for supportive services, \$3,500,000 for project or tenant based rental assistance, \$2,000,000 for short term rent, mortgage and utility subsidies, \$100,000 for Resource Identification/Technical Assistance/Housing Information, and \$674,767 in sponsor administration.</p> <p>HCDD will issue an RFP during the summer of 2016 to contract with several nonprofit agencies to administer services for up to two years. During PY 2016, these activities are expected to serve 4,250 households who have or are affected by HIV/AIDS. Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA). The target date for completing these activities is June 30, 2017.</p>
<b>Target Date</b>	6/30/2017
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	These activities will serve at least 4,250 households who are affected by a disability, HIV/AIDS.
<b>Location Description</b>	Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA).
<b>Planned Activities</b>	Planned activities include operating costs, supportive services, project or tenant based rental assistance, short-term rent, and mortgage and utility assistance.
<b>Goal Outcome Indicator</b>	475 Households - Tenant-based rental assistance/Rapid rehousing 300 Households - HIV/AIDS housing operations 3,475 Other – Households -Supportive services / Housing information and referral/Homeless Prevention

<b>Project Title</b>	<b>ESG16 Houston</b>
<b>Project ID</b>	10
<b>Target Areas</b>	Citywide
<b>Priority Need(s) Addressed</b>	Homeless needs
<b>Annual Goals Supported</b>	Reduce Homelessness
<b>Funding</b>	ESG: \$2,012,200 CDBG: \$525,000
<b>Description</b>	<p>Emergency Solutions Grant (ESG) funds support nonprofit organizations that help homeless persons and persons at risk of becoming homeless by providing emergency shelter, housing relocation, and stabilization services. HCDD will allocate \$90,000 for the Homeless Management Information System (HMIS), \$621,285 for Emergency Shelter, \$400,000 for Homeless Prevention, \$750,000 for Rapid Re-Housing to support and stabilize homeless persons or those at risk of becoming homeless, and \$150,915 for Administration. In addition, \$525,000 in CDBG matching funds will support ESG subrecipients' activities. HCDD will select subrecipients through an RFP process by fall of 2016 for PY 2016 funding. Selected subrecipients will be located throughout Houston area. The estimated number of primarily extremely low-income persons to be served through these activities is approximately 20,000.</p> <p>Funds will be used to support the Homeless Management Information System (HMIS). The Coalition of the Homeless of Houston/Harris County (Coalition) administers the HMIS in the Houston region. This funding will support organizations using the HMIS to increase efficiency and effectiveness of serving homeless individuals. The estimated number of individuals' records maintained via HMIS during PY 2016 is 20,000. HMIS and the agencies utilizing the database serve a majority of individuals that are extremely low-income. HMIS is administered at the Coalition for the Homeless Houston/Harris County located at 600 Jefferson, Suite 2050, 77002.</p> <p>Funds will also be used to support HCDD staff responsible for oversight of nonprofit subrecipients providing ESG funded services. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007.</p> <p>The target date for completing these activities is June 30, 2018.</p>
<b>Target Date</b>	6/30/2018
<b>Estimate the number and types of families that will benefit from the proposed activities</b>	Activities will assist thousands of extremely low-income persons and families.
<b>Location Description</b>	HCDD will select subrecipients through an RFP process by fall of 2016 for PY 2016 funding. Selected subrecipients will be located throughout Houston.
<b>Planned Activities</b>	The eligible activities include Emergency Shelter, Homeless Prevention, Rapid Re-Housing, HMIS, and administration in the ESG Program.
<b>Goal Outcome Indicator</b>	65 Tenant-based rental assistance/Rapid rehousing 225 Homelessness prevention 1,000 Homeless person overnight shelter 20,000 Other – HMIS records maintained 2,400 Other – Persons assisted with ESG match

**AP-50 Geographic Distribution - 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Maps in the Appendix illustrate the known locations of proposed federally funded projects and activities that will occur during PY 2016 relative to designated low- and moderate-income areas. Locations of the remaining PY 2016 projects and activities will be determined in detail after solicitation processes are completed and all subrecipients are identified. Other maps illustrate areas of minority concentration and target areas. Some maps and boundaries within these areas included in the Appendix may potentially change with the publication of updated data by HUD or other entities.

HCDD staff analyzed indicators to create the Areas for Community Reinvestment to provide data-driven recommendations for projects and activities that will foster investment in select geographic areas (Super Neighborhoods) that demonstrate the most need. This data was updated in PY 2014 to include the most recent published HUD information about low-income and moderate-income populations. Six demographic and income characteristics of Houston’s eighty-eight Super Neighborhoods were considered in the analysis while developing the Areas for Community Reinvestment

- Low- to Moderate-Income (LMI) Population
- The Land Assemblage Redevelopment Authority (LARA) Owned Lots
- Commercial Investment (HCDD Funded) Multifamily and Public Facilities
- Concentration of Multifamily Housing Stock (2000 Census Data)
- Designated Demolition Sites
- City of Houston Capital Improvement Plan (CIP Projects)

The Community Revitalization Areas were identified through the CDBG-DR2 planning process. This process included an extensive analysis of Houston’s physical and social conditions and outreach to stakeholders and the community. Six target neighborhoods were identified as high opportunity areas and labeled Community Revitalization Areas (CRA) and CRA Outreach Areas.

**Table 11 - Geographic Distribution**

Target Area
Areas for Community Reinvestment
Community Revitalization Areas (CRAs) and CRA Outreach Areas

**Rationale for the priorities for allocating investments geographically**

The goal of the target areas is to take the limited funding HCDD receives and leverage it with private investment within these areas. Establishing specific areas allows HCDD to analyze indicators that best help to provide data-driven recommendations for projects and activities that will foster investment in selected geographic areas that demonstrate need and opportunity.

The intent is to ultimately arrest decline and bring about positive outcomes in historically underserved communities as well as to boost the enhancement of areas of opportunity while maintaining housing affordability. These target areas may be applied to activities funded by HOME, CDBG, and the Section 108 Loan Guarantee Program. When reviewing projects for funding, HCDD staff may give special consideration to projects that fall within the boundaries of the Areas for Community Reinvestment or Community Revitalization Areas and Outreach Areas or leverage additional funding, such as State Housing Tax Credits. Funding for code enforcement activities will be geographically targeted to only Areas for Community Reinvestment. Other entitlement funds, mostly related to economic development, public facility improvements, and some rental development activities will be prioritized in the target areas because these are neighborhoods that are most in need of public facility and economic development improvements,

but some projects may also be funded in other areas of the City because of the great need in neighborhoods throughout the City.

## Discussion

In an unprecedented approach to community involvement in PY 2012, HCDD worked closely with community leaders, statewide advocates, and citizens to use data analysis to determine target areas for the second round of CDBG-DR funding. HCDD used the data and criteria provided by the Texas General Land Office (GLO) combined with community input to select Neighborhoods of Opportunity in which to focus revitalization efforts based on damage from Hurricanes Dolly and Ike and other demographic factors. After the Neighborhoods of Opportunity were selected and agreed upon, an extensive community participation process was commissioned, which involved approximately 13 public meetings that included over 500 unduplicated participants. Participants identified Nodes of Opportunity in the neighborhoods to further refine areas within the identified neighborhoods where revitalization was most needed or promising.

A second community engagement and educational process built upon the Nodes of Opportunity through a planning study. The purpose of the planning study was to synthesize national best practices and extensive economic and demographic analysis through careful consideration of existing City commitments and opportunities and the results of the Needs Assessment. At the conclusion of the planning process, the planning team produced the planning study, which outlined certain targeted areas for CDBG-DR2 funds. The planning study resulted in the Community Revitalization Areas (CRAs) and Community Revitalization Areas Outreach Areas (CRA Outreach Areas). CRAs are identified areas of need where the City will conduct the majority of its outreach to single family homeowners, and develop multifamily housing related to Hurricane Ike damage. CRA Outreach Areas were also areas identified by the City where there is a need for single family homeowner assistance related to Hurricane Ike damage. These areas were identified in the public outreach process as outlined in the City's Needs Assessment. Both the CRA and CRA Outreach Areas were identified in consultation with state and local community advocacy groups such as the Texas Low Income Housing Information Service, Texas Appleseed, and the Texas Organizing Project.

HCDD will continue expending the approximately \$150 million in funds available through the allocation of CDBG-DR2 to conduct single family repair activities, support rental development activities, including multifamily and single family, and work with the Houston Housing Authority to assist in subsidized rental development for extremely low-income residents. CRAs are the areas in which the City will conduct a majority of its CDBG-DR2 activities: Greater Fifth Ward, Near Northside, Old Spanish Trail / South Union. The CRA Outreach Areas are areas in need of single family homeowner assistance related to past Hurricane Ike damage: Acres Homes, Independence Heights, and Sunnyside. CRA and CRA Outreach Areas were identified as communities experiencing significant recent public and private investment. By targeting CDBG-DR2 and some CDBG funding in these areas, HCDD will encourage the creation of economically feasible, mixed income developments, and communities with diverse populations.

Almost all CDBG-DR2 CRA Outreach Areas are within the Areas for Community Reinvestment. By targeting various funding sources to certain communities, HCDD leverages its limited funding to improve communities while preserving affordable housing through a variety of community development activities that may include public services outreach, neighborhood facility improvements, housing repair, housing construction, economic development, and infrastructure improvements.

## Affordable Housing

### AP-55 Affordable Housing - 91.220(g)

#### Introduction

HCDD employs a variety of approaches to maintaining, creating, and improving the quality of affordable housing in Houston. In PY 2016, HCDD will administer the following programs to preserve and increase access to affordable housing

- Multifamily Housing Program – new construction and rehabilitation
- Single Family Programs – development, repair, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- HOPWA – TBRA and STRMU Assistance
- ESG – housing relocation and rapid re-housing

Estimated annual goals for affordable housing and descriptions of activities for each program are included in the following tables.

**Table 12 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households to be Supported	
Homeless	150
Non-Homeless	177
Special-Needs	1,502
Total	1,829

**Table 13 - One Year Goals for Affordable Housing by Support Type**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	1,484
The Production of New Units	0
Rehab of Existing Units	275
Acquisition of Existing Units	70
Total	1,829

#### Discussion

Affordable housing continues to be among HCDD's highest priorities. In the past few years, CDBG-DR Hurricane Ike funding has greatly increased the resources available to assist low- and moderate-income families in the City of Houston. Using the foundation of the CDBG funded Single Family Home Repair Program, HCDD continues to build capacity and increase efficiency to best serve citizens.

During PY 2016, HCDD expects to start transitioning from using CDBG-DR funding to using TIRZ funding for single family home repair activities. HCDD anticipates finalizing the new guidelines for the Single Family Home Repair Program funded by CDBG in PY 2016. Also, TIRZ funding will supplement CDBG funding for homebuyer assistance activities in the program year.

## AP-60 Public Housing - 91.200(h)

### Introduction

The Houston Housing Authority (HHA) provides affordable homes and services to more than 60,000 low-income Houstonians, including over 17,000 families housed through the Housing Choice Voucher Program. HHA and its affiliates own and operate 25 housing communities with more than 5,500 units for families, elderly, persons with disabilities, and other residents. HHA also administers the nation's third largest voucher program exclusively serving homeless veterans.

The following highlights HHA's program accomplishments occurring in calendar year 2015.

- **Put Residents to Work** - HHA enrolled over 1,152 participants in the Section 3 program resulting in 36 becoming gainfully employed.
- **Housed More Families** - Utilized 97.6% of its voucher authority. Received over 85 additional vouchers from HUD.
- **Helped Homeless Veterans** - Provided housing assistance to 930 homeless veterans through the VASH program.

In 2016, HHA plans to reinvest in Houston communities with the creation of over 400 new units with two construction starts.

The information in this section, AP-60, was provided by the Houston Housing Authority.

### Actions planned during the next year to address the needs to public housing

Years of federal disinvestment in the Public Housing Capital Fund Program (CFP), coupled with damage caused by Hurricane Ike, have created a significant backlog of capital needs across the HHA's portfolio. A recently completed Physical Needs Assessment values the current capital backlog at approximately \$50 million.

While HHA will expend its CFP allocation of \$4 million to address the most severe capital needs, without additional funding, HHA will remain significantly short of the support required to adequately address the portfolio's documented capital needs. Additionally, as the need to rehabilitate existing housing stock grows, so too does the need for affordable housing, with over 19,000 families currently on HHA's waiting list for a public housing unit.

HHA has also entered into a \$25 million energy financing partnership with Siemens to perform energy improvements across HHA's portfolio. The improvements include

- Air conditioning replacements and Energy Management and Control Systems (EMCS) upgrades
- Lighting efficiency improvements in the units and common areas
- Building envelope improvements
- Transformer upgrades
- Replace aerators, toilets, and showerheads in the units and common areas
- Irrigation upgrades
- Condensate recovery and rain water harvesting
- Generators
- Electric stove safe T-burners in units
- Hot water heaters at some locations

Fortunately, the coming year may present HHA and City of Houston with an opportunity to substantially address both the public housing capital needs backlog and the City's unmet demand for affordable housing. HHA and HCDD received awards of CDBG Disaster Recovery Round 2 (CDBG-DR2) funds. The goal is to leverage these dollars so it will be possible for HHA to address the capital needs at several hurricane-damaged public sites while adding upwards of 400 units of new affordable housing.

HHA will pursue transfer of assistance under the Public Housing Program at the current existing properties

- Mansions at Turkey Creek
- Villa's at Winkler

HHA will pursue Choice Neighborhoods Planning or Implementation grant for Grants and Promise Zones for the following properties

- Cuney Homes
- Kelly Village

HHA will pursue a number of new mixed finance development activities using CDBG-DR2 funds and Capital Funds including acquisition of sites for new housing development at

- Crosstimbers and N. Main
- METRO owned site on North Shepherd
- Lyons Avenue in the 5<sup>th</sup> Ward
- 2640 Fountain View – (no City of Houston entitlement funding will be used for this development)

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

**Resident Involvement.** HHA employs various strategies to promote public housing resident involvement in HHA's policy development and strategic decision-making processes. HHA encourages residents to become involved through participation in Resident Councils, which operate at most public housing developments. Staff from HHA's Client Services Department provides technical assistance to Resident Council members and help to ensure that third party (League of Women Voters) oversight is in place for the annual election of officers. Each Resident Council meets on a monthly basis to address general and property-specific issues.

Resident Council officers meet as a group with HHA staff quarterly. These meetings provide an opportunity for resident leaders to hear updates on major issues taking place at HHA and within the affordable housing industry nationally. Time is allotted for resident leaders to raise issues or ask questions, which often become the basis for further dialogue. Discussions typically cover issues related to resident participation in governance, safety and security, community service requirements, summer programs, development plans, and job readiness. Also, residents and Resident Council officers actively participate in the PHA planning process to annually review and revise management process.

**Participation in Homeownership.** HHA staff work to promote the Family Self-Sufficiency (FSS) Program to public housing and voucher participants. The FSS Program allows participants to establish an interest-bearing escrow account during the five-year program which includes job training, employment counseling, case management services, household skill training, and homeownership counseling. Upon fulfillment of the program, families receive the funds in the escrow account which may be used to purchase housing through the Housing Choice Voucher Homeownership Program.

The Housing Choice Voucher Homeownership Program is a federally funded program that allows families with a Housing Choice Voucher to use the voucher as part of their monthly mortgage payment to purchase a home rather than rent. The Homeownership Program is for first time homebuyers, a person who does not own a home or has not owned a home during the past three years.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

HHA does not have a troubled designation; therefore, it is not necessary for HCDD to provide financial or other assistance to HHA to remove such a designation during the next year.

**Discussion**

HHA is responsible for adopting a payment standard schedule that establishes voucher payment standard amounts for each Fair Market Rent (FMR) area in HHA's jurisdiction. HHA staff proposed to set payment increase payment standards for High opportunity areas to 120% of the 50<sup>th</sup> percentile FMR's. The mid-range percentage was raised to 110% of the 50<sup>th</sup> percentile FMR's. The low-range percentage was raised from 94% in 2014 to 100% of the 40<sup>th</sup> percentile FMR's.

***AP-65 Homeless and Other Special Needs Activities - 91.200(i)*****Introduction**

The Houston/Harris County CoC has updated their strategic plan to outline the goals and strategies for the coming years.

**Goal 1: End Chronic Homelessness by 2016**

**Goal 2: Prevent and End Veteran Homelessness by 2015**

**Goal 3: Prevent and End Family and Youth Homelessness by 2020**

**Goal 4: Set a Path to End All Types of Homelessness**

The CoC's annual action plans details activities and goals for the coming year. The goals for the next year focus on strategies to end family homelessness by continuing to utilize and expand rapid rehousing programs. Additional activities include reviewing rapid rehousing outcomes, reviewing system-wide performance expectations, and developing a response to youth homelessness particularly underage/runaway youth as well as youth aging out of foster care. Major activities are outlined below.

**Continued oversight and creation of 295 units of PSH and other targeted affordable housing development linked to system-wide homeless prevention and diversion activities**

- Host a pipeline committee comprised of major funders to coordinate funding and guide new development and turnover of existing PSH units
- Prioritize public and private capital, operating, and service resources to support PSH development and targeted affordable housing development, including SRO or pay by day facilities and inclusion of restricted 30% AMI units in mainstream affordable housing development
- Increase capital investments for targeted affordable housing development in support of diversion and prevention activities, particularly for non-chronically homeless single individuals

**Continued implementation of the integrated care service delivery model for PSH units in the pipeline**

- Nurture new partnerships between Federally Qualified Health Centers, Mental Healthcare Providers, and Homeless Service Providers
- Support a pilot project with State Medicaid and Managed Care Organizations to fund supportive housing services.
- Connect integrated care teams to new and existing PSH units as they become operational.

**Implement a single coordinated intake, assessment, triage, and central referral system for all homeless housing interventions**

- Continue implementation and expansion of the coordinated placement system to include income triage, assessment and referral.
- Make coordinated access available via a call center across the CoC.
- Connect the system to shelter, transitional housing, and other diversion and prevention programs

**Standardize and expand Rapid Rehousing**

- Combine federal, state, and local resources to create a single standardized rapid rehousing model across the CoC and ensure maintenance and scaling.
- Continue to prioritize resources for rapid rehousing and linked prevention activities to build a safety net system for homeless and at-risk families

**Implement performance expectations for the entire CoC**

- Apply performance standards to all programs operating in the CoC and use performance to determine future funding awards and possible reallocation or repurposing of existing resources in support of the CoC strategic plan

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including (narrative for the following 1-4):

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

HCDD will continue to support organizations that assess the needs of the homeless to create a more robust social service system to address unmet needs. HCDD provides ESG and CDBG funding to social service organizations to assess the needs of homeless persons.

The Coalition for the Homeless Houston/Harris County (Coalition) collaborates with service agencies and others in the public sector to analyze existing needs to identify and address funding gaps. The Homeless Point-In-Time (PIT) Count and the Community Needs Assessment, organized by the Coalition, annually assesses the characteristics of the homeless population in and around Houston. This is important data used by the Coalition and its stakeholders to track the changing needs of the homeless. In PY 2016, HCDD will continue to financially support the Coalition's preparation for the 2017 PIT Count. Additionally, the CoC hosts a minimum of two Consumer Input Forums annually to obtain input on the action plan from current and formerly homeless individuals and families.

In 2014, the CoC implemented a coordinated assessment system ensuring standardized assessment for any homeless individual at a variety of access points. This system functions to triage, assess, match and refer homeless individuals to the most appropriate permanent housing option across the continuum. This is now the primary referral method for most homeless beds and functions as the sole referral source for PSH. This system will continue to be expanded in 2016 and will optimize access for both sheltered and unsheltered homeless individuals seeking support across the City. Outreach workers are trained as assessors and navigators ensuring unsheltered homeless.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

HCDD continues to fund social service agencies providing emergency shelter for homeless individuals and families. Services will include case management, direct rent or utility assistance, and operations costs associated with overnight shelter. HCDD's ESG funding will address emergency shelter needs as discussed later in the section. As part of the planning process for community-wide coordination of ESG implementation and the restructuring of the CoC funding process, the CoC is collaborating with local ESG recipients to right-size the system of emergency shelter, transitional housing, and rapid re-housing ultimately shifting resources toward permanent housing options. This coupled with enhanced diversion and prevention resources will dramatically reduce demand for emergency shelter and ultimately allow the system to reach equilibrium and end homelessness.

#### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

HCDD is completing the homeless initiative and is close to completing its goal of 2,500 units. HCDD's Multifamily Housing program continues to encourage the creation of Permanent Supportive Housing.

HCDD is also committed to braiding federal, state, and local resources in partnership with Harris County and the CoC to expand rapid rehousing for families with children, veterans, and unaccompanied youth. Rapid rehousing assists households to rapidly return to permanent housing by offering short-term case management and financial assistance.

This intervention has proven to be more than 90% effective in returning families to housing stabilization. A recent system mapping exercise performed under HUD technical assistance revealed that approximately 30% (8,100 households in a given year) of Houston's homeless population will require rapid rehousing to stabilize. A plan has been implemented to braid resources across the CoC and more than double the number of households to be served with rapid rehousing with the intent to leverage the public investment and attract resources for 1,500 households.

The coordinated assessment system, described previously in this section, will act as the process for identifying people who are homeless and most in need of PSH or rapid rehousing, which include people who are chronically homeless, families with children, veterans, persons fleeing domestic violence, and unaccompanied youth.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

HCDD will fund several agencies that deliver homelessness prevention assistance from various federal and state grants, which include

- Short-term subsidies to defray rent and utility arrearages for families that have received eviction or utility termination notices or are experiencing a hardship that may lead to homelessness
- Security deposits and first month's rent to permit homeless families to move into their own apartment
- Mortgage payments

Preventing homelessness, especially family homelessness, is a priority for the City and its partner, the Coalition. The Coalition assists Houston's homeless service providers, many of whom are CDBG and/or ESG subrecipients, to help families implement strategies that keep them stabilized and resolve their financial issues before being identified as "homeless". While local nonprofit and faith-based organizations provide basic needs and rent/utility assistance that divert families at imminent risk, the Coalition's 24/7 homeless services hotline refers and connects families to providers with immediate help and mainstream resources.

As part of the planning process for community-wide coordination of ESG implementation and restructuring of the CoC funding process, the Coalition is collaborating with local ESG recipients. The CoC plans to develop a homelessness prevention eligibility standard to target those most at-risk of becoming literally homeless. This standard will be developed using HUD's ESG eligibility criteria and local data regarding characteristics common among people who are literally homeless. Depending on the level of need of potential clients, the agency initially conducting intake will either admit the person to their program or will conduct a warm hand-off to another homelessness prevention provider in the system that is skilled in meeting the person's needs for housing.

The CoC also plans to execute memorandum of understanding (MOU) with mainstream and other homeless service providers on the behalf of the homelessness prevention system in order to help clients link to mainstream and homeless supportive services outside of the ESG programs. The purpose of developing MOUs is to help clients easily access mainstream services that might have a cumbersome application process or lengthy waitlist. This includes developing protocols for warm-handoffs to United Way's THRIVE programs to enhance family self-sufficiency and financial mobility. Mainstream services will include those listed in 24 CFR 576.400 (c), as well as those in the SOAR program, and locally-funded programs to assist with increasing income and improving health.

The Coalition, along with local public funding jurisdictions and publicly funded institutions and systems of care that discharge people into homelessness, will create or modify discharge plans to prevent discharging into homelessness by

- Identifying local discharge plans or practices that are leading to homelessness
- Engaging each system and discussing data and alternatives
- Utilizing data to inform broader strategic planning process

The CoC has several discharge policies to coordinate community-wide assistance to address youths aging out of foster care, persons exiting health care and mental health institutions, and persons discharged from correctional institutions. With the introduction of the coordinated placement system, these institutions are being invited to coordinate discharge planning activities to prevent homelessness. Protocols have been developed to connect with Harris County Jail and several emergency rooms and hospitals across the jurisdiction.

## Discussion

HCDD has established a contractual relationship with the Coalition for the Homeless of Houston/Harris County, Inc. (Coalition) to manage efforts related to addressing chronic and family homelessness. The City continues to support the Coalition's efforts by providing HCDD staff assistance and CDBG, ESG, HHSP, and Homeless and Housing Bond funding for

- Implementing and operating the Homeless Management Information System (HMIS)
- Developing and implementing a Strategic Plan to End Chronic Homelessness
- Planning and prevention activities
- Homeless Point in Time Count
- Annual Needs Assessment and Gaps Analysis
- Continuum of Care Collaborative Grant Application
- Performance measurement of CoC funded programs and projects
- Developing coordinated access for CoC system
- CoC Steering Committee and work groups

The Coalition for the Homeless of Houston/Harris County continues to administer the Homeless Management Information System (HMIS) for contributing homeless organizations within Houston/Harris County Continuum of Care (CoC). Since its initial implementation in 2004, HMIS has grown to become the major repository of homeless assistance and homelessness prevention data in the community. An increasing number of federal and local funders now require HMIS participation, and its data is regularly used for various reports and analyses.

At the end of 2015, there were 206 programs and 596 individual users actively participating in the HMIS. The database consisted of 221,555 unique client records. A majority of clients served before 2010 were literally homeless individuals, but a significant segment now includes the at-risk population assisted by homelessness prevention programs, including those funded by VA SSVF, HUD ESG and HOPWA. Of the 41,841 clients enrolled in any HMIS programs during 2015, there were 28,432 literally homeless individuals.

The HMIS has grown into a mature system because there are very few homeless assistance providers in the local Continuum of Care that are still not actively participating. The latest additions are two Runaway and Homeless Youth (RHY) providers, and one major emergency shelter. The data quality has greatly improved in recent years, which allows for more accurate reporting and trend projections.

The Coordinated Access (CA) system continues to be a key component of the HMIS. Its initial focus on Permanent Supportive Housing (PSH) was broadened by the addition of Rapid Re-Housing (RRH) assessments in 2015. Between the CA's launch in early 2014 and the end of 2015, 3,574 clients were assessed for housing, of which 499 were placed in PSH and 492 in RRH. The Coalition team is now working to integrate "Income Now" initiative into the CA module. Once completed, it will enable individualized referrals to Workforce Solutions and other employment and income service providers.

The HMIS software is provided by ClientTrack Inc., a privately held company. The Coalition HMIS support team includes six staff members. As part of their daily activities, the support team continues to implement a number of proactive data quality measures and it monitors the HMIS to ensure completeness, accuracy, and standardization of data collection processes. Support specialists work with the CoC agencies by offering ongoing training and technical assistance, both individually and in groups. The team also issues monthly newsletters, and hosts quarterly HMIS forums with the provider community to discuss recent activities, ongoing data quality issues, and future developments.

**AP-70 HOPWA Goals**

Table 14 - HOPWA Goals

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	850
Tenant-based rental assistance	475
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	235
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	65
Total	1,625

***AP-75 Barriers to affordable housing - 91.220(j)*****Introduction**

As listed in the 2015-2019 Consolidated Plan, the most critical barriers to the production and preservation of affordable housing include the following.

**Current market conditions**

In the past several years, the residential market in Houston became one of the hottest in the nation. As the market boomed, affordable housing development became more expensive to produce. According to the Houston Association of Realtors, the year 2015 had the most single-family home sales and total property sales, second to only 2014. Although the number of sales has decreased, sales prices continue to climb. But as low oil prices continue resulting in more layoffs in 2016, the market conditions are anticipated to cool. In PY 2016, the higher priced housing is likely to slow, while the more moderately priced rental and homebuyer units are expected to continue to be in demand, especially in desirable areas of the city.

**Deterioration of housing stock**

Houston's housing stock is aging. Many properties built before 1978 may have environmental hazards such as lead-based paint. Due to remediation and other rehabilitation work required to bring units to current code standards, repairs and rehabilitation work may be prohibitively expensive for homeowners or owners of rental properties.

**Insufficient availability of current financial resources to address affordable housing needs**

There is a lack of available, accessible, and quality affordable housing in Houston which has precluded people from obtaining housing. As identified by the public in the development of the 2015-2019 Consolidated Plan, this scarcity was named the greatest barrier to finding and maintaining housing and was the greatest barrier to fair housing choice in Houston. It is estimated that federal funding will likely remain steady constant or decrease over the next few years, which may limit future development without other sources of funding.

**Lack of communication between government and residents**

Resident feedback from the development of the 2015-2019 Consolidated Plan noted that the most significant challenge faced by the City to promote fair housing is communicating with residents about housing discrimination, fair housing laws and rights, and available City programs. Opening more avenues of communication between residents and the City will also help to address other impediments such as promoting fair housing understanding. The importance of this is also reflected in one of the 12 core strategies from the Houston General Plan: Communicate clearly and with transparency.

**Lack of regulation**

Lack of regulations limits the ways in which the City could potentially require decent, safe, affordable housing. One example of how the lack of regulation may affect quality affordable housing supply is having no regulatory development tools to require a percentage of affordable units in new developments in designated neighborhoods, called inclusionary zoning. Instead, Houston's greatest tool to promote affordable housing is through the use of incentives.

**Regulation**

Municipal, State and Federal regulations may, in some instances, increase the cost of or the time to develop affordable housing. For instance, although some of HUD's regulations relating to noise, environmental, or site and neighborhood standards are needed to protect future affordable housing residents and existing neighborhoods, these are additional requirements that affordable housing developers must comply with that developers in the private market do not. Some could view these additional regulations as reasons why developing affordable housing has barriers.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The proposed actions to address barriers in PY 2016 are described in more detail below, and other actions to overcome impediments to fair housing are located in the Appendix and titled *Affirmatively Furthering Fair Housing*.

*Address housing market conditions that inhibit low- and moderate-income persons from obtaining decent housing*

- Increase affordable housing supply by funding acquisition, rehabilitation, and new construction of affordable rental housing
- Lower the cost for low- and moderate-income families to achieve homeownership by assisting with downpayment and closing cost assistance
- Continue housing repair activities to lower the cost of home maintenance and improve housing stock
- Work with lending institutions to provide services for underserved populations

*Invest in building code enforcement and lead hazard remediation to abate deterioration of housing stock*

- Improve housing stock for low- and moderate-income homeowners through the Single Family Home Repair Program
- Provide lead hazard testing and/or remediation for households participating in the Single Family Home Repair Program
- Reduce lead-based paint hazards in low- and moderate-income housing units by partnering with HHD and providing matching funding for federal grants
- Engage in code enforcement activities carried out by the City's Department of Neighborhoods to address single family and multifamily property owners' code violations

*Strengthen intergovernmental relationships to resolve regulatory issues*

- Inform and communicate to TDHCA regarding updates to the QAP
- Coordinate with local HUD officials and request waivers to certain HUD standards, as needed
- Continually improve HCDD's monitoring and compliance function to detect and address inconsistencies or conflicts among federal, state, and local grant and regulatory requirements
- Continue to provide technical assistance to nonprofit and for profit affordable housing developers and public service agencies regarding new or changing requirements
- Continue to refer fair housing complaints to substantially equivalent agencies and the regional HUD office which are equipped and trained to manage such complaints effectively and efficiently

*Use education to encourage policy decisions and public support that positively impact affordable housing*

- Educate city officials and staff about fair housing issues to improve understanding of and the impact of municipal laws and regulations on affordable housing through presentations and meetings organized by HCDD staff
- Prepare information and materials about impediments impacting affordable housing for use in presentations and meetings organized by or with HCDD staff for stakeholders and community groups
- Engage fair housing and affordable housing advocates to elevate affordable housing issues in the public
- Create marketing materials, such as videos, to promote the importance of providing safe, affordable housing through activities like the single family home repair funded with CDBG-DR2

## Discussion

HCDD will continue to pursue innovative partnerships, locate additional funding sources, and engage in comprehensive planning efforts with regional entities to reduce and remove barriers to affordable housing and fair housing. HCDD will continue to use partnerships to reach out to citizens for input and to pursue other funding to benefit citizens. Also, HCDD will foster collaboration with housing and service providers to enhance existing strategies and implement new strategies to address affordable housing barriers. The City of Houston is committed to improving communities by performing research and analysis, collecting citizen input, and exploring best practices to inform programs and activities and to remove barriers to affordable housing.

## *AP-85 Other Actions*

### Introduction

The following describes the planned actions or strategies that the City of Houston will pursue in the next year to

- Address underserved needs
- Foster and maintain affordable housing
- Evaluate and reduce lead-based paint hazards
- Reduce the number of poverty-level families
- Develop institutional structure
- Enhance coordination

### Actions planned to address obstacles to meeting underserved needs

Here, the underserved are defined as LMI households that have a member that is elderly, is a child, has a disability, or has a quality of life limiting medical condition. The underserved also include individuals experiencing homelessness or victims of domestic violence. Characteristics of the underserved population may include fixed incomes, unemployment or underemployment, living in aging housing stock, language barriers, and physical limitations to access services.

In PY 2016 HCDD will strive to overcome the three main obstacles of the underserved by  
Leveraging its resources

- HCDD will continue to implement programs through special grants and to support funding applications for various non-profit agencies. HCDD will continue partnering with housing and service organizations to create supportive housing units for the chronically homeless.
- HCDD will continue to work with HHA to efficiently utilize entitlement funds to provide housing for extremely low- and low-income residents.
- HCDD staff will continue to research, apply for, and manage competitive grant opportunities to fund and enhance community development activities in Houston, as appropriate.
- HCDD will research ways in which HCDD activities can receive program income to sustain future community development programming. The RFPs for public facilities activities and for multifamily development will ensure that projects chosen have leveraged other funding sources with entitlement funds.

Assisting households increase their income and assets

- HCDD will continue to fund public services including job training programs and other assistance programs, like childcare, to help individuals secure a job to increase their family income.
- HCDD's Compliance Division facilitates training and monitors routinely for contractual compliance to ensure that contractors are adhering to Section 3 guidelines to provide job training and employment and contract opportunities to low-income residents.
- Helping families build assets, the Homebuyer Assistance Program provides financial assistance to income eligible households that otherwise could not afford to purchase a home due to the lack of funds for downpayment and other fees associated with a home purchase.
- HCDD will continue to look for new ways to create job opportunities for low- and moderate-income persons through existing funding resources, like Section 108 and EDI funds.
- HCDD will continue to work with local initiatives helping families build wealth through BankOn Houston and the United Way's THRIVE.

Making housing and services available for the underserved

- HCDD will prioritize housing and services to those in most need including populations with special needs. Rapid re-housing activities using ESG funds will target homeless individuals and those who are victims of domestic violence.

- HCDD will continue to address rental housing needs of the underserved by giving preference to developments that serve the elderly, persons with a disability, or persons who have experienced homelessness in the selection process. Housing developments assisted with entitlement funds will continue to comply with Section 504 requirements to make housing available for persons with disabilities.

Advertising available services to the underserved

- HCDD will continue to develop translated materials to reach non-English speaking residents in regards to available programming and general entitlement information.
- HCDD will explore different methods of outreach to enhance communication with residents who have a disability.
- HCDD will strive to hold public hearings in low-income neighborhoods and conduct meetings at agencies that serve special needs populations.

### **Actions planned to foster and maintain affordable housing**

As of January 2016, HCDD's multifamily compliance portfolio includes 15,031 housing units in over 88 developments, and as a result of federal and local funding sources, over 6,795 of these units are income-restricted. Effective relationships with developers of affordable housing, potential buyers of at-risk housing, advocacy groups, lenders, community groups, and other stakeholders help to ensure that the number of restricted units is maintained. The City of Houston will continue to lead an effort to develop permanent supportive housing to help end chronic, veterans, family, and youth homelessness in Houston. By providing analysis of homeless needs, coordinating with other agencies and funding this effort, the City will continue to encourage affordable housing development with supportive services. The City of Houston will continue to collaborate and partner with public and private housing developers, builders, and finance agencies to foster decent, safe, and affordable housing.

HCDD continuously works to solicit and finance new housing developments that maximize the use of available funds through leveraging, to not only create new affordable rental housing, but also to ensure the preservation of existing rental housing. Leveraging of HCDD's funds will become increasingly important as HCDD's allocation of federal funds continues to decline.

During PY 2016, HCDD will fund the rehabilitation of rental units to maintain affordability and livability of the units. In PY 2016, HCDD will continue funding other renovations to existing housing developments including Woodland Christian Tower (127 units), Pleasant Hill Village (165 units), and Cleme Manor (284 units). The development at 4600 Main Street (48 units) is an existing office building being converted into residential use, affordable housing mostly targeted to veterans. Of the total 624 units, these renovation projects will collectively contribute 172 City of Houston restricted, affordable units to Houston in PY 2017 or later.

HCDD will also create new affordable housing opportunities in Houston. During PY 2016, HCDD expects three new affordable housing developments to be completed. These will include 98 new City of Houston restricted, affordable units at Cottages of South Acres (144 units), Temenos Place II (80 units), and the Women's Home Phase 2 (84 units). Temenos and the Women's Home will provide Permanent Supportive Housing units which couples individualized supportive services with residents of the housing units.

HCDD intends to issue a new RFP during the Program year to solicit new proposals for both new and renovated housing developments.

### **Actions planned to reduce lead-based paint hazards**

During PY 2016, HCDD will expend CDBG funds for lead-based paint related programs through the Lead-Based Paint Hazard Control Program managed by the Houston Health Department (HHD) and through single family home repair activities. A description of the activities follows.

**Lead-Based Paint Hazard Control Program (LBPHC).** HCDD and HHD's Bureau of Community and Children's Environmental Health (BCCEH) work closely together to reduce lead hazards. Beginning in 1996, HHD has received federal funding from the U.S. Department of Housing and Urban Development (HUD) to reduce lead-based paint hazards and establish Healthy Homes principles in low- and moderate-income housing units within the City of Houston. The BCCEH's Lead Program is funded by federal grants, including the Lead Hazard Reduction Demonstration (LHRD) Grant.

HHD uses HCDD's funding as match dollars in support of the LHRD grant. In PY 2015, HCDD changed its method of funding lead related programs through HHD. Previously, HCDD funded the match for each LBPHC grant in three equal payments over three years. Going forward, HCDD will provide one lump sum of match dollars, intending to make the accounting for the program more transparent and easily understood. During PY 2015, prior year funds were reallocated to provide a one-time matching grant of \$750,000 in support of the most recent HUD grant received by HHD in fall of 2015. Although no PY 2016 funding will be allocated for this activity, CDBG funding from prior years will support the continuation of this activity in PY 2016.

HCDD plans to continue to provide HHD with CDBG funds to meet the matching requirement for grants that support lead-based paint reduction activities. With the help of HCDD's CDBG funds as match, BCCEH performs hazard reduction and remediation on approximately 65 units annually.

**Single Family Home Repair Program Lead Activities.** For single family home repair activities, HCDD staff presumes that all homes built before 1978 have lead hazards. HCDD staff members have been certified as Lead Supervisors and ensure that construction is abated properly. HCDD staff ensures the delivery of repair services will be provided in a timely manner and in the most efficient and healthful way possible.

### **Actions planned to reduce the number of poverty-level families**

HCDD provides many services for persons who are in poverty. However, HCDD's efforts are also intended to help reduce the number of persons in poverty. HCDD will carry the following strategies and actions over the next year to help families achieve financial stability

#### Increasing income

- Fund job training and educational programs to increase a person's potential income
- Work with businesses to increase the number of jobs available, especially higher waged jobs, to low- and moderate-income persons

#### Building Savings

- Work with other local organizations, such as United Way's THRIVE and BankOn Houston, to increase financial education, homebuyer counseling, and general financial literacy concerns

#### Acquiring Assets

- Provide direct homeownership assistance to potential homebuyers to help increase a household's assets
- Partner with HBDI to make small business loans available to increase assets of small business owners

### **Actions planned to develop institutional structure**

Internally, HCDD is comprised of four major functions: Program Areas (Multifamily and Commercial, Single Family, Neighborhood Facilities and Improvements, and Public Services), Financial Services and Administration, Planning and Grants Management, and Compliance Divisions. The current structure highlights HCDD's commitment to ensuring that all functions perform in a concerted manner to guarantee an efficient use of public and private

resources with maximum output in the form of accomplishments. Underlying this effort is the recognized need to maintain a high level of coordination on projects involving other City departments and/or outside agencies.

HCDD will address gaps and improve institutional structure using the following strategies

- Reduce and/or alleviate any gaps in services and expedite the delivery of housing and community development improvements (primarily affordable housing) to eligible residents.
  - The Special Assistant to the Mayor for Homeless Initiatives will continue to lead planning efforts related to ending homelessness and to advance PSH.
  - HCDD staff expects to evaluate and implement new guidelines for single family home repair activities to upgrade its building standards and create greater efficiencies.
  - The Homebuyer Assistance Program expects to update its guidelines to provide greater assistance as needed.
  - Public Services Division is changing Letter of Agreement (LOA) signature process to expedite the implementation of the LOA.
- Use a high level of communication and project coordination among City departments and support the City's efforts to revitalize and/or stabilize low- and moderate-income neighborhoods.
  - HCDD is currently in partnership with Health, Library, Parks and Recreation, and Public Works and Engineering departments, as well as the Mayor's Office on a variety of community improvement projects in low- and moderate-income neighborhoods. HCDD will further refine ways to communicate regulations to other city departments which may include additional meetings and desk reviews.
  - HCDD will work to communicate reimbursement process for other City Departments with LOAs.
  - HCDD will continue to host interdepartmental meetings on fair housing to enhance the communication between City departments.
- Work with and financially support various community housing development organizations (CHDOs) operating in low- and moderate-income neighborhoods to build affordable housing for the elderly, veterans, and other special needs populations.
  - HCDD will continue to provide technical assistance to local housing development organizations and walk organizations through the application process to become CHDO certified.
- Use established partnerships to identify opportunities for joint ventures with agencies that have sources of funding to construct or operate affordable housing.
  - HCDD and HHA will continue to plan rehabilitation of housing units supported by HHA.
- Continue to cultivate strong working relationships with local financial institutions to ensure the availability of private funding for housing and other projects and low- and moderate income homebuyers.
  - HCDD will continue to work with the Houston Housing Finance Corporation to develop future housing financing opportunities.
  - HCDD will continue to reach out to realtors associations, including those representing minorities and realtors working in low-income areas of the city, to advertise HCDD's Homebuyer Assistance Program.
  - HCDD will work with finance agencies to further economic development initiatives including addressing food deserts.

#### **Actions planned to enhance coordination between public and private housing and social service agencies**

As the lead agency in the Annual Action Plan (Plan) development process, HCDD continues to share a common vision with its partners in the public and private housing and social service sectors. That vision promotes community development and the leveraging of resources to maximize program outcomes.

HCDD will continue to enhance coordination efforts between housing and social service agencies. Some of these efforts are described in the following section

- *Coalition for the Homeless Houston/Harris County.* HCDD funds HMIS, the primary data system maintained by the Coalition used to track information related to the region's homeless population. Such data informs efforts to address the needs of the chronically homeless in the region in cooperation with agencies across several counties. HCDD will support the Coalition's efforts to analyze HMIS data to enhance coordination between service providers and homeless housing providers through the continued development of a coordinated access system. Recently added to the HMIS system is the HOPWA Program which will help to coordinate housing and services between homeless and HOPWA providers. In addition, HCDD financially supports the Coalition's administrative efforts including the coordination of the Point-In-Time Count each year which serves as a basis for federal homeless funding allocations for the CoC.
- *Continuum of Care (CoC).* The CoC brings together local units of government, housing providers, and service providers to strategize and plan for future activities to address homelessness in the Houston area. As a member of the CoC Steering Committee and various CoC workgroups, HCDD will continue the implementation of the integrated care service delivery model for permanent supportive housing units by partnering with federally qualified health centers, mental healthcare providers, and homeless service providers.
- *Addressing Homelessness.* HCDD will work to enhance coordination between housing and service providers while leading the effort to implement strategies to end chronic homelessness. As a part of the pipeline committee, HCDD will work with and fund housing providers creating or rehabilitating units that would incorporate services, sometimes on-site of the housing development. With Houston's leadership, HHA has committed to provide rental assistance vouchers for PSH units developed by HCDD, and HHD has committed to providing "1115 Waivers" by Medicaid to fund the support services attached to developed PSH units.
- *Economic Development.* The Business Improvement Program (BIP) is one way HCDD carries out its economic development activities through subrecipients and contractors. As Houston's only Community Based Development Organization (CBDO), HCDD will coordinate with Houston Business Development Inc. (HBDI) to enhance its capacity to provide funding and technical assistance to small businesses owned by or that create jobs for low- and moderate-income persons. HCDD will explore new partnerships with other agencies, like the Houston Redevelopment Authority, to create new opportunities for businesses to provide services in low-income neighborhoods.
- *Community Development Advisory Council (CDAC).* HCDD will enhance coordination between housing and social service providers by hosting members from a variety of public and private housing and social service agencies to discuss ways to most effectively carryout the strategies in the consolidated plan.
- *City Departments.* HCDD will continue to host interdepartmental meetings to plan future City actions that affirmatively further fair housing.

The activities and partnerships with some of the aforementioned organizations span multiple counties (Harris, Fort Bend) and cities (Missouri City, Pasadena). More details about the organizations consulted throughout the planning process are included in the Consultation section of this Plan.

## Discussion

The City of Houston is continuously refining its strategies to foster affordable housing, reduce lead-based paint hazards, reduce the number of families in poverty, develop institutional structure, and enhance coordination. By enhancing coordination and developing greater collaboration, the City of Houston will work to create an environment in which affordable housing including supportive housing is sustained and encouraged.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.220(l)(1,2,4)

#### Introduction

The following addresses the program specific requirements for the Annual Action Plan. It includes required information for CDBG, HOME, HOPWA, and ESG.

### Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220.(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

**Table 15 – CDBG Program Income**

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	181,511
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>181,511</b>

#### Other CDBG Requirements

**Table 16 – CDBG Requirements**

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

## HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(l)(2)

### 1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Houston does not use other forms of investment beyond those identified in Section 92.205.

### 2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

In PY 2016, HCDD does not intend to utilize HOME to fund homebuyer assistance activities. However, if plans change during the program year, HCDD will use the recapture provisions for the Homebuyer Assistance Program, as established in §92.254(a)(5)(ii). If HOME is utilized, the following outlines the required minimum affordability period for homebuyers receiving a direct HOME subsidy through HCDD's program

- Direct HOME subsidy under \$15,000 has a minimum period of affordability of 5 years
- Direct HOME subsidy between \$15,000 and \$40,000 has a minimum period of affordability of 10 years
- Direct HOME subsidy over \$40,000 has a minimum period of affordability of 15 years

If HOME is used, the direct HOME subsidy is the amount of HOME assistance that would enable a homebuyer to buy a unit and may include downpayment, closing cost, interest subsidies, settlement charges, or other direct subsidy that reduced the purchase price from fair market value to an affordable price.

**Homebuyer Assistance Program.** HCDD's Homebuyer Assistance Program provides downpayment and closing cost assistance to eligible low- and moderate-income homebuyers. Assistance, which is currently a direct CDBG subsidy, is provided as a deferred, forgivable loan. The assisted homebuyer must occupy the property as his/her principal residence through the affordability period. Once the affordability period ends, no recapture restrictions will apply. If the property is sold during the affordability period, HCDD will recapture the entire amount of the direct subsidy provided to the homebuyer before the homebuyer receives a return on the sale. The homebuyer may sell to any willing buyer. HCDD's recapture amount is limited to the net proceeds available from the sale.

If the homebuyer vacates or rents the property during the affordability period, the full amount of the direct subsidy will be immediately due and payable to the City, unless the homebuyer re-establishes his/her actual residency pursuant to evidence acceptable to the Director.

In the case of default during the affordability period, the City may pursue all remedies available to the City under the loan promissory note, the deed of trust, or other program loan documents. In the development of home purchase agreements, HCDD will utilize the recapture provision, as discussed above: recapture of entire direct subsidy (if HOME is used see HOME Program regulations at 24 CFR 92.254(a)(5)(ii)).

**CHDO Program.** For homes developed or rehabilitated and sold under the CHDO Program as homeowner housing, HCDD may require homebuyers to participate in HCDD's Homebuyer Assistance Program, as appropriate. All recapture requirements under the Homebuyer Assistance Program will apply. HCDD may also use below market rate mortgage loans for homebuyers purchasing CHDO homes. The interest rate subsidy is subject to the same recapture provisions as the Homebuyer Assistance Program as recorded in the promissory note, deed of trust and any other mortgage documents. The recapture amount is also limited to the net proceeds available from the sale.

**3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

If HOME funding is utilized, the period of affordability is based upon the direct HOME subsidy to the homebuyer as described in the previous section. The period of affordability is stated in the loan and program documents which include the Loan Note, the Lien, the Statement of Terms and Conditions, and Land Use Restriction. All four of these documents are signed by the homebuyer to ensure compliance during the affordability period.

Recapture is triggered when a default occurs. Each of the following constitutes a default as defined by the loan documents

- Homeowner fails to owner-occupy the property as their principle residence.
- Homeowner transfers any interest in the property through a voluntary or involuntary sale.
- Homeowner fails to follow terms of loan agreements.

Annually, HCDD confirms homeowner compliance by requiring each homeowner to confirm their primary occupancy of the home purchased with federal funds that are still within the affordability period. The default and recapture process is initiated when residency cannot be established.

**4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

In accordance with 24 CFR 92.206(b) and 24 CFR 91.220(c), HCDD may permit refinancing of existing HOME financed projects under specific conditions and circumstances.

Applicants must demonstrate that

- Rehabilitation is the primary eligible activity
  - A rehab in which HOME funds are used to reduce any dollars in the capital structure, is considered rehabilitation and refinancing
  - Applicants can demonstrate compliance by reporting a minimum of \$5,000 of rehabilitation per unit
- Property will meet the extended 15-year affordability period
- The project, based on the included feasibility analysis, can reasonably service the targeted population over the affordability period
- The rehabilitated property will have at least 5 percent of its units designated as disability accessible and 2 percent designated, as appropriate, for use by the visually and hearing impaired
- The new investment being made
  - Maintains current affordable units; creates additional affordable units, or both
  - Funds a project which lies within the limits of the City of Houston
  - Is on a site and within a neighborhood suitable from the standpoint of facilitating and furthering full compliance with the applicable provisions of Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Executive Order 11063, and implementing HUD regulations

A rehabilitation project in which all HOME funds are used for construction costs is not considered a refinancing. HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

## Housing Opportunities for Persons with HIV/AIDS (HOPWA)

### Goals

HCDD solicits proposals for HOPWA sponsors through an RFP process that usually occurs every two years. Selected sponsors are contracted for a one-year period and may include a one-year extension if all contract requirements are met. The most recent RFP occurred in fall of 2015 where several agencies were selected as project sponsors for PY 2015 and 2016 allocations. A new RFP will be conducted during the calendar year 2017.

In PY 2016 HCDD will allocate

- \$1,775,579 for operating costs to provide 300 households with permanent and transitional facility-based housing
- \$2,300,000 for supportive services serving 1,000 households
- \$3,500,000 for project or tenant-based rental assistance for 475 households
- \$1,000,000 for short-term rent, mortgage and utility subsidies for 850 households
- \$100,000 for resource identification/technical assistance/ housing information for 1,625 households

### Discussion

HOPWA activities in PY 2016 are expected to serve 4,250 households with a person that has or is affected by HIV/AIDS. Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA). HCDD continues to serve as a member of the Ryan White Planning Council (Ryan White), and an HCDD staff member also serves as a member of the Priorities and Allocation Committee under the Planning Council. In addition to receiving critical community health needs information from Ryan White, HCDD staff also meet with HOPWA providers at least twice a year to receive information about the implementation and needs of the current providers. When HCDD receives information from stakeholders like Ryan White and from HOPWA providers, HCDD can adjust future funding decisions. For instance, with information from HOPWA providers, HCDD will target funding more toward tenant-based rental assistance and less on short-term rent, mortgage and utility assistance.

Effective July 1, 2014, HCDD's HOPWA program migrated from Powersource to using HUD's Homeless Management Information System (HMIS) the client database for HOPWA programming in the region. This allows HOPWA programming to be part of the coordinated access system.

With this initiation of using HMIS, HCDD has changed the way the weeks for STRMU assistance are counted from four weeks for a client (regardless of the amount of rent received, i.e. full month or half a month) to fractions based on whether a client received rent for a full month, half month, or a quarter of a month. HCDD defines a year based on a particular participants' year (one year from the day the participant begins receiving assistance).

In addition, the HOPWA Program changed its rent standard. The HOPWA Program moved from using Fair Market Rent as the rent standard to adopting the public housing authority's rent standard. The HOPWA Program will explore the possibility of utilizing TBRA as part of the Rapid Re-housing program.

## Emergency Solutions Grant (ESG)

### 1. Include written standards for providing ESG assistance (may include as attachment)

Written standards for providing ESG assistance are included in the Appendix and were last updated March PY 2015.

### 2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Continuum of Care (CoC) in the Houston area has established a centralized and coordinated assessment system that meets HUD requirements and is in the process of fully implementing the system. In January 2014, a soft roll out or Phase One of this system was implemented. The system began full implementation January 2015. In February 2013, the four local jurisdictions, the Coalition, and the Corporation for Supportive Housing gathered together to discuss strategies around the ESG program and coordinated access integration. Plans continue to develop around implementation of coordinated access and specifically the utilization of Rapid Rehousing.

Although the CoC's full-scale coordinated assessment system is beginning in stages, ESG homelessness prevention and rapid re-housing programs use common assessments and eligibility criteria, and clients may access homelessness prevention or rapid re-housing services at any point in the system. In order to target the system's limited homelessness prevention resources to those most at-risk of homelessness, in addition to HUD's eligibility criteria, local risk factors for homelessness were used to develop a common assessment. In order to monitor the tool's effectiveness, the CoC tracks clients who are deemed ineligible for homelessness prevention services to see if they access shelter or homeless services. Rapid re-housing programs target four high need population groups that would benefit from the model. Coordinated assessment is fully implemented, all ESG recipients and subrecipients have been integrated and a special planning initiative is underway with domestic violence providers.

### 3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

HCDD selects projects for funding based on the greatest community need as well as the effectiveness of the organizations assisting the community. The City of Houston last awarded funding through a competitive request for proposal process (RFP) in 2014. Awards resulted in a 12-month contract with several private non-profit organizations with a renewal option. Allocations for the renewals were determined based on productivity of the program, utilization rate, and need for service. The City of Houston, despite cuts in funding, continues to allocate more resources to Rapid Re-housing. The next RFP is expected to be issued during the summer of 2016 to select the next administrative agent of ESG funds which will include the PY 20116 funding.

Approximately 15% of ESG resources may go to organizations located outside of the City limits of Houston. Funds will be used for activities that benefit residents of the City of Houston and may include emergency shelter, homelessness prevention, and rapid re-housing, specifically related to domestic violence.

HMIS services continue to be funded out of the ESG program, and the Coalition continues to receive this funding from Houston and other local jurisdictions for the management of the local HMIS.

### 4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Houston meets the homeless participation requirement. The CoC consults with the four ESG jurisdictions bi-monthly. As a part of the planning role of the group, funding recommendations are taken from providers in the

CoC's Homelessness Prevention and Rapid Re-Housing Planning and Service Coordination workgroup, Consumer Advisory Council, CoC's Action Plan, and data analysis from HMIS. With this information, ESG jurisdictions, including HCDD, form strategies for funding program types and certain priorities. The Coalition for the Homeless' Consumer Advisory Council is comprised of people who are currently or formerly homeless. In addition, two places on the CoC Steering Committee, which consists of 17 members, are designated for consumer representatives, individuals who have experienced homelessness. Also, the Coalition's Board of Directors, which the City of Houston is a part of, is required to have a representative from the Homeless Services Coordinating Council.

## 5. Describe performance standards for evaluating ESG.

In consultation with the Coalition and other Emergency Solutions Grants grantees within the CoC, HCDD has developed program standards for Street Outreach, Emergency Shelters, Homelessness Prevention, Rapid Re-Housing, and HMIS activities.

For these standards, the initial data collection will be used to create baseline data. Grantees within the CoC have been working to establish community wide standards so that the community as a whole will have common goals. The CoC will measure progress toward those goals on a community level, as well as individual grantee level. HCDD will use the data collected on these outcomes over the following program years to analyze effective programs and establish more measurable standards. The progress toward outcomes will later be used to establish the community standard for achieving those outcomes. The City has completed three years of funding with the established ESG Written Standards. We are committed to more conversation and a thorough examination of performance and outcomes.

ESG standards for performance continue to be based on the outcomes below.

### *Number and percentage of people exiting to a known place*

This outcome will be measured by evaluating HMIS data for client exit. The measure will help improve data quality and provide better information on client outcomes for shelter programs. The goal of this standard is to help the CoC measure and reduce the time people spend homeless by providing a big picture of which program models are successful in reducing and eliminating returns to homelessness for clients. The data will also help the community to better target resources to clients who are returning to homelessness and have the highest needs.

### *Number and percentage of people assisted in overcoming a specific barrier to obtaining housing*

This outcome will be measured by detailing the types of barriers addressed and the steps to decreasing barriers so that clients using emergency shelter have more opportunities to access permanent and transitional housing and rapid re-housing services. HCDD endeavors to reduce the number of people living both on the street and in emergency shelters by reducing barriers. In addition, reducing the barriers will increase the likelihood of positive housing outcomes for clients that are accessing emergency shelter.

### *Number and percent of people who increase income from entry to exit in emergency housing programs*

This outcome will help the community measure the reduction in barriers to housing for clients in emergency shelter. For Homelessness Prevention and Rapid Re-housing, the CoC will to use the same performance standards to help measure the success of these interventions for the community. HCDD recognizes that clients who receive Rapid Re-Housing assistance will have a separate set of needs and likely higher barriers to maintaining permanent housing, so the outcome goals will be different, even though the measures for both programs are the same.

## Discussion

The City of Houston continues to work together with the CoC and other ESG grantees to review and revise standards of performance and service delivery as needed. As Houston has continued to work with community partners to

implement activities under the ESG regulations, HCDD has come into a few challenges, specifically related to Rapid Re-housing. These challenges include

- Lack of affordable housing within the City of Houston
- Multiple barriers to housing for HCDD's clients (i.e. eviction history, lack of income, criminal history)

The ESG funders workgroup is committed to addressing these challenges and plan to explore best practices in the areas mentioned above.

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*Appendix # 1: Affirmatively Further Fair Housing*

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>1. Conduct housing discrimination testing and studies                      HCDD will contract with one or more qualified fair housing organization to conduct fair housing testing or studies which may be related to whether lenders are engaging in mortgage pricing practices unrelated to credit worthiness or engaging in mortgage redlining, whether insurance redlining is occurring, whether discrimination in real estate appraisals is taking place, whether real estate advertising is discriminatory, to what extent landlords refuse Housing Choice Voucher participants, or whether other discriminatory housing practices are occurring. This action will help to reveal actual discriminatory practices taking place in the community so that future resources can be better allocated to address discrimination.</p> <p style="text-align: right;">Priority: High</p>	<p>1. Discrimination in Housing</p>	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: 2018</p>	<ul style="list-style-type: none"> <li>• Contract with qualified fair housing organizations</li> <li>• Conduct testing</li> <li>• Produce study or studies</li> </ul>	<ul style="list-style-type: none"> <li>• Get RPF approved</li> <li>• Finalize contract</li> <li>• Monitor progress</li> </ul>
<p>2. Provide counseling through the City's Fair Housing Hotline                      The City's Fair Housing Hotline provides a year-round, free resource to citizens who may have been discriminated against or have questions or concerns about various tenant and landlord issues. This action will continue to provide a resource to anyone living in, owning housing, or planning to move to the Houston area that may have a question or concern about their rights. The Fair Housing Hotline is one way to address several impediments by empowering citizens about their rights, giving citizens various ways to remedy possible discriminatory actions, and preventing future discrimination from occurring.</p> <p style="text-align: right;">Priority: High</p>	<p>1. Discrimination in Housing                      2. Lack of Knowledge about Fair Housing                      14. Lack of Communication Between Government and Residents</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach Materials</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Assist 7,500 callers</li> </ul>	<ul style="list-style-type: none"> <li>• Assist 1,500 callers</li> </ul>
<p>3. Provide fair housing education to housing industry professionals                      HCDD will provide fair housing education and outreach to 200 housing industry professionals, such as housing providers, by supplying housing materials to distribute with City of Houston contact information or information about complying with the Fair Housing Act which may include topics like providing reasonable accommodations. HCDD may also sponsor free training opportunities. This action will address discrimination by reducing the numbers of people impacted by covert and overt discriminatory practices due to housing providers being unaware or unfamiliar with fair housing laws.</p> <p style="text-align: right;">Priority: High</p>	<p>1. Discrimination in Housing                      2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach Materials</li> </ul>	<p>Start: 2016                      Complete: 2018</p>	<ul style="list-style-type: none"> <li>• Reach 200 housing industry professionals</li> </ul>	<ul style="list-style-type: none"> <li>• Reach 40 housing industry professionals</li> </ul>
<p>4. Provide fair housing information to HCDD stakeholders                      HCDD will provide education and outreach through trainings, presentations, informational brochures, and other methods to 200 HCDD stakeholders including subrecipients, contractors, developers, and nonprofit and for profit partners. This action will address the impediment of lack of knowledge by providing fair housing training to educate and ensure stakeholder compliance under fair housing laws of agencies and subrecipients that partner with HCDD.</p> <p style="text-align: right;">Priority: High</p>	<p>1. Discrimination in Housing                      2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach Materials</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Reach 200 HCDD stakeholders with information about fair housing</li> </ul>	<ul style="list-style-type: none"> <li>• Reach 40 HCDD stakeholders</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>5. Increase the fair housing knowledge of government staff                      HCDD will provide education and outreach to city staff through trainings, presentations, informational brochures, and other methods of outreach. HCDD may work with partners to create a fair housing training program to implement city-wide for executive city staff during the first 12 months of employment. This action will address the impediment of lack of knowledge by providing fair housing training and resources to educate city employees about federal requirements to comply with fair housing law within the city's policies and procedures.</p> <p style="text-align: right;">Priority: High</p>	<p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>● CDBG-Staff Time</li> <li>● CDBG-Outreach Materials</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>● Reach 1,000 staff members with fair housing training or information</li> <li>● Seek approval to institute fair housing training for executive city staff</li> </ul>	<ul style="list-style-type: none"> <li>● Reach 100 staff members</li> </ul>
<p>7. Provide fair housing and HCDD housing program information to citizens                      HCDD will create a fair housing outreach plan to inform 500,000 citizens of their fair housing rights, the fair housing complaint process, and tenant and landlord relations. The outreach will likely consist of direct mailings to rental tenants and management companies about the City's Fair Housing Hotline, direct mailings to renters about the City's downpayment assistance program, and basic fair housing training to civic clubs and Super Neighborhoods. Education and outreach may be provided through trainings, presentations, informational brochures, posters, and other methods. This action will address the lack of knowledge of existing fair housing and housing resources by creating an array of targeted marketing for groups that have little or no knowledge of fair housing rights, fair housing laws, or HCDD housing programs.</p> <p style="text-align: right;">Priority: High</p>	<p>2. Lack of Knowledge about Fair Housing</p> <p>8. Lack of Financial Education</p> <p>14. Lack of Communication between government and residents</p>	<ul style="list-style-type: none"> <li>● CDBG-Staff Time</li> <li>● CDBG-Outreach Materials</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>● Reach 500,000 citizens with information about fair housing</li> </ul>	<ul style="list-style-type: none"> <li>● Reach 50,000 citizens</li> </ul>
<p>8. Preserve affordable housing units                      HCDD will fund the preservation of at least 390 affordable housing rental units through the Multifamily Housing Development Program. This action will preserve the supply of existing affordable housing for low- and moderate-income households, addressing the lack of quality affordable housing options for members of protected classes.</p> <p style="text-align: right;">Priority: High</p>	<p>3. Lack of Affordable Housing Options</p>	<ul style="list-style-type: none"> <li>● CDBG</li> <li>● HOME</li> <li>● TIRZ</li> <li>● BOND</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>● Preserve 390 affordable housing rental units</li> </ul>	<ul style="list-style-type: none"> <li>● Continue to fund rehab of affordable housing rental units</li> </ul>
<p>9. Create affordable housing units                      HCDD will fund the creation of 404 new affordable housing rental units using entitlement funding. This action will expand the supply of affordable housing for low- and moderate income housing, addressing the lack of affordable housing options for members of protected classes.</p> <p style="text-align: right;">Priority: High</p>	<p>3. Lack of Affordable Housing Options</p>	<ul style="list-style-type: none"> <li>● CDBG</li> <li>● HOME</li> <li>● TIRZ</li> <li>● BOND</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>● Create 404 affordable housing rental units</li> </ul>	<ul style="list-style-type: none"> <li>● Create 98 units</li> </ul>
<p>10. Fund the creation or preservation accessible rental units                      Through HCDD's Multifamily Housing Development Program, rental developments must produce a minimum number of Section 504 accessible rental units. This action will increase the availability of quality accessible units for 50 low- and moderate-income households directly addressing the lack of accessible housing.</p> <p style="text-align: right;">Priority: High</p>	<p>4. Lack of Accessible Housing for Persons with Disabilities</p>	<ul style="list-style-type: none"> <li>● CDBG</li> <li>● HOME</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>● Fund creation or preservation of 50 Section 504 accessible rental units</li> </ul>	<ul style="list-style-type: none"> <li>● Create or preserve 3 units</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p><b>11. Fund downpayment assistance loans through the Workforce Development Program</b>                      HCDD's Workforce Development Program provides downpayment assistance to eligible middle-income households to purchase a home. This action will expand housing choice for middle-income households by allowing these households to seek housing in neighborhoods that may have more opportunity.</p> <p style="text-align: right;"><b>Priority: High</b></p>	7. Affordability	<ul style="list-style-type: none"> <li>• TIRZ</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Fund 30 loans through the Workforce Development Program</li> </ul>	<ul style="list-style-type: none"> <li>• 5 Loans</li> </ul>
<p><b>12. Fund public infrastructure and facility improvements in low- and moderate-income neighborhoods</b>                      HCDD will provide funding for 20 infrastructure and facility improvements through its Public Facilities Program. This action will improve low- and moderate-income neighborhoods by creating new or improved amenities and services in these neighborhoods.</p> <p style="text-align: right;"><b>Priority: High</b></p>	9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• TIRZ</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Fund 20 public infrastructure and public facility improvements in low- and moderate-income neighborhoods</li> </ul>	<ul style="list-style-type: none"> <li>• 4 public infrastructure and public facility improvements in low- and moderate-income neighborhoods</li> </ul>
<p><b>13. Fund economic development activities to create 3 new or improved services benefitting low- and moderate-income neighborhoods</b>                      HCDD will provide economic development funding to enhance services offered in low- and moderate-income neighborhoods. This action will improve low- and moderate-income neighborhoods by creating new or improved services in these neighborhoods that would otherwise be unavailable to residents.</p> <p style="text-align: right;"><b>Priority: High</b></p>	9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• Section 108</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Fund economic development activities creating 3 new or improved services</li> </ul>	<ul style="list-style-type: none"> <li>• Fund economic development activities creating new or improved services</li> </ul>
<p><b>14. Upgrade or reconstruct homeowner housing in CRAs</b>                      HCDD will continue and complete its Single Family Home Repair Program related to disaster recovery funding in city designated CRA areas by repairing, reconstructing, and demolishing substandard housing. This action will fund new residential homes or the repair of existing homes which will upgrade the housing stock in CRA neighborhoods which will enhance these neighborhoods.</p> <p style="text-align: right;"><b>Priority: High</b></p>	9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods	<ul style="list-style-type: none"> <li>• CDBG-DR</li> </ul>	Start: 2015 Complete: 2016	<ul style="list-style-type: none"> <li>• Complete repair or reconstruct 275 homes</li> </ul>	<ul style="list-style-type: none"> <li>• Complete the remaining units with CDBG-DR funds</li> </ul>
<p><b>15. Offer economic incentives for development in CRAs</b>                      HCDD will continue to address revitalization in CRAs by offering economic incentives for developers, businesses, bankers, and other interested entities that assist in the revitalization efforts. This action will incentivize private development in CRAs which will spur continued private investment revitalizing the community.</p> <p style="text-align: right;"><b>Priority: High</b></p>	9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods	<ul style="list-style-type: none"> <li>• CDBG-DR</li> <li>• CDBG</li> <li>• Section 108</li> </ul>	Start: 2015 Complete: 2018	<ul style="list-style-type: none"> <li>• Fund economic incentives</li> </ul>	<ul style="list-style-type: none"> <li>• Fund economic development activities in CRAs</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>16. Provide downpayment assistance funds for 500 low- and moderate-income households to purchase a home</p> <p>HCDD's Downpayment Assistance Program provides downpayment assistance to eligible low- and moderate-income households to purchase a home anywhere in the city. This action will expand housing choice for low- and moderate-income households by allowing these households these households to seek housing in neighborhoods that may have more opportunity.</p> <p style="text-align: right;">Priority: High</p>	5. Lack of Income/Funding	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• HOME</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Provide downpayment assistance loans to 500 households</li> </ul>	<ul style="list-style-type: none"> <li>• 50 households (with entitlement funds)</li> </ul>
<p>17. Provide home repair assistance for 250 low- and moderate-income households</p> <p>HCDD's Single Family Home Repair Program will assist qualified low- and moderate-income homeowners with needed home repairs or reconstruction to create a safe living environment. This action will address the lack of income of low- and moderate-income homeowners by assisting with home repair activities. In addition, this action helps to upgrade the housing stock in mostly low-income, minority areas.</p> <p style="text-align: right;">Priority: High</p>	5. Lack of Income/Funding  9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• TIRZ</li> </ul>	Start: 2016 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Provide housing repair assistance to 250 households</li> </ul>	<ul style="list-style-type: none"> <li>• Provide assistance to 50 households</li> </ul>
<p>18. Carry out economic development activities to create or retain jobs</p> <p>HCDD will continue to fund economic development activities such as businesses lending to create or retain 50 jobs. This action will address residents' lack of income by promoting ways for low- and moderate-income individuals of protected classes to become employed or retain employment.</p> <p style="text-align: right;">Priority: High</p>	5. Lack of Income/Funding	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• Section 108</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Create or retain 50 jobs</li> </ul>	<ul style="list-style-type: none"> <li>• Create or retain 20 jobs</li> </ul>
<p>19. Prioritize affordable housing proposals near transit options in RFP</p> <p>HCDD will prioritize housing proposals near transportation options by giving priority to proposals through the RFP process. This action will address the lack of transportation options by creating greater access to transit opportunities by locating affordable housing near transit.</p> <p style="text-align: right;">Priority: High</p>	11. Lack of transportation options	<ul style="list-style-type: none"> <li>• None</li> </ul>	Start: 2015 Complete: 2019	<ul style="list-style-type: none"> <li>• 100% of RFPs will have priority for proximity to transit</li> </ul>	<ul style="list-style-type: none"> <li>• Produce 1 RFP</li> </ul>
<p>20. Promote multifamily affordable housing development in high opportunity areas</p> <p>HCDD will prioritize housing proposals in high opportunity areas or CRAs by giving preference to proposals through the RFP process. This action will address patterns of segregation by locating affordable housing in areas with more opportunity.</p> <p style="text-align: right;">Priority: High</p>	6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status	<ul style="list-style-type: none"> <li>• None</li> </ul>	Start: 2015 Complete: 2019	<ul style="list-style-type: none"> <li>• 100% of RFPs will have priority for location</li> </ul>	<ul style="list-style-type: none"> <li>• Produce 1 RFP</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>21. HCDD will pursue additional financial resources to support fair housing activities                      HCDD will pursue additional resources by applying for grants such as the FHIP to support its continued fair housing efforts. This action is intended to lead to an increase in fair housing funding which will help to implement these actions while the city continues to receive dwindling entitlement funding.</p> <p style="text-align: right;">Priority: High</p>	5. Lack of Income/Funding	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Apply for 5 grants</li> <li>• Increase in funding available</li> </ul>	<ul style="list-style-type: none"> <li>• If applicable, apply for Fair Housing Initiatives Program (FHIP) funding</li> </ul>
<p>22. Create education material, or electronic access to material, in support of other actions as a way to educate government staff and community residents in fair housing                      HCDD will create original educational material including posters, flyers, brochures, and presentations that can be easily dispersed or can be available on-demand on the city's website to government staff and/or the community. By creating unique fair housing materials, HCDD can better tailor its outreach efforts to reach different groups with specific information needed.</p> <p style="text-align: right;">Priority: High</p>	2. Lack of Knowledge about Fair Housing  14. Lack of Communication Between Government and Residents	<ul style="list-style-type: none"> <li>• CDBG-Outreach Material</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• 10 materials created</li> <li>• 10 materials updated</li> </ul>	<ul style="list-style-type: none"> <li>• Create 2 material</li> <li>• Update 2 material</li> </ul>
<p>23. Translate HCDD's public notices about the consolidated planning process and other documents, as needed, into languages other than English                      HCDD will continue to translate its planning and housing program information documents prioritizing the documents most requested. This action will address the lack of communication between government and residents by ensuring HCDD documents are accessible to non-English speakers.</p> <p style="text-align: right;">Priority: High</p>	2. Lack of Knowledge about Fair Housing  14. Lack of Communication Between Government and Residents	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• CDBG-Staff Time</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• 10 of documents translated per language</li> </ul>	<ul style="list-style-type: none"> <li>• Translate 2 documents</li> </ul>
<p>24. Review fair housing impediments and strategies annually and report on the progress in the CAPER                      HCDD will continue to offer a transparent review for the public of the actions taken to further fair housing. The draft CAPER is open for public comment for at least 15 days before submission to HUD. This action provides a way for citizens to review and comment on the progress of furthering fair housing.</p> <p style="text-align: right;">Priority: High</p>	14. Lack of Communication Between Government and Residents	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• Update CAPER annually</li> </ul>	<ul style="list-style-type: none"> <li>• Update 2015 CAPER</li> </ul>
<p>26. Translate HHA documents into languages other than English                      HHA will continue to translate its documents prioritizing the documents requested. This action will address the lack of communication between government and residents by ensuring HHA documents are readable by non-English speakers.</p> <p style="text-align: right;">Priority: High</p>	14. Lack of Communication Between Government and Residents	<ul style="list-style-type: none"> <li>• HHA</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>• 10 documents translated per language</li> </ul>	<ul style="list-style-type: none"> <li>• 2 documents translated</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>27. Update HHA's Language Assistance Plan annually HHA will continue to update its Language Assistance Plan yearly in the Annual Plan. This action will ensure that approaches to reach out to persons with limited English proficiency are analyzed and updated periodically and promote communication between HHA and LEP residents.</p> <p style="text-align: right;">Priority: High</p>	14. Lack of Communication Between Government and Residents	<ul style="list-style-type: none"> <li>HHA</li> </ul>	Start: 2015 Complete: Annually	<ul style="list-style-type: none"> <li>Update Language Assistance Plan annually</li> </ul>	<ul style="list-style-type: none"> <li>Update Language Assistance Plan</li> </ul>
<p>28. HHA will place 50 units under the Annual Contributions Contracts (ACC) in tax credit developments HHA plans to increase the number of ACC units by placing these units at existing tax credit developments. This action promotes desegregation and the deconcentration of poverty.</p> <p style="text-align: right;">Priority: High</p>	6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status	<ul style="list-style-type: none"> <li>No City Funding Needed</li> </ul>	Start: 2015 Complete: 2016	<ul style="list-style-type: none"> <li>50 ACC units</li> </ul>	<ul style="list-style-type: none"> <li>Increase number of ACC units</li> </ul>
<p>29. HHA will expand the Opportunity Center's activities HHA will pursue partnerships and/or financing to expand resources available at the HHA Opportunity Center which provides meaningful and extensive mobility counseling for its voucher program participants. This action will ensure that voucher participants understand opportunities for housing in areas outside of their neighborhood promoting desegregation and the deconcentration of poverty.</p> <p style="text-align: right;">Priority: High</p>	6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status	<ul style="list-style-type: none"> <li>No City Funding Needed</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>Additional resources secured</li> </ul>	<ul style="list-style-type: none"> <li>Secure additional resources</li> </ul>
<p>30. HHA will affirmatively market housing programs to families least likely to be served HHA will affirmatively market HHA waiting lists to families that are least likely to be served and monitor site and central waiting lists to identify practices that positively and negatively impact affirmatively furthering fair housing. This action will help to integrate HHA's housing programs.</p> <p style="text-align: right;">Priority: High</p>	6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status	<ul style="list-style-type: none"> <li>No City Funding Needed</li> </ul>	Start: 2015 Complete: Ongoing	<ul style="list-style-type: none"> <li>Change in waiting list demographics</li> </ul>	<ul style="list-style-type: none"> <li>Market HHA waiting list</li> </ul>
<p>31. Monitor lending data HCDD will collect and analyze HMDA lending data to monitor lending trends for patterns of potential discrimination. This analysis may be shared with the community to promote understanding of fair housing needs in the city. This action will result in updated analysis that will be utilized to better allocate future resources to address and decrease private lending discrimination and educate the public about fair housing discrimination.</p> <p style="text-align: right;">Priority: Medium</p>	<p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>CDBG-Staff Time</li> </ul>	Start: 2015 Complete: Annually	<ul style="list-style-type: none"> <li>Update and maintain database of longitudinal lending data</li> </ul>	<ul style="list-style-type: none"> <li>Update lending data (2015)</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p><b>32. Monitor HUD Fair Housing Complaint Data</b>                      HCDD will collect and analyze HUD fair housing complaint data to monitor trends for patterns of potential housing discrimination. This analysis may be shared with the community to promote understanding of fair housing needs in the city. This action will result in updated analysis that will be utilized to better allocate future resources to address and decrease housing discrimination and educate the public about fair housing discrimination.</p> <p style="text-align: right;">Priority: Medium</p>	<p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: Annually</p>	<ul style="list-style-type: none"> <li>• Update and maintain database of longitudinal complaint data</li> </ul>	<ul style="list-style-type: none"> <li>• Update complaint data</li> </ul>
<p><b>33. Develop or update datasets to describe the local supply and demand for accessible housing units</b>                      HCDD will work with partners to develop or update datasets regarding housing for persons with disabilities because there is little detailed data available regarding the supply of housing and the various needs of persons with disabilities at the community or neighborhood level. This action will help to develop data that will better describe local accessible housing supply and local needs of persons with disabilities. This data will then be used to more efficiently allocate resources to address the lack of accessible housing and to create more accessible housing options.</p> <p style="text-align: right;">Priority: Medium</p>	<p>4. Lack of Accessible Housing for Persons with Disabilities</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: 2016</p>	<ul style="list-style-type: none"> <li>• Update or collect 5 local datasets</li> <li>• Partner with 3 organizations</li> </ul>	<ul style="list-style-type: none"> <li>• Update 1 dataset</li> <li>• Partner with 1 organization</li> </ul>
<p><b>34. Identify areas where the cost of land is increasing and areas outside minority areas that would support affordable housing</b>                      HCDD will gather research to perform its second Market Value Analysis, first completed in the previous consolidated planning period. Performing a second analysis will help to identify areas that have had market value increases so that funding can be best utilized by maintaining affordability in areas with growing opportunity and increased market development.</p> <p style="text-align: right;">Priority: Medium</p>	<p>3. Lack of Affordable Housing Options</p> <p>5. Affordability</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>• CDBG</li> <li>• TIRZ</li> </ul>	<p>Start: 2015                      Complete: 2017</p>	<ul style="list-style-type: none"> <li>• Perform market value analytics</li> <li>• Produce the second Market Value Analysis for Houston</li> </ul>	<ul style="list-style-type: none"> <li>• Publish the 2016 Market Value Analysis</li> </ul>
<p><b>35. Monitor code enforcement activities and address imbalances in implementation if needed</b>                      HCDD will monitor code enforcement activities. This action will ensure that city services, specifically code enforcement, are not unfairly targeting housing occupied primarily by residents of various protected classes.</p> <p style="text-align: right;">Priority: Medium</p>	<p>1. Discrimination in Housing</p> <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-staff time</li> </ul>	<p>Start: 2015                      Complete: Annually updated</p>	<ul style="list-style-type: none"> <li>• Update analysis annually</li> <li>• Meet with DON if any concerns found</li> </ul>	<ul style="list-style-type: none"> <li>• Update analysis updated</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>36. Conduct an analysis of Community Reinvestment Act funding in Houston and meet with banks to coordinate efforts for reinvesting in the community Banks are required by the Community Reinvestment Act to invest in certain communities. HCDD will research and analyze how banks have utilized funds to satisfy the Community Reinvestment Act's requirements. After research is completed, HCDD or other city staff will meet with banking institutions to discuss ways in which funding could be used to increase housing choice and opportunity, especially related to the city's efforts. Banking institutions have funding required to be reinvested in minority and low-income neighborhoods and this funding could be used to address imbalanced distribution of neighborhood assets while supporting housing affordability in all neighborhoods.</p> <p style="text-align: right;">Priority: Medium</p>	<p>5. Affordability</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> <p>9. Imbalanced distribution of amenities, services, and infrastructure between neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-staff time</li> </ul>	<p>Start: 2016 Complete: 2018</p>	<ul style="list-style-type: none"> <li>• Analyze local use of Community Reinvestment Act funding</li> <li>• Increase quality of relationships with banks</li> <li>• Increase number of partnerships with banks</li> </ul>	<ul style="list-style-type: none"> <li>• Research funding distribution</li> </ul>
<p>37. Meet with banking institutions to promote locating branches in minority areas HCDD will meet with banking institutions to discuss how they can better serve minority families by locating their services in minority neighborhoods. This action will promote a balance distribution of access to private financial services in the city.</p> <p style="text-align: right;">Priority: Medium</p>	<p>8. Lack of Financial Education</p> <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-staff time</li> </ul>	<p>Start: 2015 Complete: 2017</p>	<ul style="list-style-type: none"> <li>• Meet with banking institutions</li> <li>• Increase number of branches and financial services available in minority areas</li> </ul>	<ul style="list-style-type: none"> <li>• Continue building partnerships</li> </ul>
<p>38. Meet with developers to promote private development in minority areas HCDD will promote development in minority areas by meeting with business owners or residential or commercial developers. This action will encourage increased private investment in neighborhoods currently lacking private investment.</p> <p style="text-align: right;">Priority: Medium</p>	<p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-staff time</li> </ul>	<p>Start: 2015 Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Increase private development in minority areas</li> </ul>	<ul style="list-style-type: none"> <li>• Meet with developers</li> </ul>
<p>39. Host and work with the Fair Housing Interdepartmental Leadership Team HCDD will continue to host city departments at the Fair Housing Interdepartmental Leadership Team meetings to discuss AFFH and coordinate various fair housing efforts. These meetings will be held at least three times annually. By coordinating fair housing outreach efforts with other city departments, the city can more efficiently reach city staff and citizens with appropriate fair housing materials ultimately promoting fair housing knowledge as well as ensuring that the development of policies and programs citywide consider fair housing issues.</p> <p style="text-align: right;">Priority: Medium</p>	<p>2. Lack of Knowledge about Fair Housing</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• City Department-Staff Time</li> </ul>	<p>Start: 2015 Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Hold three meetings annually</li> </ul>	<ul style="list-style-type: none"> <li>• Host three meetings</li> </ul>
<p>40. Provide outreach to about the MWSBE and Section 3 Programs HCDD will promote available job opportunities to low-income and minority persons through the MWSBE / Section 3 Program while conducting 50 outreach activities reaching 10,000 individuals. This action will address residents' lack of income by promoting ways for low- and moderate-income individuals of protected classes to become employed.</p> <p style="text-align: right;">Priority: Medium</p>	<p>5. Lack of Income/Funding</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015 Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Conduct 50 outreach activities</li> <li>• Reach 10,000 individuals</li> </ul>	<ul style="list-style-type: none"> <li>• Conduct 10 outreach activities</li> <li>• Reach 2,000 individuals</li> </ul>

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Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>42. Attend events to provide information about HCDD and housing programs                      HCDD staff will attend city and non-city events to spread the word about the number of people HCDD assists and how HCDD and other affordable housing programs work.</p> <p style="text-align: right;">Priority: Medium</p>	<p>10. NIMBY Resistance</p> <p>14. Lack of Communication Between Government and Residents</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach materials</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Attend 50 events</li> </ul>	<ul style="list-style-type: none"> <li>• Attend 10 events</li> </ul>
<p>43. Encourage affordable housing developers to conduct community engagement activities                      HCDD will promote community engagement activities by suggesting housing developers funded by HCDD conduct outreach activities such as public meetings, charettes, open houses, or informational process during project development. These meetings would allow developers to discuss existing conditions and the future neighborhood vision. This action will directly engage communities to alleviate fears and address misconceptions about affordable housing.</p> <p style="text-align: right;">Priority: Medium</p>	<p>10. NIMBY Resistance</p> <p>14. Lack of Communication Between Government and Residents</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Create 100% of RFPs with community engagement notification</li> </ul>	<ul style="list-style-type: none"> <li>• Create RFP</li> </ul>
<p>44. Develop an Anti-NIMBYism policy and/or action statement                      HCDD will develop an Anti-NIMBYism departmental policy or action statement. This action will decrease NIMBY resistance by clearly outlining the myths and realities of affordable or assisted housing.</p> <p style="text-align: right;">Priority: Medium</p>	<p>10. NIMBY Resistance</p>	<p>CDBG-Staff Time</p>	<p>Start: 2016                      Complete: 2016</p>	<p>Create a policy or action statement</p>	<ul style="list-style-type: none"> <li>• Create policy or action statement</li> </ul>
<p>45. Work to dispel misconceptions about assisted housing                      HCDD and HHA will work to dispel the perception that assisted housing is just for minorities by conducting outreach to inform the public on assisted housing opportunities. Non-minority households will be targeted. This action will target nonminority households to reduce NIMBY sentiment and misconceptions about assisted housing.</p> <p style="text-align: right;">Priority: Medium</p>	<p>10. NIMBY Resistance</p>	<p>CDBG-Staff Time                      CDBG-Outreach materials</p>	<p>Start: 2015                      Complete: Ongoing</p>	<p>Continue to be involved in national education campaign                      Hold meetings</p>	<ul style="list-style-type: none"> <li>• Continue to educate the public</li> </ul>
<p>47. Seek clarification about whether state law prohibits affordable housing density bonus requirements                      Houston and other municipalities in Texas should seek clarification whether Section 214.905(B)(1) prohibits mandatory affordable housing/density bonus requirements. If the law is determined to allow only voluntary affordable housing/density bonus requirements, Houston should seek to amend the state statute to allow local governments to establish mandatory requirements. A state law that prohibits local governments from establishing mandatory affordable housing/density requirements could be an obstacle to achieving fair housing choice. This action will determine and suggest a remedy if state law is found to limit housing choice.</p> <p style="text-align: right;">Priority: Medium</p>	<p>1. Discrimination in Housing</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: 2016</p>	<ul style="list-style-type: none"> <li>• Research and receive clarification about state law</li> <li>• Show that Houston is in favor of changing a state law if it is determined to prohibit housing choice</li> </ul>	<ul style="list-style-type: none"> <li>• Propose local solutions</li> </ul>

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Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>48. Fund youth enrichment and afterschool programs to children in low- and moderate-income areas                      HCDD will continue to fund youth enrichment and afterschool programs through its public services program for 34,750 children in low- and moderate-income areas of the city over the next five years. This action increases the number of activities available for youth in the city.                      Priority: Medium</p>	<p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods                      12. Low educational Attainment Among Minorities</p>	<ul style="list-style-type: none"> <li>• CDBG</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Fund youth enrichment and education programs for 34,750 children</li> </ul>	<ul style="list-style-type: none"> <li>• Provide assistance to 4675 children and youth</li> </ul>
<p>49. Fund programs that provide job training to low- and moderate-income individuals and individuals from protected classes                      HCDD will continue to fund job training for 1,335 persons through its public services program for low- and moderate-income individuals and persons from protected classes. This action will help to improve the skills of residents enhancing their previous education while preparing them for the workforce.                      Priority: Medium</p>	<p>5. Lack of Income/Funding                      12. Low educational Attainment Among Minorities</p>	<ul style="list-style-type: none"> <li>• CDBG</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Support job training for 1,335 persons</li> </ul>	<ul style="list-style-type: none"> <li>• Support job training for 380 persons</li> </ul>
<p>50. Work with partners to explore ways to increase knowledge of health hazards                      HCDD will work with partners to disseminate fair housing and health hazard information, which may include making materials available in city facilities maintained by HHSD. This action will address health hazard exposure in certain areas by making citizens aware of their neighborhood's health conditions related to fair housing issues, such as communities that have historically and continually been exposed to poor air quality, lead-based paint hazards, and other hazardous conditions or poor infrastructure.                      Priority: Medium</p>	<p>13. Increased Health Hazard Exposure in Certain Neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach Materials</li> <li>• HHSD</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Reach 500 people</li> </ul>	<ul style="list-style-type: none"> <li>• Reach 100 people</li> </ul>
<p>51. Provide lead-based paint information to families who might be at risk lead poisoning                      HCDD and HHSD will provide information about lead-based paint hazards to families who might be at risk. This action will help to educate residents, including those of certain protected classes, about possible health hazards in their community.                      Priority: Medium</p>	<p>13. Increased Health Hazard Exposure in Certain Neighborhoods</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>• CDBG-Outreach Materials</li> <li>• HHSD</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>• Reach 500 people</li> </ul>	<ul style="list-style-type: none"> <li>• Reach 100 people</li> </ul>
<p>53. HHA will prioritize capital improvements of public housing properties                      HHA will annually undertake a physical needs assessment to prioritize capital improvements at properties that would be designed to attract those residents least likely to apply. This action will attract more residents to apply for HHA's housing assistance which will help desegregate its housing programs.                      Priority: Medium</p>	<p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>• No City Funding Needed</li> </ul>	<p>Start: 2015                      Complete: Annually</p>	<ul style="list-style-type: none"> <li>• Review assessment annually</li> </ul>	<ul style="list-style-type: none"> <li>• Review assessment</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>54. HHA will review market analysis to determine if payment standards need updating                      Conduct a market analysis of fair market rents by zip code and area of the community and evaluate the distribution of vouchers to determine if payment standards should be reevaluated. This action will ensure that HCV holders can access communities that are not traditionally leased by HCV holders.</p> <p style="text-align: right;">Priority: Medium</p>	<p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>No city funding needed</li> </ul>	<p>Start: 2015                      Complete: Annually</p>	<ul style="list-style-type: none"> <li>Review analysis annually</li> </ul>	<ul style="list-style-type: none"> <li>Review analysis</li> </ul>
<p>56. Monitor and comment on changes to public transportation related to fair housing                      HCDD will provide input on fair housing implications related to planned actions by METRO during appropriate public comment processes. This action will help to ensure fair housing was incorporated into any policy decisions made to public transportation in Houston.</p> <p>Priority: Low</p>	<p>11. Lack of Transportation Options</p>	<ul style="list-style-type: none"> <li>CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>Submit 2 comments</li> </ul>	<ul style="list-style-type: none"> <li>Monitor comment period</li> </ul>
<p>57. Conduct an analysis of infrastructure deficiencies                      The city will conduct an analysis of infrastructure to identify deficiencies in minority areas. This research and analysis action will help in the allocation of future infrastructure resources in minority neighborhoods.</p> <p style="text-align: right;">Priority: Low</p>	<p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p>	<ul style="list-style-type: none"> <li>CDBG-staff time</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>Better understand infrastructure deficiencies in minority areas</li> </ul>	<ul style="list-style-type: none"> <li>Deliver a preliminary report</li> </ul>
<p>59. Partner with 25 other organizations to promote asset building programs and financial literacy programs                      HCDD will partner with other agencies such as the United Way, City departments, local government counterparts, and housing counseling agencies by providing fair housing resources and information about the City's downpayment assistance program. This action will address the lack of income and the lack of financial literacy of residents by working with partners to direct families that are interested in the downpayment assistance program but aren't yet ready for homeownership to the resources available to improve their financial standing.</p> <p style="text-align: right;">Priority: Low</p>	<p>5. Lack of Income/Funding                       8. Lack of Financial Education</p>	<ul style="list-style-type: none"> <li>CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: Ongoing</p>	<ul style="list-style-type: none"> <li>Partner with 20 organizations</li> </ul>	<ul style="list-style-type: none"> <li>Partner with 4 organizations</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>60. Research changes to integrate AFFH into subdivision process                      HCDD will research and recommend including the following in the City's subdivision process:</p> <ul style="list-style-type: none"> <li>• Developers should agree to produce print and Internet advertising targeted to certain racial/ethnic groups that are not represented in the community currently to receive subdivision approval</li> <li>• Developers and sales agents should give every client a brochure that identifies illegal discriminatory practices</li> <li>• All advertising should display fair housing logo</li> </ul> <p>This action will decrease segregated housing patterns by encouraging private residential developers to AFFH and make housing opportunities known to racial/ethnic groups that are not represented currently in a specific community or neighborhood.                      Priority: Low</p>	<p>2. Lack of Knowledge about Fair Housing</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2016                      Complete: 2018</p>	<ul style="list-style-type: none"> <li>• Recommend updates to subdivision process</li> <li>• Update subdivision process</li> </ul>	<ul style="list-style-type: none"> <li>• Work with Planning staff</li> </ul>
<p>62. Encourage the addition of a scope of work for accessibility features for all residential permit approvals                      HCDD will meet with the Plan Review staff to encourage the inclusion of an accessibility features scope of work in the plan submittal for all residential permits.                      This action will address the lack of accessible housing for persons with disabilities by ensuring accessibility features are present in residential plans.                      Priority: Low</p>	<p>4. Lack of Accessible Housing for Persons with Disabilities</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> <li>•</li> </ul>	<p>Start: 2016                      Complete: 2016</p>	<ul style="list-style-type: none"> <li>• Research ways to implement through the plan submittal process</li> <li>• Meet with Planning and Development staff</li> </ul>	<ul style="list-style-type: none"> <li>• Work with Planning staff</li> </ul>
<p>63. Amend Section 10-551 of the city code                      HCDD will encourage amending Section 10-551 of the city code to add all nationally protected classes including disability and familial status as well as deed restrictions that have an exclusionary impact that precludes the construction of housing affordable to households with modest incomes to the list of protected classes for which the city will not enforce deed restrictions. Section 10-553(c) of City code authorizes the city attorney to establish guidelines for any activity or category of activity the city attorney believes is an appropriate subject for an action to abate or enjoin through a lawsuit to enforce a restrictive covenant, like deed restrictions. Section 10-551 lists instances which the city will not enforce deed restrictions. Currently, this list only contains five of the seven protected classes. Although the city attorney has not yet promulgated these guidelines, amending this Section of the code would ensure that the City would not be involved in any legal action defending discrimination based on protected classes or reinforcing NIMBY attitudes about affordable or modest income housing.                      Priority: Low</p>	<p>1. Discrimination in Housing</p> <p>10. NIMBY Resistance</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: 2016</p>	<ul style="list-style-type: none"> <li>• Update Section 10-551 of the City's Code of Ordinances</li> </ul>	<ul style="list-style-type: none"> <li>• Work with Legal staff</li> </ul>

Summary of Recommended Actions and Fair Housing Implementation Plan

Recommended Actions	Related Impediments	Possible Funding Source	Timeline (Based on Program Years)	5 Year Measure for Success/Milestone	PY 2016 Anticipated Results
<p>63. Amend Section 10-551 of the city code                      HCDD will encourage amending Section 10-551 of the city code to add all nationally protected classes including disability and familial status as well as deed restrictions that have an exclusionary impact that precludes the construction of housing affordable to households with modest incomes to the list of protected classes for which the city will not enforce deed restrictions. Section 10-553(c) of City code authorizes the city attorney to establish guidelines for any activity or category of activity the city attorney believes is an appropriate subject for an action to abate or enjoin through a lawsuit to enforce a restrictive covenant, like deed restrictions. Section 10-551 lists instances which the city will not enforce deed restrictions. Currently, this list only contains five of the seven protected classes. Although the city attorney has not yet promulgated these guidelines, amending this Section of the code would ensure that the City would not be involved in any legal action defending discrimination based on protected classes or reinforcing NIMBY attitudes about affordable or modest income housing.</p> <p style="text-align: right;">Priority: Low</p>	<p>1. Discrimination in Housing</p> <p>10. NIMBY Resistance</p>	<ul style="list-style-type: none"> <li>• CDBG-Staff Time</li> </ul>	<p>Start: 2015                      Complete: 2016</p>	<ul style="list-style-type: none"> <li>• Update Section 10-551 of the City's Code of Ordinances</li> </ul>	<ul style="list-style-type: none"> <li>• Draft</li> </ul>
<p>65. HHA will encourage formal cooperation agreements with neighboring agencies to allow mobility for HCV holders across jurisdictions.</p> <p>Currently, HHA and surrounding agencies informally collaborate and work across jurisdictions. HHA will work to formalize this process to allow additional mobility for HCV vouchers. This action will promote desegregation and the deconcentration of poverty by reducing barriers to use vouchers between jurisdictions.</p> <p>Priority: Low</p>	<p>6. Segregated housing Patterns Based on Race, Ethnicity, and Economic Status</p>	<ul style="list-style-type: none"> <li>• No city funding needed</li> </ul>	<p>Start: 2016                      Complete: 2017</p>	<ul style="list-style-type: none"> <li>• Propose 3 agreements</li> </ul>	<ul style="list-style-type: none"> <li>• Implement 1 agreement</li> </ul>

Acronyms – AFFH: Affirmatively Furthering Fair Housing, CRA: HCDD's Community Reinvestment Area, DON: Department of Neighborhoods, GHFHC: Greater Houston Fair Housing Center, HCDD: Housing and Community Development Department, HCIL: Houston Center for Independent Living, HCV: Housing Choice Voucher, HHA: Houston Housing Authority, HHS: Houston's Health and Human Services Department, HUD: U.S. Department of Housing and Urban Development, HUD-FHEO: HUD's Office of Fair Housing and Equal Opportunity. MOPD: Mayor's Office Persons with Disabilities, Planning: Planning and Development Services Department, PRD: Parks and Recreation Department, PWE: Public Works and Engineering Department, SWMD: Solid Waste Management Department, TIRR: The Institute from Rehabilitation and Research

*Appendix # 2: Maps*

# City of Houston Council Districts



City of Houston  
Housing & Community  
Development Department

## Legend

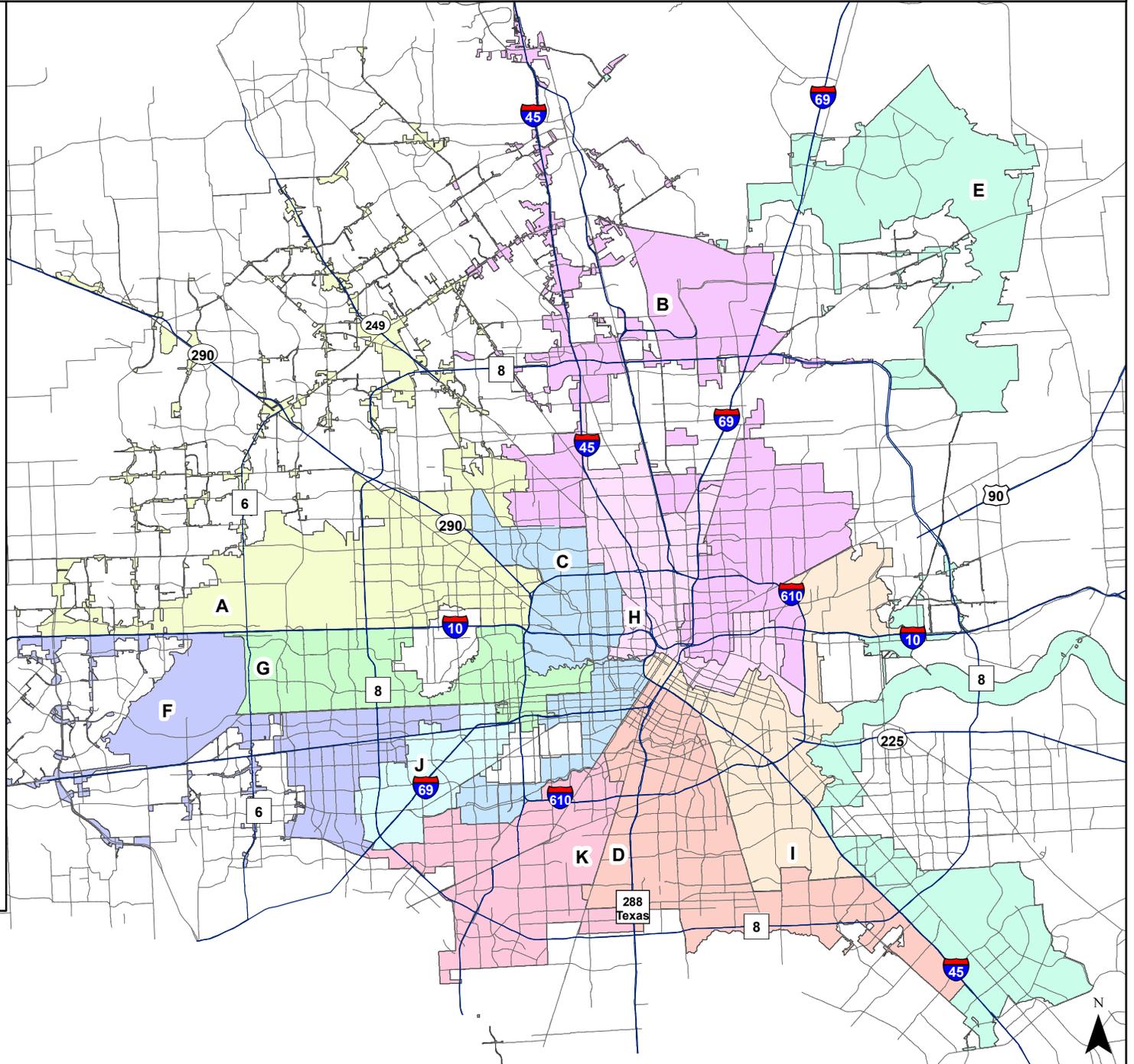
### Council Districts

- A - Brenda Stardig
- B - Jerry Davis
- C - Ellen Cohen
- D - Dwight A. Boykins
- E - Dave Martin
- F - Steve Le
- G - Greg Travis
- H - Karla Cisneros
- I - Robert Gallegos
- J - Michael H. Laster
- K - Larry Green
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources:  
Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
& COHGIS

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# Super Neighborhoods in the City of Houston



City of Houston  
Housing & Community  
Development Department

## Legend

Super Neighborhoods

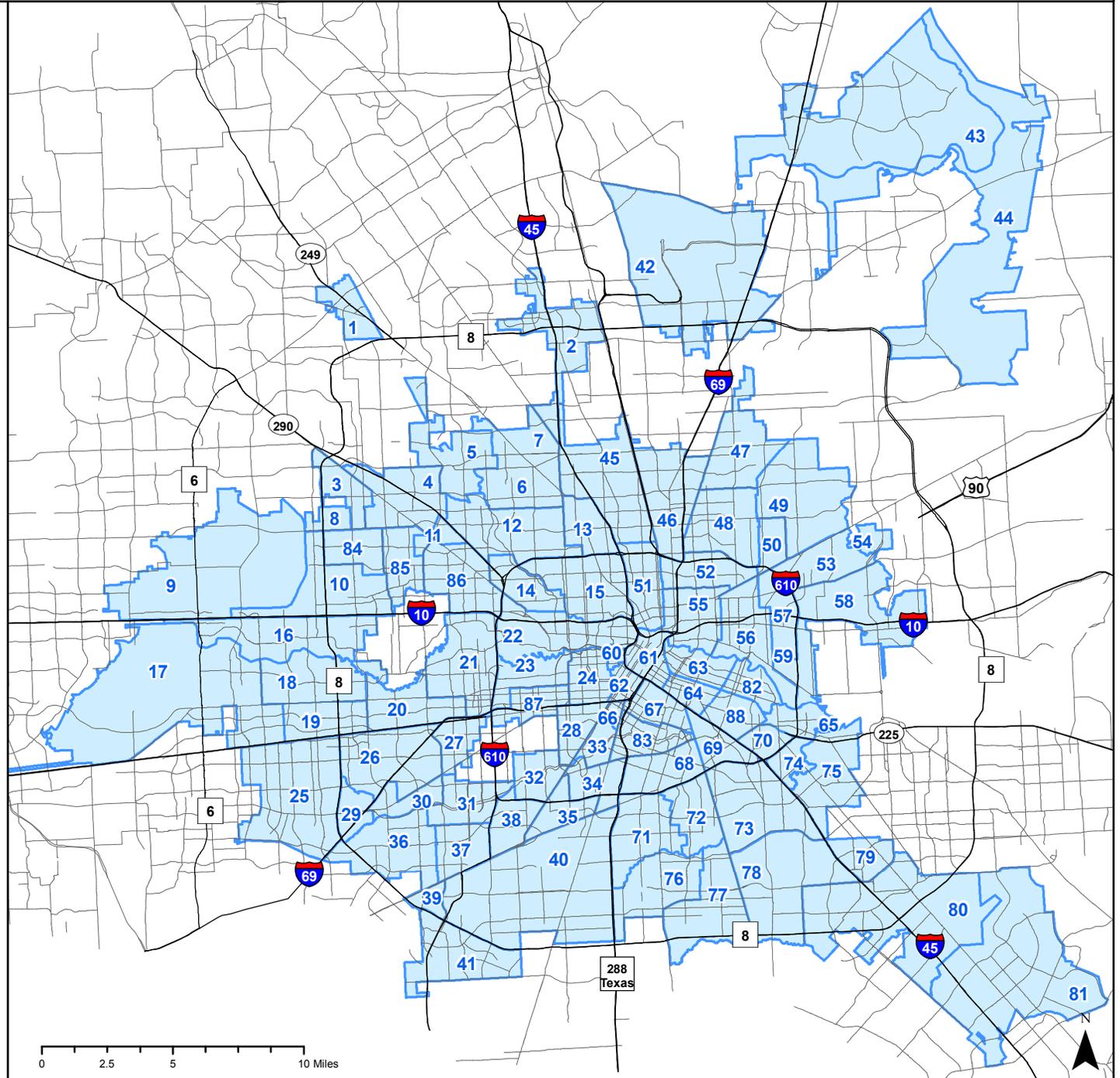
- |  |                                     |
|--|-------------------------------------|
| 1 WILLOWBROOK                                  | 45 NORTHSIDE/NORTLINE               |
| 2 GREATER GREENPOINT                           | 46 EASTEX - JENSEN AREA             |
| 3 CARVERDALE                                   | 47 EAST LITTLE YORK / HOMESTEAD     |
| 4 FAIRBANKS / NORTHWEST CROSSING               | 48 TRINITY / HOUSTON GARDENS        |
| 5 GREATER INWOOD                               | 49 EAST HOUSTON                     |
| 6 ACRES HOME                                   | 50 SETTEGAST                        |
| 7 HIDDEN VALLEY                                | 51 NEAR NORTHSIDE                   |
| 8 WESTBRANCH                                   | 52 KASHMERE GARDENS                 |
| 9 ADDICKS PARK TEN                             | 53 EL DORADO / OATES PRAIRIE        |
| 10 SPRING BRANCH WEST                          | 54 HUNTERWOOD                       |
| 11 LANGWOOD                                    | 55 GREATER FIFTH WARD               |
| 12 CENTRAL NORTHWEST                           | 56 DENVER HARBOR / PORT HOUSTON     |
| 13 INDEPENDENCE HEIGHTS                        | 57 PLEASANTVILLE AREA               |
| 14 LAZYBROOK / TIMBERGROVE                     | 58 NORTHSHORE                       |
| 15 GREATER HEIGHTS                             | 59 CLUNTON PARK TRI-COMMUNITY       |
| 16 MEMORIAL                                    | 60 FOURTH WARD                      |
| 17 ELDRIDGE / WEST OAKS                        | 61 DOWNTOWN                         |
| 18 BRIAR FOREST                                | 62 MIDTOWN                          |
| 19 WESTCHASE                                   | 63 SECOND WARD                      |
| 20 MID WEST                                    | 64 GREATER EASTWOOD                 |
| 21 GREATER UPTOWN                              | 65 HARRISBURG / MANCHESTER          |
| 22 WASHINGTON AVENUE COALITION / MEMORIAL PARK | 66 MUSEUM PARK                      |
| 23 AFTON OAKS / RIVER OAKS AREA                | 67 GREATER THIRD WARD               |
| 24 NEARTOWN - MONTROSE                         | 68 GREATER OST / SOUTH UNION        |
| 25 ALIEF                                       | 69 GULFGATE RIVERVIEW / PINE VALLEY |
| 26 SHARPSTOWN                                  | 70 PECAN PARK                       |
| 27 GULFTON                                     | 71 SUNNYSIDE                        |
| 28 UNIVERSITY PLACE                            | 72 SOUTH PARK                       |
| 29 WESTWOOD                                    | 73 GOLFCREST / BELLFORT / REVELLE   |
| 30 BRAEBURN                                    | 74 PARK PLACE                       |
| 31 MEYERLAND AREA                              | 75 MEADOWBROOK / ALLENDALE          |
| 32 BRAESWOOD                                   | 76 SOUTH ACRES / CRESTMONT PARK     |
| 33 MEDICAL CENTER AREA                         | 77 MINNETEX                         |
| 34 ASTRODOME AREA                              | 78 GREATER HOBBY AREA               |
| 35 SOUTH MAIN                                  | 79 EDGEBROOK AREA                   |
| 36 BRAYS OAKS                                  | 80 SOUTH BELT / ELLINGTON           |
| 37 WESTBURY                                    | 81 CLEAR LAKE                       |
| 38 WILLOW MEADOWS / WILLOWBEND AREA            | 82 MAGNOLIA PARK                    |
| 39 FONDREN GARDENS                             | 83 MACGREGOR                        |
| 40 CENTRAL SOUTHWEST                           | 84 SPRING BRANCH NORTH              |
| 41 FORT BEND HOUSTON                           | 85 SPRING BRANCH CENTRAL            |
| 42 IAH / AIRPORT AREA                          | 86 SPRING BRANCH EAST               |
| 43 KINGWOOD AREA                               | 87 GREENWAY / UPPER KIRBY AREA      |
| 44 LAKE HOUSTON                                | 88 LAWNDALE / WAYSIDE               |

— Freeways  
— Major Thoroughfares

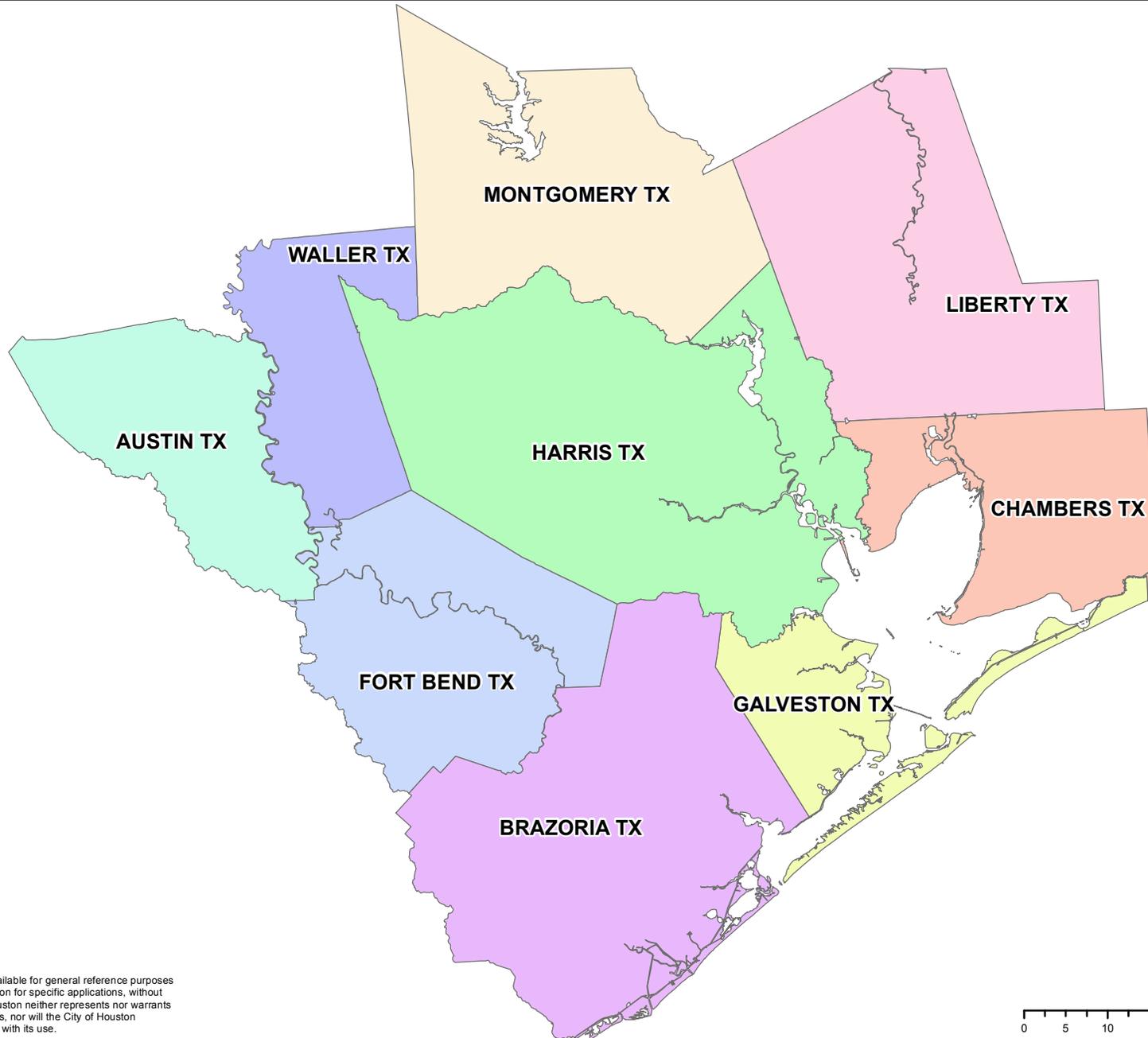
Map Produced: 3.1.16

Sources:  
Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
& COHGIS

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# Housing Opportunity for People with AIDS (HOPWA) Eligible Metropolitan Statistical Areas



Map Produced: 3.1.16

Sources: HCDD & COHGIS

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0 5 10 20 Miles



# Areas for Community Reinvestment (ACR)



**City of Houston**  
**Housing & Community**  
**Development Department**

## Legend

Areas of Community Reinvestment

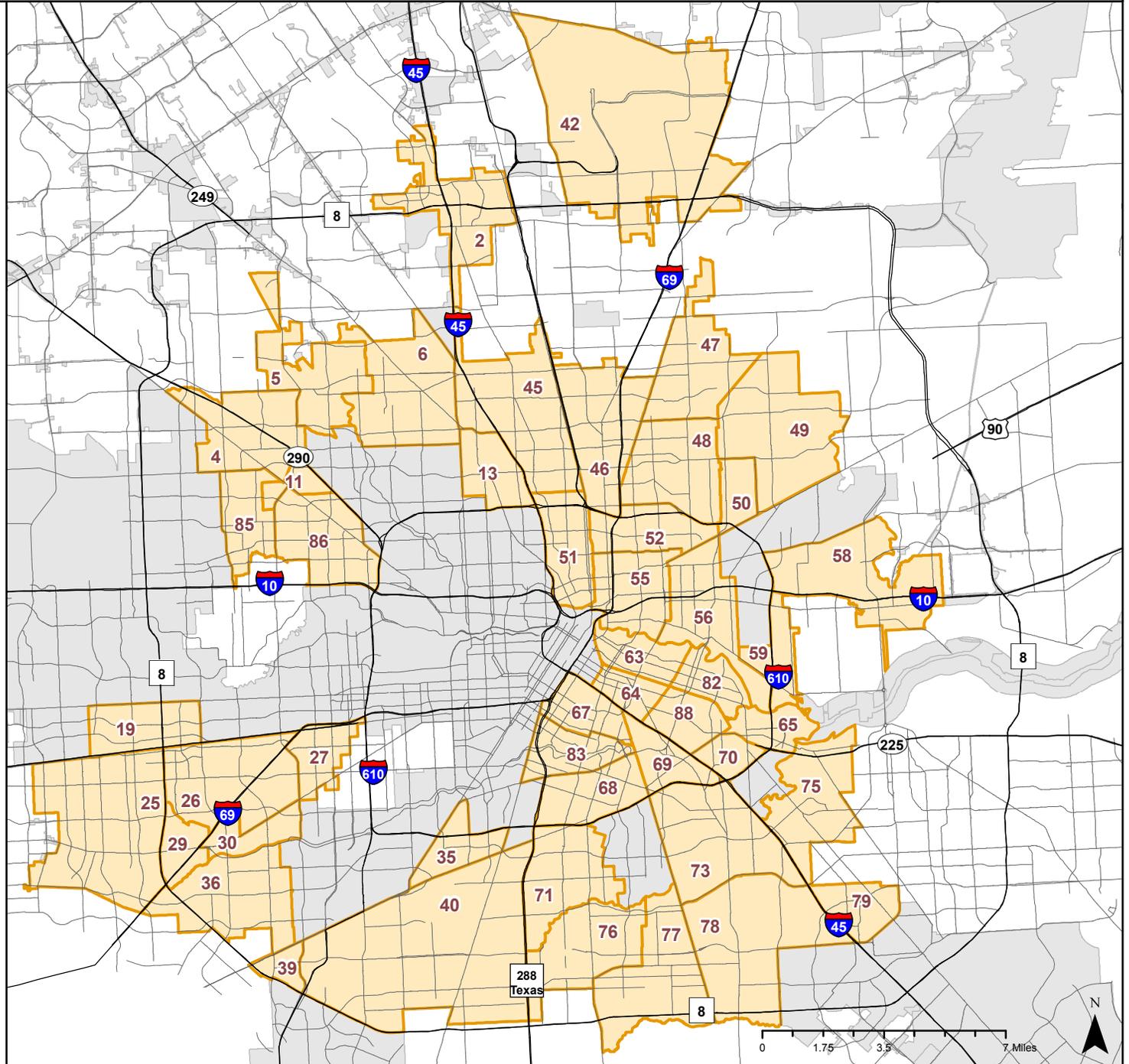
- 2. GREATER GREENSPOINT
- 4. FAIRBANKS / NORTHWEST CROSSING
- 5. GREATER INWOOD
- 6. ACRES HOME
- 11. LANGWOOD
- 13. INDEPENDENCE HEIGHTS
- 19. WESTCHASE
- 25. ALIEF
- 26. SHARPSTOWN
- 27. GULFTON
- 29. WESTWOOD
- 30. BRAEBURN
- 35. SOUTH MAIN
- 36. BRAYS OAKS
- 39. FONDREN GARDENS
- 40. CENTRAL SOUTHWEST
- 42. IAH / AIRPORT AREA
- 45. NORTHSIDE/NORTHLINE
- 46. EASTEX - JENSEN AREA
- 47. EAST LITTLE YORK / HOMESTEAD
- 48. TRINITY / HOUSTON GARDENS
- 49. EAST HOUSTON
- 50. SETTEGAST
- 51. NEAR NORTHSIDE
- 52. KASHMERE GARDENS
- 55. GREATER FIFTH WARD
- 56. DENVER HARBOR / PORT HOUSTON
- 58. NORTHSHORE
- 59. CLINTON PARK TRI-COMMUNITY
- 63. SECOND WARD
- 64. GREATER EASTWOOD
- 65. HARRISBURG / MANCHESTER
- 67. GREATER THIRD WARD
- 68. GREATER OST / SOUTH UNION
- 69. GULFGATE RIVERVIEW / PINE VALLEY
- 70. PECAN PARK
- 71. SUNNYSIDE
- 73. GOLFCREST / BELLFORT / REVEILLE
- 75. MEADOWBROOK / ALLENDALE
- 76. SOUTH ACRES / CRESTMONT PARK
- 77. MINNETEX
- 78. GREATER HOBBY AREA
- 79. EDGEBROOK AREA
- 82. MAGNOLIA PARK
- 83. MACGREGOR
- 85. SPRING BRANCH CENTRAL
- 86. SPRING BRANCH EAST
- 88. LAWNSDALE / WAYSIDE

- City Limits
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources: Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD, & COGIS

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# Community Revitalization Areas (CRA) and CRA Outreach Areas



**City of Houston**  
**Housing & Community**  
**Development Department**

## Legend

- CRA
- CRA Outreach
- City Limits

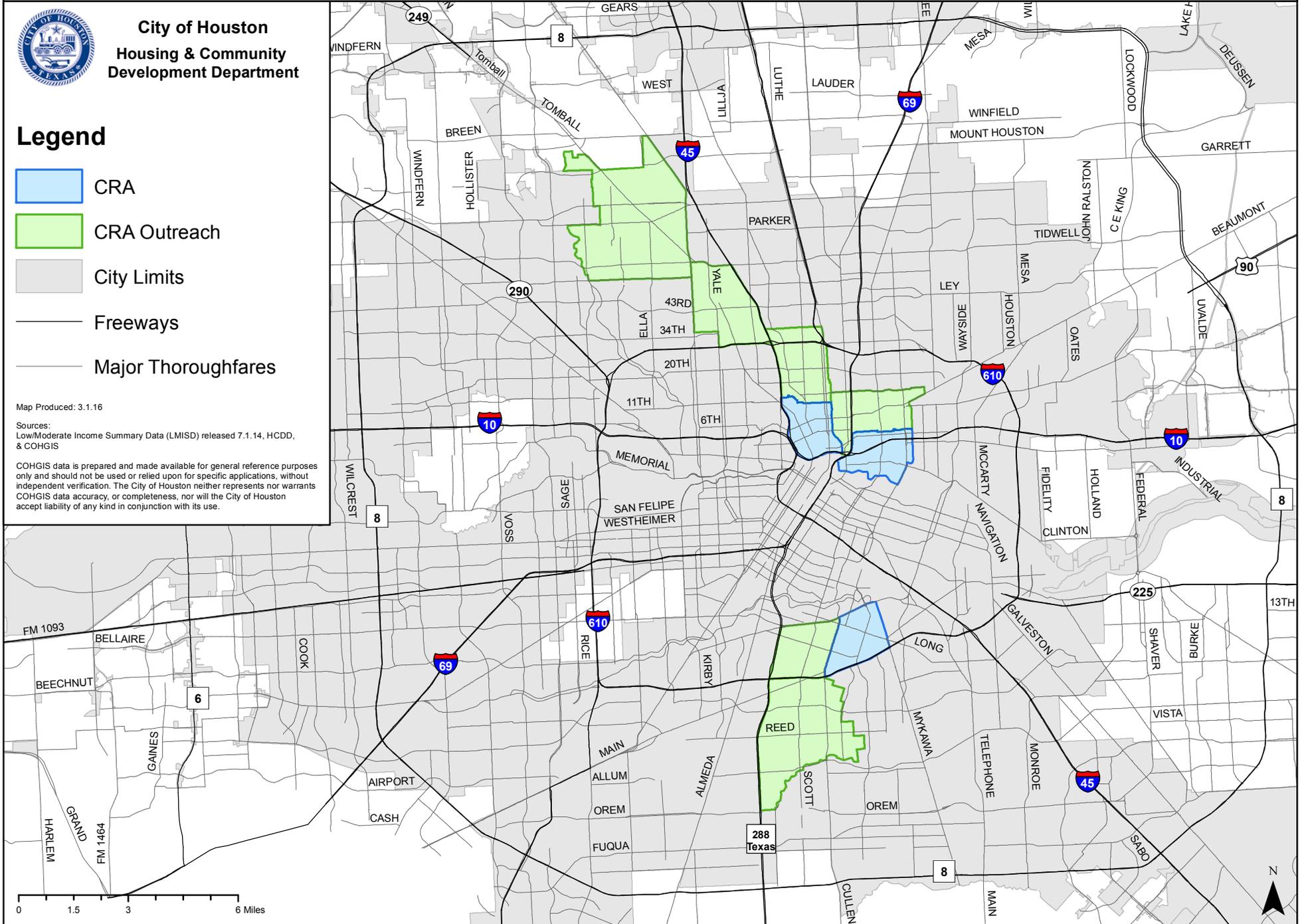
— Freeways

— Major Thoroughfares

Map Produced: 3.1.16

Sources:  
 Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
 & COHGIS

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# PY 2015 Daycare Program - CDBG



**City of Houston**  
**Housing & Community**  
**Development Department**

## Legend

Service Provider

1. Association for the Advancement of Mexican Americans
2. Chinese Community Center
3. Community Family Center, Inc.
4. SEARCH- Center for the Homeless
5. Wesley Community Center

Minority Concentration

51% - 100% LMI Persons

City Limits

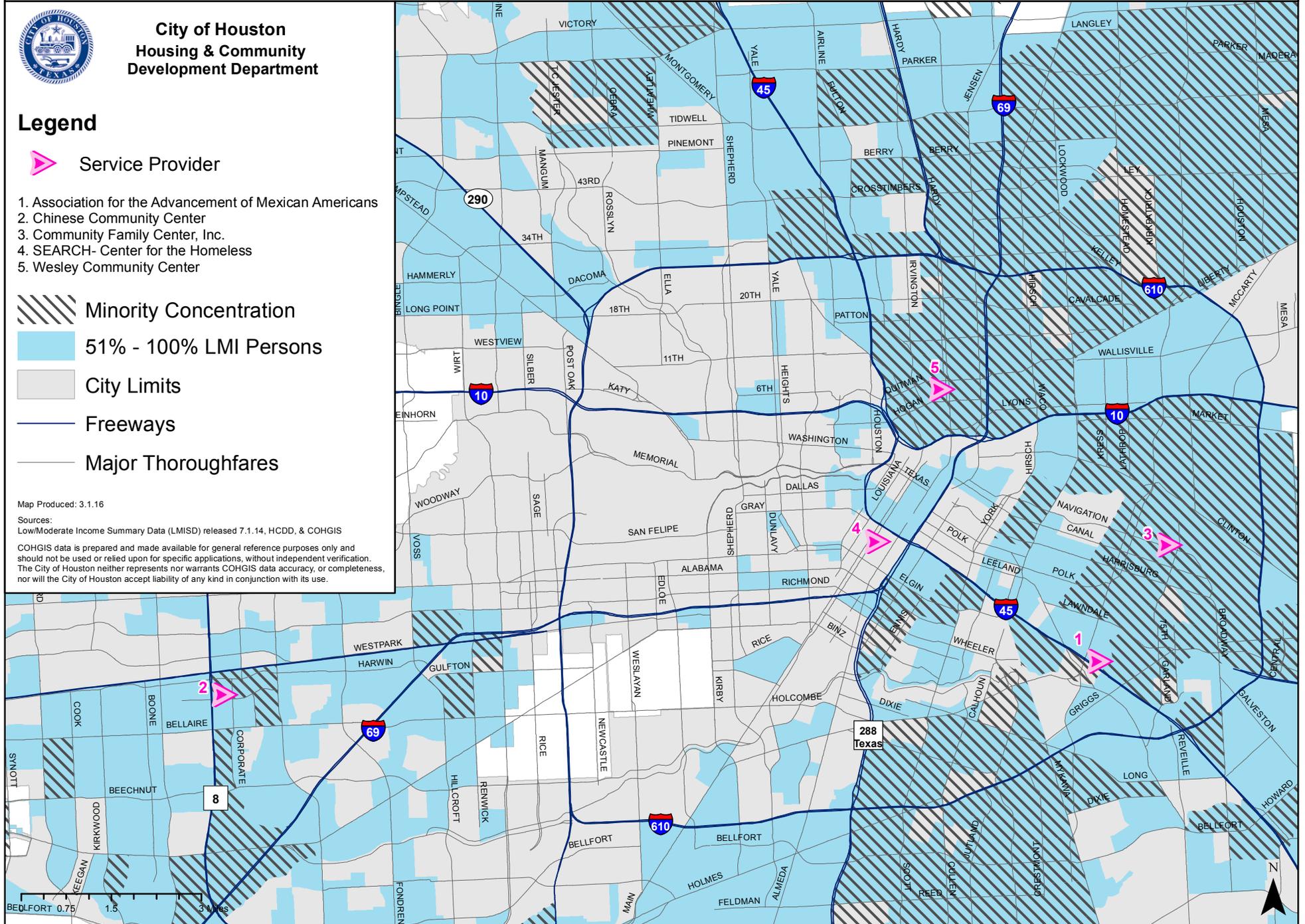
Freeways

Major Thoroughfares

Map Produced: 3.1.16

Sources:  
 Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD, & COHGIS

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# PY 2015 Mayor's After-School Achievement Program - CDBG



**City of Houston**  
Housing & Community  
Development Department

## Legend

Service Provider

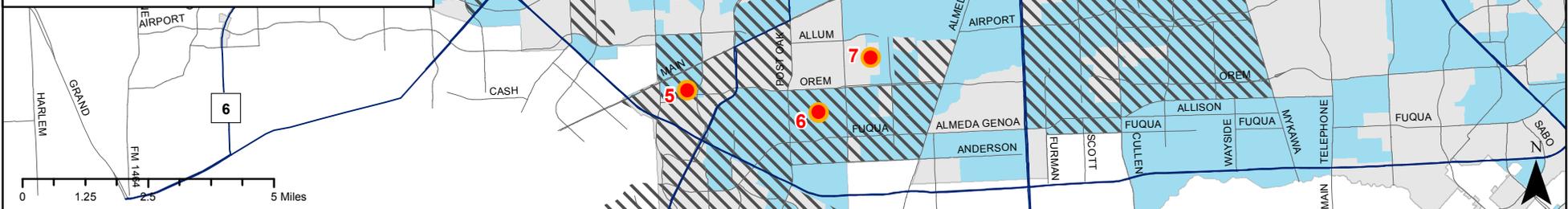
1. Academy for Accelerated Learning
2. Blackshear Elementary
3. Chinese Community Center
4. Edison Middle
5. Fondren Elementary
6. Grissom Elementary
7. Hobby Elementary
8. Julia C. Hester House
9. Living Word Christian Academy
10. Pilgram Academy
11. R.P. Harris Elementary
12. Ross Elementary
13. Southmayd Elementary
14. Baylor College of Medicine at Ryan Middle School

- Minority Concentration
- 51% - 100% LMI Persons
- City Limits
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources:  
Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
& COGIS

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# PY 2015 After School Enrichment Program - CDBG



**City of Houston**  
Housing & Community  
Development Department

## Legend

Service Provider

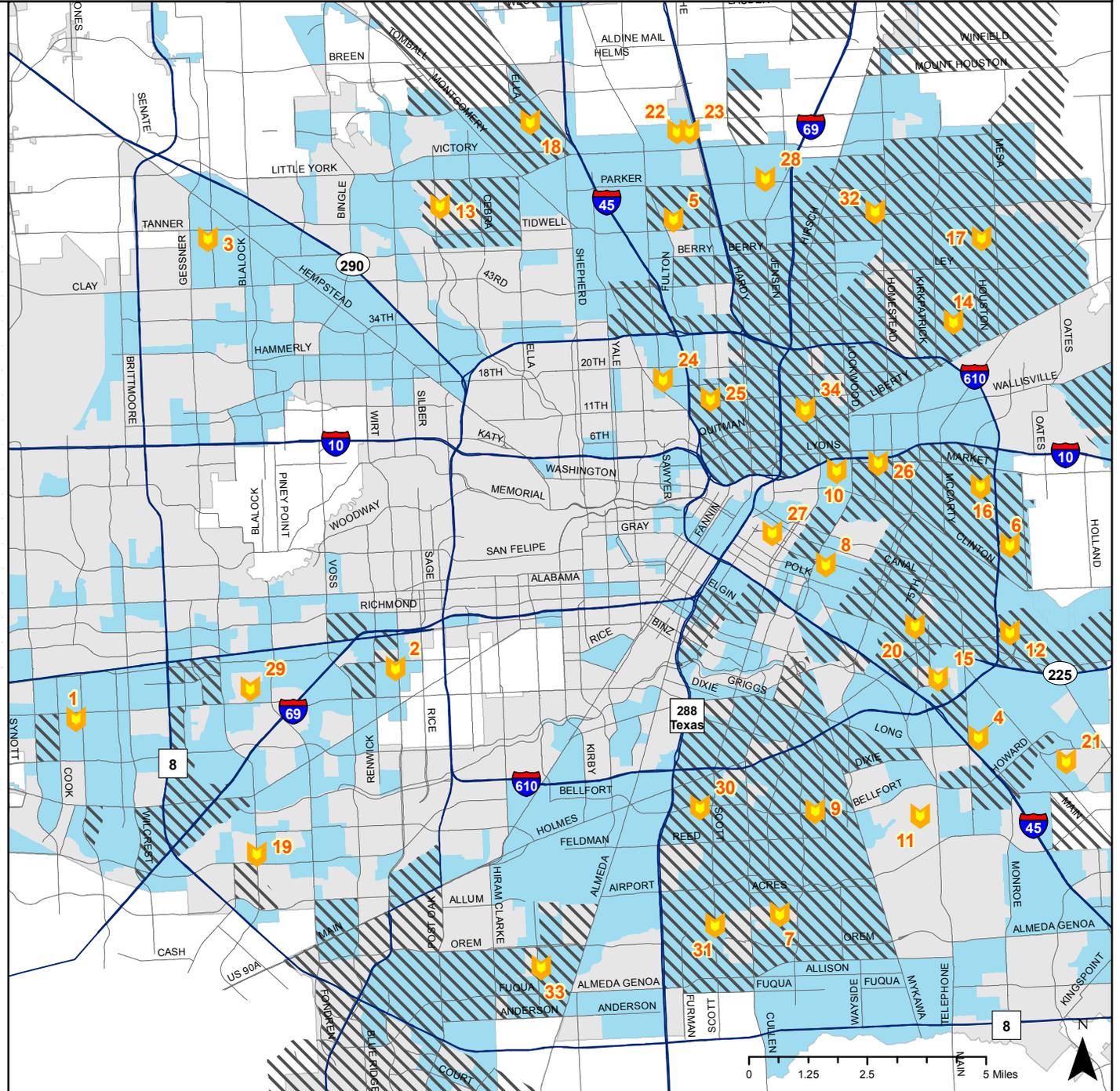
- |                                   |                          |
|-----------------------------------|--------------------------|
| 1. Alief                          | 18. Lincoln              |
| 2. Burnett Bayland                | 19. Marian               |
| 3. Carverdale (RL & Cora Johnson) | 20. Mason                |
| 4. Charlton                       | 21. Meadowcreek          |
| 5. Clark                          | 22. Melrose              |
| 6. Clinton Park                   | 23. Melrose              |
| 7. Crestmont                      | 24. Montie Beach         |
| 8. Eastwood                       | 25. Moody                |
| 9. Edgewood                       | 26. Selena/Denver Harbor |
| 10. Finnigan                      | 27. Settegast            |
| 11. Garden Villas                 | 28. Shady Lane           |
| 12. Hartman                       | 29. Sharpstown           |
| 13. Highland                      | 30. Sunnyside            |
| 14. Hobart Taylor                 | 31. Swindle-Cloverland   |
| 15. Ingrando                      | 32. Tidwell              |
| 16. Judson W. Robinson            | 33. Townwood             |
| 17. Lakewood                      | 34. Tuffly               |

- Minority Concentration
- 51% - 100% LMI Persons
- City Limits
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources:  
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# PY 2015 Homeless Activities - ESG



**City of Houston**  
Housing & Community  
Development Department

## Legend

Service Provider

1. Bay Area Turning Point\*
2. Catholic Charities
3. Covenant House Texas, Inc.
4. Fort Bend Women's Center\*
5. Harris County
6. Houston Area Women's Center
7. Houston Area Women's Center
8. S.E.A.R.C.H.
9. The Bridge Over Trouble Waters\*
10. The Salvation Army

\*These agencies are not represented on the map

- Minority Concentration
- 51% - 100% LMI Persons
- City Limits
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources:  
Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
& COHGIS

COHGIS data is prepared and made available for general reference purposes only and should not be used or relied upon for specific applications, without independent verification. The City of Houston neither represents nor warrants COHGIS data accuracy, or completeness, nor will the City of Houston accept liability of any kind in conjunction with its use.



# PY 2016 Multifamily Housing Investments - CDBG and HOME



City of Houston  
Housing & Community  
Development Department

## Legend

■ Multifamily Development

1. 4600 Main
2. 8606 Main
3. Cleme Manor
4. Cottages at South Acres\*
5. NHH Harrisburg
6. Pleasant Hill Village
7. Temenos Place II\*
8. Women's Home Phase 2\*
9. Woodland Christian Tower

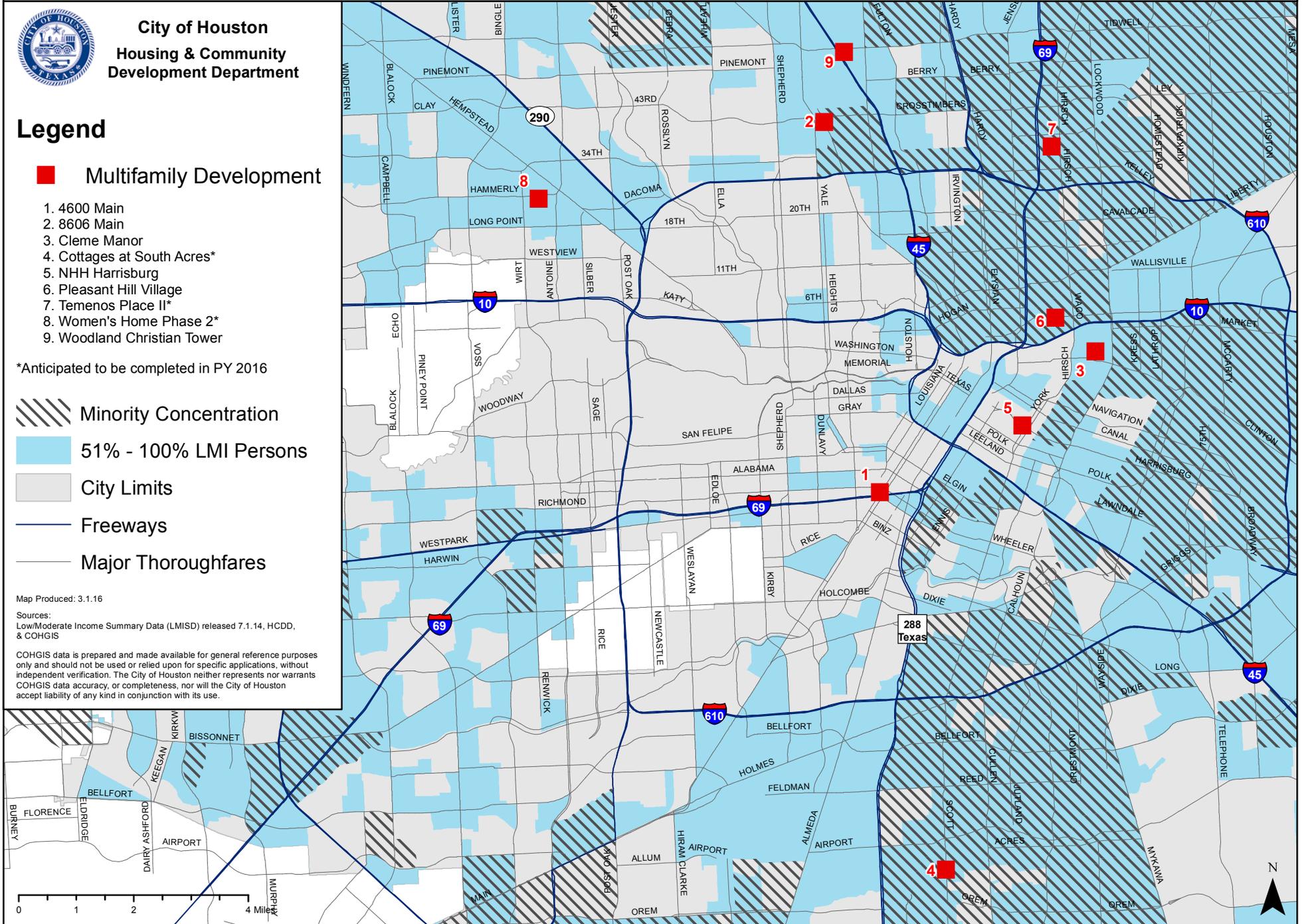
\*Anticipated to be completed in PY 2016

- Minority Concentration
- 51% - 100% LMI Persons
- City Limits
- Freeways
- Major Thoroughfares

Map Produced: 3.1.16

Sources:  
Low/Moderate Income Summary Data (LMISD) released 7.1.14, HCDD,  
& COHGIS

COHGIS data is prepared and made available for general reference purposes only and should not be used or relied upon for specific applications, without independent verification. The City of Houston neither represents nor warrants COHGIS data accuracy, or completeness, nor will the City of Houston accept liability of any kind in conjunction with its use.



*Appendix # 3: Grant Applications – SF-424s*

**Application for Federal Assistance SF-424**

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
--	--	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: CDBG - B16-MC-48-0018
---	---

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>
--	---

**State Use Only:**

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="746001164"/>	* c. Organizational DUNS: <input type="text" value="8324319850000"/>
---	---

**d. Address:**

\* Street1:   
Street2:   
\* City:   
County/Parish:   
\* State:   
Province:   
\* Country:   
\* Zip / Postal Code:

**e. Organizational Unit:**

Department Name: <input type="text" value="Housing and Community Dev Dept"/>	Division Name: <input type="text"/>
---	--

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

Title:

Organizational Affiliation:

\* Telephone Number:  Fax Number:

\* Email:

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.218

CFDA Title:

Community Development Block Grant

**\* 12. Funding Opportunity Number:**

\* Title:

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

City of Houston

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

CDBG Grant Funds - PY 2016

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="22,140,237.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="181,511.00"/>
* g. TOTAL	<input type="text" value="22,321,748.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:

\* Date Signed:

Attest/Seal:

\_\_\_\_\_  
City Secretary

Countersigned:

\_\_\_\_\_  
City Controller

DATE OF COUNTERSIGNATURE: \_\_\_\_\_, 2016

**APPROVED:**

\_\_\_\_\_  
Director  
Housing and Community Development Department

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Senior City Attorney

## CDBG Budget Page

CDBG Funds	Estimated Allocation (PY 2016 / FY 2017)
<b>Sources</b>	
Projected CDBG Grant Award	\$ 22,140,237
Projected Program Income	\$ 181,511
Projected CDBG Funding	\$ 22,321,748
<b>Uses</b>	
Program Administration*	\$ 4,464,349
Public Services**	\$ 3,218,357
ESG Match (1:1 - Includes In-Kind)**	\$ 525,000
Public Facilities and Improvements (Public/Private)	\$ 3,316,212
Multifamily Housing	\$ 300,000
Acquisition	\$ 4,900,000
Economic Development	\$ 3,000,000
Code Enforcement	\$ 2,597,830
<b>Total</b>	<b>\$22,321,748</b>

*\*Program Administration up to 20% of Grant Amount + Projected Program Income*

*\*\*The City has been grandfathered with a rate of 16.77% instead of 15%*

## CDBG Estimated Program Income

CDBG Estimated Program Income	Estimated Program Income (PY 2016 / FY 2017)
<b>Sources</b>	
Multifamily Housing Loan Repayments/Fees	\$ 181,511
Projected CDBG Funding	\$ 181,511
<b>Uses</b>	
Program Administration	\$ 36,302
Public Services	\$ 30,439
Public Facilities and Improvements (Public/Private)	\$ 114,770

**Application for Federal Assistance SF-424**

\* 1. Type of Submission:

- Preapplication  
 Application  
 Changed/Corrected Application

\* 2. Type of Application:

- New  
 Continuation  
 Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**\* a. Legal Name: 

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

\* c. Organizational DUNS:

**d. Address:**

\* Street1:

Street2:

\* City:

County/Parish:

\* State:

Province:

\* Country:

\* Zip / Postal Code:

**e. Organizational Unit:**

Department Name:

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Middle Name:

\* Last Name:

Suffix:

Title: 

Organizational Affiliation:

\* Telephone Number:

Fax Number:

\* Email:

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.G3I

CFDA Title:

ÖŠRÓÁØ^{æb\†æ^\Ášáã\^æābá↔\*bÁÖāá^\

**\* 12. Funding Opportunity Number:**

\* Title:

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

City of Houston

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

ÖŠRÓ Grant Funds - PY 2016

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="6,820,641.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="94,943.00"/>
* g. TOTAL	<input type="text" value="6,915,584.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:

\* Date Signed:

Attest/Seal:

\_\_\_\_\_  
City Secretary

Countersigned:

\_\_\_\_\_  
City Controller

DATE OF COUNTERSIGNATURE: \_\_\_\_\_, 2016

**APPROVED:**

\_\_\_\_\_  
Director  
Housing and Community Development Department

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Senior City Attorney

## HOME Budget Page

HOME Funds	Estimated Allocation (PY 2016 / FY 2017)
<b>Sources</b>	
Projected HOME Grant Award	\$ 6,820,641
Projected Program Income	\$ 94,943
Projected HOME Funding	\$ 6,915,584
<b>Uses</b>	
Planning and Administration*	\$ 691,558
Multifamily Development**	\$ 6,224,026
<b>Total</b>	<b>\$ 6,915,584</b>

*\*Planning and Administration up to 10% of Grant Amount + Projected Program Income*

*\*\*15% CHDO set aside included*

## HOME Estimated Program Income

HOME Estimated Program Income	Estimated Program Income (PY 2016 / FY 2017)
<b>Sources</b>	
Multifamily Housing Loan Repayments/Fees	\$ 94,943
Projected HOME Funding	\$ 94,943
<b>Uses</b>	
Program Administration	\$ 9,494
Multifamily Development	\$ 85,449

**Application for Federal Assistance SF-424**

\* 1. Type of Submission:

- Preapplication  
 Application  
 Changed/Corrected Application

\* 2. Type of Application:

- New  
 Continuation  
 Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

HOPWA - TXH16-F004

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name: City of Houston

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

746001164

\* c. Organizational DUNS:

832431985

**d. Address:**

\* Street1:

601 Sawyer

Street2:

P.O. Box 1562

\* City:

Houston

County/Parish:

\* State:

TX: Texas

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

77251-1562

**e. Organizational Unit:**

Department Name:

Housing and Community Development Department

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Neal

Middle Name:

\* Last Name:

Rackleff

Suffix:

Title: Director

Organizational Affiliation:

\* Telephone Number:

832-394-6159

Fax Number:

\* Email:

Neal.Rackleff@houstontx.gov

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.241

CFDA Title:

Housing Opportunities for Persons with AIDS

**\* 12. Funding Opportunity Number:**

\* Title:

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Cities of Houston, Pasadena, and Baytown; Counties of Austin, Brazoria,  
Chambers Fort Bend, Galveston, Harris, Liberty, Montgomery, San Jacinto,  
and Waller

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

HOPWA Grant Funds - PY 2016

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant  18,22,29,36

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="9,639,579.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="9,639,531.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:

\* Date Signed:

Attest/Seal:

\_\_\_\_\_  
City Secretary

Countersigned:

\_\_\_\_\_  
City Controller

DATE OF COUNTERSIGNATURE: \_\_\_\_\_, 2016

**APPROVED:**

\_\_\_\_\_  
Director  
Housing and Community Development Department

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Senior City Attorney

## HOPWA Budget Page

HOPWA Funds	Estimated Allocation (PY 2016 / FY 2017)
<b>Sources</b>	
Projected HOPWA Grant Award	\$ 9,639,531
<b>Uses</b>	
Operating Costs	\$ 1,775,579
Supportive Services	\$ 2,300,000
Project or Tenant Based Rental Assistance	\$ 3,500,000
Short-term Rent, Mortgage, & Utility Subsidies	\$ 1,000,000
Resource Identification/Technical Assistance/Housing Information	\$ 100,000
Grantee Administration -- HCDD	\$ 289,185
Sponsor Administration -- Subgrantees	\$ 674,767
<b>Total</b>	<b>\$ 9,639,531</b>

**Application for Federal Assistance SF-424**

\* 1. Type of Submission:

- Preapplication  
 Application  
 Changed/Corrected Application

\* 2. Type of Application:

- New  
 Continuation  
 Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

ESG - S16-MC48-0003

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name: City of Houston

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

746001164

\* c. Organizational DUNS:

8324319850000

**d. Address:**

\* Street1:

601 Sawyer

Street2:

P.O. Box 1562

\* City:

Houston

County/Parish:

\* State:

TX: Texas

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

77251-1562

**e. Organizational Unit:**

Department Name:

Housing and Community Dev Dept

Division Name:

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Neal

Middle Name:

\* Last Name:

Rackleff

Suffix:

Title: Director

Organizational Affiliation:

\* Telephone Number:

832-394-6159

Fax Number:

\* Email:

Neal.Rackleff@houstontx.gov

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.231

CFDA Title:

Emergency Solutions Grant

**\* 12. Funding Opportunity Number:**

\* Title:

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

City of Houston

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

ESG Grant Funds - PY 2016

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant  18,22,29,36

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="2,012,200.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="2,012,200.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:

\* Date Signed:

Attest/Seal:

\_\_\_\_\_  
City Secretary

Countersigned:

\_\_\_\_\_  
City Controller

DATE OF COUNTERSIGNATURE: \_\_\_\_\_, 2016

APPROVED:

\_\_\_\_\_  
Director  
Housing and Community Development Department

APPROVED AS TO FORM:

\_\_\_\_\_  
Senior City Attorney

## ESG Budget Page

ESG Funds	Estimated Allocation (PY 2016 / FY 2017)
<b>Sources</b>	
Projected ESG Grant Award	\$ 2,012,200
<b>Uses</b>	
Homeless Management Information System (HMIS)	\$ 90,000
Emergency Shelter	\$ 621,285
Homeless Prevention	\$ 400,000
Rapid Rehousing	\$ 750,000
Administration	\$ 150,915
<b>Total</b>	<b>\$ 2,012,200</b>

*Appendix # 4: Certifications*

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction --** The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan --** The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3 --** It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

---

Signature/Authorized Official

Date

## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation --** It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan --** Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan --** It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds --** It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) \_\_\_\_\_ , \_\_\_\_\_ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

## Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

## ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services ( including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

## HOPWA Certifications

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING:**

#### **A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

*Appendix # 5: ESG Guidelines*

# CITY OF HOUSTON

## EMERGENCY SOLUTIONS GRANTS PROGRAM

### WRITTEN STANDARDS

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## INTRODUCTION

City of Houston has developed the following standards for providing assistance with Emergency Solutions Grants (ESG) funds as required by 24 CFR 576.400 (e). These standards were created in coordination with the Houston/Harris County Continuum of Care which includes Harris County, the City of Houston, Fort Bend County and the City of Pasadena, and Texas Department of Housing and Community Affairs funding within the CoC geographic area. They are in accordance with the interim rule for the Emergency Solutions Grants Program released by the U.S. Department of Housing and Urban Development on December 4, 2011 and the final rule for the definition of homelessness also released by the U.S. Department of Housing and Urban Development on December 4, 2011.

City of Houston expects that the standards will adjust as the City of Houston gains more experience with and collects more data from services provided with the Emergency Solutions Grants program. The Standards serve as the guiding principles for funding programs. The Business Rules outline the operations and process for carrying out each program component.

## STANDARDS APPLICABLE TO ALL PROGRAM COMPONENTS

### ELIGIBLE ESG PROGRAM COMPONENTS

There are four (4) ESG Program Components:

1. Rapid Re-Housing,
2. Emergency Shelter,
3. Homelessness Prevention and
4. Street Outreach.

Funds for ESG can be used to support any of the eligible components. The CoC gives priority to funding that supports securing housing options for homeless households and to support the expansion of rapid re-housing.

### COORDINATING ASSESSMENT & SERVICES AMONG PROVIDERS

- City of Houston expects that all providers participate in the coordinated assessment system. Coordinated assessment uses a common housing assessment and triage tool to ensure that all homeless individuals are referred to the appropriate housing intervention. Coordinated assessment will be used as each housing intervention

supported by ESG is fully integrated into the system referral process. Prior to full implementation of coordinate assessment, agencies can continue to accept direct referrals from individuals and other agencies.

**Designate staff members for CoC Provider Input forum:** Each agency will assign three representatives to the input forum, where at least one member has decision making capacity for the program. CoC Provider Input Forums will meet quarterly, or more often as required by current CoC policies, where providers give and receive information regarding CoC strategies and policies.

**Participate in any standardized training as designated by ESG funders and offered through CoC.** The CoC will provide a vetted and standardize training curriculum for all housing stability case managers that will be available for all agencies providing case management for housing based services. The curriculum and standards will be developed as a part of and in partnership with the Continuum of Care Technical Assistance from the Department of Housing and Urban Development. This will focus on the requirements of maintaining stable housing and ensure access to mainstream resources that will provide ongoing, continued and necessary support for households to gain and maintain stable housing.

## COORDINATING WITH MAINSTREAM AND TARGETED HOMELESS PROVIDERS

City of Houston expects that every agency that is funded through ESG will coordinate with and access mainstream and other targeted homeless resources. City of Houston will evaluate performance of each provider based on the outcomes achieved toward housing models adopted through the CoC Steering committee. These outcome measures will be used to evaluate program success annually. City of Houston will use this and other performance metrics to guide funding decisions for ESG funded programs. Required outcomes for each intervention around accessing mainstream resources will match the outcomes approved by the CoC Steering Committee annually.

## STANDARDS SPECIFIC TO EMERGENCY SHELTER

### ELIGIBILITY: HOMELESS STATUS

Homeless clients entering into the shelter system must meet the HUD criteria for homelessness as either literally homeless (Homeless Category 1), at imminent risk of homelessness (Homeless

Category 2), homeless under another federal statute (Homeless Category 3), or fleeing/attempting to flee domestic violence (Homeless Category 4).

For additional details related to the HUD definition of Homeless and applicability to each program component, see Appendix A and Appendix C.

## ELIGIBILITY: INTAKE AND ASSESSMENT

As already indicated above under Coordinating Assessment & Services, case managers will use the Continuum wide assessment tool to review client situation, understand eligibility, and begin the process of determining length of assistance. The tool will include an assessment form for diversion that providers are currently testing. Once testing has been finalized, the diversion assessment will be required for all providers, including DV providers.

Specific to Emergency Shelter,

- Any new client entering into shelter must also undergo a complete assessment to understand client needs and barriers and match the client to the most appropriate services provider.
- Clients will be prioritized within the emergency shelter system based on need, available resources and geographic area.

## ELIGIBILITY: PRIORITIZATION & REFERRAL POLICIES

Emergency shelters will prioritize individuals/families that:

- Cannot be diverted; and
- Are literally homeless; and
- Can be safely accommodated in the shelter; and
- Not in need of emergency medical or psychiatric services or danger to self or others.

Also note the following:

- Emergency Shelters cannot discriminate per HUD regulations.
- There are no requirements related to ID, income or employment;
- Transgender placement based on gender self-identification.

## STANDARDS SPECIFIC TO HOMELESSNESS PREVENTION AND RAPID RE-HOUSING

### ELIGIBILITY: STATUS AS HOMELESS OR AT-RISK OF HOMELESSNESS

#### HOMELESSNESS PREVENTION

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Homelessness Prevention assistance:

- At Risk of Homelessness
- Homeless Category 2: Imminently at-risk of homelessness
- Homeless Category 3: Homeless under other federal statute and
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **not** also literally homeless. If the individuals/families are also literally homeless they would actually qualify for rapid re-housing instead. See below.)

Additional eligibility requirements related to Homelessness Prevention include:

- **Proof of residence** within the City of Houston service area. A map for reference is included on page 21.
- **Total household income below 30 percent of Area Family Income (AFI)** for the area at initial assessment. Clients must provide documentation of household income, including documentation of unemployment and zero income affidavit for clients without income.

#### RAPID RE-HOUSING

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Rapid Re-Housing assistance:

- Homeless Category 1: Literally homeless
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **also** literally homeless).

For additional details related to the HUD definition of Homeless and At Risk of Homelessness and applicability of these definitions to each program component, see Appendix A, B and C.

## ELIGIBILITY: INTAKE AND ASSESSMENT

Once coordinated access is available for all housing interventions, all clients must have an initial eligibility assessment and triage for appropriate housing by a specially trained housing assessor. All clients come through coordinated access and are assessed using housing triage tool in HMIS. Housing triage will identify, based on the standard assessment, individuals best suited for rapid re-housing. The standard assessment accounts for length and frequency of homelessness, physical and mental health status, criminal history, veteran status, domestic violence experience, substance abuse conditions and employment history.

## TARGETED POPULATIONS: CLIENT PRIORITIZATION

### HOMELESSNESS PREVENTION

Note that all targeted individuals and families described below have to meet the minimum HUD requirements for eligibility to Homeless Prevention.

City of Houston will use a shared assessment form that will target those clients with the most barriers to housing. Each barrier will have an allotment of points, and the higher score (and more barriers) the more likely the client will receive services. The assessment of barriers is based on an objective review of each client's current situation using the tool rather than the subjective opinion of a case manager assessing each client's needs. All clients must have a minimum score of 20 points to receive assistance. See Appendix D for a copy of the assessment form.

Additionally, City of Houston prioritizes clients who are currently in their own housing, especially families with young children who have limited housing options but high needs for homelessness prevention funding.

### RAPID RE-HOUSING

Coordinated access will prioritize individuals who are currently homeless but not in need of permanent supportive housing as eligible for rapid re-housing. This can include, but is not limited to individuals and households who,

- are first time homeless;
- have few recent episodes of homelessness; or
- are part of a family that is homeless.

It should be noted, rapid re-housing funds are directed to individuals with income or work history and skills that indicate employability.

## FINANCIAL ASSISTANCE

### DURATION AND AMOUNT OF ASSISTANCE

City of Houston, as part of the Houston/Harris County/Ft. Bend County CoC, has adopted the CoC approved Housing Models to measure community outcomes for all housing interventions. The CoC requires that all subrecipients for ESG Rapid Re-Housing funds use the CoC-wide assessment tools to determine the duration and amount of assistance. The tools do not dictate the amount of assistance that each household receives, but guides the case manager and client to determine the appropriate amount of assistance for each household.

- All clients are assessed to determine initial need and create a budget to outline planned need for assistance.
- Agencies cannot set organizational maximums or minimums but must rely on the CoC wide tools to determine household need.
- Through case management, client files are reviewed monthly to ensure that planned expenditures for the month validate financial assistance request.
- City of Houston expects that households will receive the minimum amount of assistance necessary to stabilize in housing.

Clients cannot exceed 24 months of assistance in a 36 month period. The Rapid Re-Housing Business Rules outline the processes that require supervisory approval.

### PARTICIPANT SHARE

Participant share will be determined by use of common assessment and budgeting tools approved through the Continuum of Care. These tools will determine the monthly assistance amount and client contribution. Clients will participate in the development of their individual housing plan with a case manager based on client goals and shared goals for achieving housing stability. Case managers will use the housing plan to determine the client contribution based on monthly income. Clients are expected to contribute a portion of their income based on budgeting to ensure housing stability. Financial assistance is available for households with zero

income. Details of when clients are terminated or redirected to a more appropriate intervention are outlined in the business rules.

## HOUSING STABILIZATION AND RELOCATION RELATED ASSISTANCE AND SERVICES

### REQUIRED SERVICES: CASE MANAGEMENT & CASE LOADS

The Continuum of Care requires that all clients are referred to a case manager through the coordinated assessment system. Coordinated assessment will triage homeless clients to rapid re-housing that are in need of short to medium term assistance based on individual experience and vulnerability. Coordinated Access Assessors will then directly refer to a rapid re-housing case manager based on client preference and program availability and vacancy. Case managers will perform an individual assessment to create a housing plan using the common assessment tools. This begins the process to rapidly re-house the homeless household as quickly and efficiently as possible.

Homelessness prevention clients must have an initial home visit when first approved for assistance and subsequent house visits with each recertification every three months. It is expected that case managers will conduct office visits with homelessness prevention clients between home visits, at least once per month. Case managers and program managers are encouraged to provide more than the minimum required services through case management.

Rapid re-housing case managers should maintain an average case load of 35 clients. This will allow case managers to provide quality case management and ensure that services are targeted to individuals most likely to be successful with rapid re-housing assistance. As the rapid re-housing program for the continuum expands, this number may increase.

Case management includes home and office visits determined by client need and supported by the housing plan.

As required by the Continuum of Care Housing Models, case managers are expected to follow up with clients that have successfully exited rapid re-housing case management at a minimum of 30 days after exiting the program. Clients who remain in housing for 90 days after exiting rapid re-housing, identified through HMIS, are categorized as stably housed.

Case management staff must have an updated copy of the Rental Assistance Agreement and ensure that the fiscal agent is informed of any changes to the participant rent share as indicated in the Housing Stabilization Plan.

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## REQUIRED SERVICES: HOUSING LOCATION SERVICES

Any subrecipient of ESG assistance must also have a dedicated housing navigation and location specialist for households receiving rapid re-housing, rather than the expectation that clients must navigate the system on their own. This specialized position will be dedicated to finding appropriate housing and developing relationships with affordable housing providers. This process facilitated by this position ensures ESG clients have greater housing choice. Housing navigators for rapid re-housing may have expertise based on location and type of housing.

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## REQUIRED SERVICES: INSPECTION AND LANDLORD AGREEMENT

Any unit that receives financial assistance through rapid re-housing must pass a Housing Quality Standards Inspection as outlined in the ESG regulations. The inspections will be conducted by a qualified agency with expertise in inspection. The process for scheduling and conducting an inspection is outlined in the rapid re-housing business rules.

Any unit that receives rental assistance payments through rapid re-housing must have an agreement in place between the financial assistance fiscal intermediary and the property. The rental assistance agreement details the terms under which rental assistance will be provided. A copy of the rental assistance template is included in the rapid re-housing business rules and outlines the requirements for rental payment as well as any notice to vacate or eviction by the owner.

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## INELIGIBLE SERVICES: CREDIT REPAIR AND LEGAL SERVICES

City of Houston will not allow ESG funds to be used for credit repair and legal services as eligible activities. City of Houston has found limited access to this resource by clients and providers and will instead encourage the use mainstream service providers and establish them as part of the system of providers with formal relationship.

---

## OPTIONAL SERVICES: SECURITY/UTILITY DEPOSITS

Rental and utility deposits can be included in housing stabilization services as dictated by the housing stabilization plan. Rental and utility deposits can be included in lieu of or in combination with rental assistance for a unit. Requirements for inspections and rental assistance agreements for units with only security deposits are outlined in the rapid re-housing business rules.

- Security deposits can cover up to two months of rent.

## OPTIONAL SERVICES: RENTAL APPLICATION FEES

City of Houston expects that rapid re-housing navigation and location specialists will work closely with housing providers and establish trusting relationships among landlords in a way that will encourage property owners and managers to waive application fees for rental properties. To that end, application fees can only be provided for one application at a time; but note that this only limits the number of applications that require application fees. Case managers and housing specialists can and should work with clients and landlords to process as many free applications as possible.

## ELIGIBILITY: PERIODIC RE-CERTIFICATION

All case managers are required to re-certify clients based on the following schedule. At that time, a case manager may decide to extend, decrease or discontinue providing assistance.

Program Component	Schedule	Re-certification Criteria
Homelessness Prevention	Every 3 months	For both HP and RRH, to continue to receive assistance, clients must <ul style="list-style-type: none"> <li>• be at or below 30% AFI AND</li> <li>• lack sufficient resources and support networks necessary to retain housing without ESG assistance.</li> </ul> Families are required to provide information on income, assets greater than \$5,000, deductions, and family composition during the annual recertification process.
Rapid Re-Housing	Annually	

**APPENDIX A: HUD DEFINITION FOR HOMELESS**

<b>HUD CRITERIA FOR DEFINING HOMELESS</b>	<b>Category 1</b>	Literally Homeless	<p>Individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:</p> <ul style="list-style-type: none"> <li>• Has a primary nighttime residence that is a public or private place not meant for human habitation;</li> <li>• Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); <u>or</u></li> <li>• Is exiting an institution where (s)he has resided for 90 days or less <u>and</u> who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution</li> </ul>
	<b>Category 2</b>	Imminent Risk of Homelessness	<p>Individual or family who will imminently lose their primary nighttime residence, provided that:</p> <ul style="list-style-type: none"> <li>• Residence will be lost within 14 days of the date of application for homeless assistance;</li> <li>• No subsequent residence has been identified; <u>and</u></li> <li>• The individual or family lacks the resources or support networks needed to obtain other permanent housing</li> </ul>
	<b>Category 3</b>	Homeless under other Federal statutes	<p>Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:</p> <ul style="list-style-type: none"> <li>• Are defined as homeless under the other listed federal statutes;</li> <li>• Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application;</li> <li>• Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; <u>and</u></li> <li>• Can be expected to continue in such status for an extended period of time due to special needs or barriers</li> </ul>
	<b>Category 4</b>	Fleeing/ Attempting to Flee DV	<p>Any individual or family who:</p> <ul style="list-style-type: none"> <li>• Is fleeing, or is attempting to flee, domestic violence;</li> <li>• Has no other residence; <u>and</u></li> <li>• Lacks the resources or support networks to obtain other permanent housing</li> </ul>

**APPENDIX B: HUD DEFINITION FOR AT RISK OF HOMELESSNESS**

<b>HUD CRITERIA FOR DEFINING AT RISK OF HOMELESSNESS</b>	<b>Category 1</b>	Individuals and Families	<p>An individual or family who:</p> <ul style="list-style-type: none"> <li>(i) Has an annual income below <u>30%</u> of median family income for the area; <u>AND</u></li> <li>(ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; <u>AND</u></li> <li>(iii) Meets one of the following conditions: <ul style="list-style-type: none"> <li>A. Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; <u>OR</u></li> <li>B. Is living in the home of another because of economic hardship; <u>OR</u></li> <li>C. Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; <u>OR</u></li> <li>D. Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; <u>OR</u></li> <li>E. Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; <u>OR</u></li> <li>F. Is exiting a publicly funded institution or system of care; <u>OR</u></li> <li>G. Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Con Plan</li> </ul> </li> </ul>
	<b>Category 2</b>	Unaccompanied Children and Youth	A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute
	<b>Category 3</b>	Families with Children and Youth	An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her.

**APPENDIX C: CROSS WALK OF HUD HOMELESS AND AT RISK DEFINITIONS AND ELIGIBILITY TO ESG PROGRAM COMPONENTS**

<b>Eligibility by Component</b>	<b>Emergency Shelter</b>	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in ES projects:</p> <ul style="list-style-type: none"> <li>• Category 1: Literally Homeless</li> <li>• Category 2: Imminent Risk of Homeless</li> <li>• Category 3: Homeless Under Other Federal Statutes</li> <li>• Category 4: Fleeing/Attempting to Flee DV</li> </ul>
	<b>Rapid Re-Housing</b>	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in RRH projects:</p> <ul style="list-style-type: none"> <li>• Category 1: Literally Homeless</li> <li>• Category 4: Fleeing/Attempting to Flee DV (if the individual or family is also literally homeless)</li> </ul>
	<b>Homelessness Prevention</b>	<p>Individuals and families defined as Homeless under the following categories are eligible for assistance in HP projects:</p> <ul style="list-style-type: none"> <li>• Category 2: Imminent Risk of Homeless</li> <li>• Category 3: Homeless Under Other Federal Statutes</li> <li>• Category 4: Fleeing/Attempting to Flee DV (if the individual or family is NOT also literally homeless)</li> </ul> <p>Individuals and families who are defined as At Risk of Homelessness</p> <p>Additionally, HP projects must only serve individuals and families that have an annual income BELOW 30% AMI</p>

## APPENDIX D: ELIGIBILITY CRITERIA AND PRIORITIZATION TOOL FOR HOMELESSNESS PREVENTION SYSTEM

### Eligibility Requirements

All potential clients will be screened for the following:

**Income** – Only households with income below 30% of the Area Median Income are eligible for Homelessness Prevention services (see Attachment A for income limits)

PLUS

**Trigger Crisis** – An event has occurred which is expected to result in housing loss within 30 days due to one of the listed reasons (see Attachment B for qualifying trigger crises)

PLUS

**No resources or support network to prevent homelessness** –No other options are possible for resolving this crisis. “But for this assistance” this household would become literally homeless—staying in a shelter, a car, or another place not meant for human habitation

OR

**Unaccompanied children and youth who qualify as homeless under another Federal statute** – See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

OR

**Families with children or youth who qualify as homeless under another Federal statute** –See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

PLUS

**Score of at least 20 points**—or 15 – 19 points with override sign-off (see Attachment D for score sheet)

## Attachment A

### 30% Area Median Income (2014)

1 Person Household	\$14,000	(\$1,167/month)
2 Person Household	\$16,000	(\$1,333/month)
3 Person Household	\$18,000	(\$1,500/month)
4 Person Household	\$20,000	(\$1,667/month)
5 Person Household	\$21,600	(\$1,800/month)
6 Person Household	\$23,200	(\$1,933/month)
7 Person Household	\$24,800	(\$2,067/month)
8 Person Household	\$26,400	(\$2,200/month)

<http://www.huduser.org/portal/datasets/il/il2014/2014summary.odn>

## Attachment B

### Trigger Crisis

Will lose housing within 30 days due to one of the following:

- Moved twice or more in the past 60 days
- Living in the home of another person because of economic hardship
- Notified that right to occupy their current housing or living situation will be terminated within 21 days after date of application
- Living in hotel or motel and cost is not paid for by charitable organization or government program for low-income people
- Living in SRO or efficiency where more than 2 people live; or in a larger housing unit with more than 2 people per room
- Exiting a publicly funded institution or system of care
- Exiting a publicly or privately funded inpatient substance abuse treatment program or transitional housing program
- Living in rental housing that is being condemned by a government agency and tenants are being forced to move out

## Attachment C

### Other Definitions of Homelessness

- **Runaway and Homeless Youth Act (42 U.S.C 5701 et seq.)**

*Runaway and Homeless Youth* funding is administered by the Family and Youth Services Bureau within the Administration for Children & Families (ACF) of the U.S. Department of Health and Human Services (HHS). Information about Runaway and Homeless Youth program grantees is available online at <http://www2.ncfy.com/locate/index.htm>.

- **Head Start Act (42 U.S.C. 9831 et seq.)**

*Head Start* funding is administered by the Office of Head Start (OHS) within ACF/HHS. A listing of Head Start programs, centers, and grantees is available online at <http://eclkc.ohs.acf.hhs.gov/hslc/HeadStartOffices>

- **Violence Against Women Act of 1994; subtitle N (42 U.S.C. 14043e et seq.)**

*Violence Against Women Act* established the Office on Violence Against Women (OVW) within the U.S. Department of Justice (DOJ). OVW administers financial and technical assistance to communities across the country that are developing programs, policies, and practices aimed at ending domestic violence, dating violence, sexual assault, and stalking. Currently, OVW administers one formula grant program and eleven discretionary grant programs, all of which were established under VAWA and subsequent legislation. More information about OVW is available online at <http://www.ovw.usdoj.gov/>.

- **Public Health Service Act; section 330 (42 U.S.C. 254b)**

*The Public Health Service Act* authorized the Health Center Program, which is administered by the Bureau of Primary Health Care within the Health Resources and Services Administration (HRSA) of HHS. Information about local Health Centers can be found online at <http://bphc.hrsa.gov/index.html>

- **Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.)**

*Food and Nutrition Act of 2008* relates to the Supplemental Nutrition Assistance Program (SNAP), formerly known as Food Stamps. SNAP is administered by the U.S. Department of Agriculture (USDA). More information about SNAP can be found online at <http://www.fns.usda.gov/snap/>

- **Child Nutrition Act of 1966; section 17 (42 U.S.C. 1786)**

*Child Nutrition Act of 1966* authorized numerous programs related to school lunches and breakfasts and funds for meals for needy students. For more information about these programs, contact the local School Department.

- **McKinney-Vento Act; subtitle B of title VII (42 U.S.C. 11431 et seq.)**

McKinney-Vento Act authorized the McKinney-Vento Education for Homeless Children and Youths Program, which is administered via the Office of Elementary and Secondary Education within the U.S. Department of Education. More information about this program is available online at <http://www2.ed.gov/programs/homeless/index.html>. Also, contact the local School Department.

## Attachment D

### Prioritization Scoring

#### Income Scoring

- \_\_\_ Rent burden at 66 – 80% of income.... 5 points
- \_\_\_ Income at or below 15% AMI.... 20 points OR
- \_\_\_ Income 16 – 29% AMI.... 10 points

#### 15% Area Median Income (2012)

1 Person Household	\$7,250	(\$604/month)
2 Person Household	\$8,025	(\$669/month)
3 Person Household	\$9,025	(\$752/month)
4 Person Household	\$10,025	(\$835/month)
5 Person Household	\$10,850	(\$904/month)
6 Person Household	\$11,650	(\$970/month)
7 Person Household	\$12,450	(\$1,038/month)
8 Person Household	\$13,250	(\$1,104/month)

**Tenant Barriers/Risk Factors Scoring**

<b>Tally</b>	<b>Screening Barrier</b>	<b>Points for Barrier</b>
_____	Eviction history	1 point
_____	No credit references: has no credit history	1 point
_____	Lack of rental history: has not rented in the past	1 point
_____	Unpaid rent or broken lease in the past (separate from current unpaid rent)	1 point
_____	Poor credit history: late or unpaid bills, excessive debt, etc	1 point
_____	Past Misdemeanors	1 point
_____	Past Felony other than critical Felonies listed below	1 point
_____	Exiting criminal justice system where incarcerated for less than 90 days	1 point
_____	Critical Felony (drugs, sex crime, arson, crimes against other people)	5 points
_____	Pregnant or has at least one child 0 – 6	5 points
_____	Head of household under 30 years old	5 points
_____	Family experienced literal homelessness in the past 3 years	5 points
_____	Only 1 adult in household	5 points
_____	<b>TOTAL (Tally of Income &amp; Tenant Barriers Scoring)</b>	

**Override**

If a household has 15 to 19 points but the agency believes there is a compelling reason to provide homelessness prevention services, the program can document reasons for overriding the score. The override must be signed off by an agency representative at a higher level of authority than direct service staff.

*Appendix # 6: Citizen Participation Plan*

**CITY OF HOUSTON**  
**CITIZEN PARTICIPATION PLAN**  
**FOR THE**  
**CONSOLIDATED PLAN**

Previous Amendment: April 2013  
Proposed Approval: April 2016



601 Sawyer Street

Houston, TX 77007

832.394.6200

Web: [www.houstontx.gov/housing](http://www.houstontx.gov/housing)

## A. Introduction

The Citizen Participation Plan (CPP) has been prepared and implemented pursuant to federal regulations (U. S. Department of Housing and Urban Development (HUD) Regulations 24 CFR Part 91.105) and the City of Houston's desire to encourage and support public participation in the development of the documents related to the consolidated planning process.

The purpose of the CPP is to establish a viable means by which residents of the City of Houston (City), public agencies, and other interested parties can actively participate in the development of documents related to the consolidated planning process including

- The Consolidated Plan
- The Annual Action Plan
- The Assessment of Fair Housing (AFH) and its revisions
- Amendments to Consolidated Plan or Annual Action Plan(s)
- The Consolidated Annual Performance and Evaluation Report (CAPER)
- Amendments to the CPP

The actions described in the CPP relate to the planning and expenditure of funds provided to the City by the HUD Office of Community Planning and Development (CPD). CPD entitlement funds received by the City include the Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), Economic Development Initiative (EDI), Section 108 Loan Guarantee Program, and other grants, as may be added by law.

## B. Encouragement of Citizen Participation

The City of Houston strives to encourage and facilitate the participation of its residents in the development of priorities, strategies, and funding allocations related to the consolidated planning process. The City will emphasize the involvement of low- and moderate-income persons, especially those living in slum and blighted areas, areas designated as a revitalization area, areas where the funds are proposed to be used, and low- and moderate-income neighborhoods where 51% of the residents are at or below 80% of the area median income (AMI).

The City encourages the participation of local and regional institutions, the Continuum of Care, and other organizations (including businesses, developers, nonprofit organizations, philanthropic organizations, and community/faith-based organizations) in the process of developing and implementing the documents related to the consolidated planning process.

To encourage citizen participation that emphasizes the involvement of low- and moderate-income residents, the City will continue to work with public housing authorities, neighborhood groups, and other organizations representing the City's low- and moderate-income areas and residents.

The CPP ensures that all parties, including residents, non-profit organizations, and other interested organizations, are afforded adequate opportunity to review and comment on plans, programs, activities, and reports covering the City's federally funded housing and community development programs. This includes minority populations, people with limited English proficiency, and persons with disabilities.

## C. Consolidated Planning Activities

### **1. Consolidated Plan and Annual Action Plan**

Every five years, the City of Houston develops a Consolidated Plan, as required by HUD, detailing how the City plans to invest its resources to meet Houston's ongoing affordable housing, community development, economic development, and public service needs over the next five years. The Consolidated Plan is a strategic plan and is developed using a collaborative community engagement process that results in a shared strategic vision for how resources are allocated to meet needs.

The Annual Action Plan outlines the community's needs, budget priorities, local and federal resources, and proposed activities for the upcoming year. Public hearings and draft documents are necessities in the citizen participation process.

#### **a. Public Hearings**

Public hearings will be held at key stages of the consolidated planning process to obtain public input and response regarding community needs, proposed use of funds, proposed strategies and actions for affirmatively furthering fair housing consistent with the Assessment of Fair Housing (AFH), and program performance.

Pursuant to HUD regulations, the City will conduct a minimum of two (2) public hearings held at different planning stages within the program year prior to submission of the Consolidated Plan and/or Annual Action Plan to allow for resident participation in the development process. At least one (1) of these hearings will be held during the development of the Consolidated Plan and/or Annual Action Plan, before the proposed plan is published for comment.

#### **b. Draft Plan(s)**

Before the Consolidated Plan and/or Annual Action Plan is adopted by the City Council and submitted to HUD (i.e., mid-May), the City will make the plan(s) available to citizens, public agencies, and other interested parties for review and will also establish the means to submit comments. Information made accessible to the public will include the amount of grant funds the City expects to receive (including program income), the range of activities to be undertaken, and the anticipated number of low- and moderate-income persons to benefit from funded activities.

The City will publish its Draft Consolidated Plan and/or Annual Action Plan for no less than thirty (30) days so that all affected residents will have sufficient opportunity to review and comment on the draft plan(s). A summary describing the contents and purpose of the Consolidated Plan and/or Annual Action Plan will be published in one or more newspapers of general circulation. As feasible, the summary will be available in English, Spanish, and other languages.

The notice will detail locations where the entire draft plan(s) will be made available for review. Locations will include

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston’s Housing and Community Development Department Website – [www.houstontx.gov/housing](http://www.houstontx.gov/housing)
- City of Houston Main Public Library – 500 McKinney Street

A reasonable number of free copies will be available at the City of Houston, Housing and Community Development Department (601 Sawyer, 4<sup>th</sup> floor), upon request.

The City will consider any comments or views of residents of the community received in writing, or orally at the public hearings, in preparing the final Consolidated Plan and/or Annual Action Plan. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the final Consolidated Plan and/or Annual Action Plan.

## **2. Amendments to the Consolidated Plan and Annual Action Plan**

For the purpose of the CPP, amendments to the Consolidated Plan and Annual Action Plan are divided into two categories: Substantial Amendments and Minor Amendments.

### **a. Substantial Amendments**

Occasionally, it may be necessary for the City to process a Substantial Amendment to the Consolidated Plan or an Annual Action Plan to allow for new activities, modification of existing activities, or other program changes.

Substantial Amendments are subject to the citizen participation process and require formal action by the City Council and submission to HUD by the end of the program year. The City announces Substantial Amendments to the public by publishing a public notice in one or more newspapers of general circulation for a period of thirty (30) days in order to provide the opportunity for the public to review and comment on the proposed Substantial Amendments. The notice will be available in English and may also be available in Spanish and other languages, as feasible. The City will consider all written or oral comments or views received from the public concerning proposed substantial amendments in accordance with 24 CFR 91.105(c)(3). A summary of these comments and views, including comments or views not accepted and the reason why, shall be attached to the substantial amendment.

When using funds from any program covered by the consolidated plan process (except for EDI as discussed in a later section), the following criteria will be used by the City for determining what constitutes a Substantial Amendment to its approved Consolidated Plan and/or Annual Action Plan.

1. Adding a new activity<sup>1</sup> not previously described in the Consolidated Plan or Annual Action Plan

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<sup>1</sup> Activity: The first level of HUD categories of activities at 24 CFR 570.201-206 and listed on the Annual Action Plan budget page that accompanies the SF-424 Form, i.e. public services, public facilities and improvements, etc.

2. Deleting an activity previously described in the Consolidated Plan or Annual Action Plan
3. Changing the purpose, scope, location, or beneficiaries of an activity or previously described in the Consolidated Plan or Annual Action Plan
4. Changing allocation priorities or changing the method of the distribution of funds
5. Changing an activity **total dollar amount allocated** or budgeted by more than 25 percent from the amount previously described in an Annual Action Plan or its most recent Substantial Amendment

**b. Minor Amendments**

Minor Amendments represent any action that changes an activity budget in an Annual Action Plan by less than twenty-five percent (25%). These require the signature of the City’s HCDD Director, or designated representative, but do not require public notice or City Council approval.

**3. Assessment of Fair Housing (AFH)**

The AFH is an analysis of local fair housing landscape and sets locally determined fair housing priorities and goals. The AFH contains

- An analysis of fair housing data
- An assessment of fair housing issues which are local conditions that restrict fair housing choice or access to opportunity
- An assessment of the contributing factors that create, contribute to perpetuate, or increase the severity of one or more fair housing issues
- An identification of fair housing priorities and goals

The AFH is conducted and submitted to HUD using the HUD designated Assessment Tool and replaces the Analysis of Impediments to Fair Housing Choice beginning in program year 2020.

**a. Data and Information**

As soon as feasible after the start of the public participation process, the City will make the HUD-provided data and other information used in the development of the AFH available to residents, public agencies, and other interested parties. A summary of data and information that is planned to be incorporated into the AFH, or links to the data and information, will be available through HCDD’s website.

**b. Public Hearing**

At least one public hearing will be held to obtain the views of residents on AFH-related data and affirmatively furthering fair housing in the City’s housing and community development programs. This public hearing will be held before the Draft AFH is published for comment.

**c. Draft AFH**

Before the AFH is submitted to HUD, the City will make a Draft AFH available to citizens, public agencies, and other interested parties for review and will also establish the means to submit comments.

The City will publish its Draft AFH for no less than thirty (30) days to provide residents with sufficient opportunity to review and comment on the Draft AFH. A summary describing the contents and purpose of the AFH will be published in one or more newspapers of general

circulation. The summary will be available in English and may also be available in Spanish and other languages, as feasible.

The notice will detail locations where the entire Draft AFH will be made available for review. Locations will include

- Housing and Community Development Department – 601 Sawyer Street, Suite 400
- City of Houston’s Housing and Community Development Department Website – [www.houstontx.gov/housing](http://www.houstontx.gov/housing)
- City of Houston Main Public Library – 500 McKinney Street

A reasonable number of free copies will be available at the City of Houston, Housing and Community Development Department (601 Sawyer, 4<sup>th</sup> floor), upon request.

The City will consider any comments or views of residents of the community received in writing, or orally at the public hearings, in preparing the final AFH. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons why, shall be attached to the final AFH.

#### **d. Revisions to AFH**

A HUD accepted AFH must be revised and submitted to HUD for review when

1. A material change occurs. A material change is one that both a) impacts the circumstances in the City which may include natural disasters, significant demographic changes, new significant contributing factors, and civil rights findings and b) causes alterations to the AFH’s analyses, contributing factors, priorities, and/or goals.
2. The City receives a written notification from HUD specifying a material change.

#### **4. Consolidated Annual Performance Evaluation Report (CAPER)**

The City is required to submit a CAPER for its CPD programs to HUD no later than 90 days from the end of a program year. The CAPER describes how funds were spent and the extent to which these funds were used for activities that benefitted low- and moderate-income people.

To allow the public to comment on the performance report, the City of Houston will publish notice in one or more newspapers of general circulation that its Draft CAPER is available for review. The notice will be available in English and may also be available in Spanish and other languages, as feasible. The notice will detail locations where the entire Draft CAPER will be made available for review. Locations will include

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston’s Housing and Community Development Department Website – [www.houstontx.gov/housing](http://www.houstontx.gov/housing)
- City of Houston Main Public Library – 500 McKinney Street

The public will have at least fifteen (15) days to comment on the Draft CAPER. All comments and views received during the comment period will be considered and shall be included in the performance report.

## **5. Citizen Participation Plan (CPP)**

When changes to the CPP are necessary, the City will publish notice in one or more newspapers of general circulation that the amended CPP is available to review so that the public will have sufficient opportunity to review and comment on the updates. There will be a thirty (30) day public comment period prior to the adoption of the amended CPP.

Upon request, the CPP can be made available in a format accessible to persons with disabilities.

## **D. Meetings and Public Hearings Schedule**

The City of Houston's program/fiscal year begins July 1 and ends June 30.

October/November/December – First Public Hearing on Consolidated Plan and/or Annual Action Plan is held during plan development (performance review and citizens express their needs regarding priority housing and non-housing community development needs and affirmatively furthering fair housing).

March – Draft Consolidated Plan and/or Annual Action Plan is made available to the public for a review period of no less than thirty (30).

March/April – Second Public Hearing on Consolidated Plan and/or Annual Action Plan is held (citizens express agreement or concern(s) on draft plan(s) and proposed use of funds).

April/May – Consolidated Plan and/or Annual Action Plan is submitted to HUD.

September – Draft CAPER is made available to the public, a fifteen (15) day review period is held, and the CAPER is submitted to HUD.

## **E. General Requirements**

### **1. Public Hearings and Public Meetings**

During the consolidated planning process, HCDD may hold both public hearings and public meetings. The location of the public hearings are intended to be convenient to potential and actual beneficiaries and will be held at a central location in the city or in a neighborhood close in proximity to low- and moderate-income residents.

Public hearings and public meetings are held at times and in locations accessible to persons with disabilities, consistent with accessibility and reasonable accommodation requirements. Interpretation for persons with limited English proficiency or persons with hearing impairments will be provided, upon request. HCDD may also provide interpreters, without request, if a public hearing is held where a significant number of non-English speaking residents are expected to participate. Additional accommodations may be made upon advance request.

Public hearings shall be held after a minimum of a fourteen (14) day notice in at least one newspaper of general circulation. Notices will be available in English and may also be available in Spanish and other languages, as feasible.

Public hearings and public meeting notices are posted on the bulletin board at City Hall, readily accessible to the general public at least three (3) days (72 hours) prior to the meeting date, in accordance to the Texas Open Meetings Act.

## **2. Technical Assistance**

The City will provide technical assistance, as requested, to groups representing low- and moderate-income persons that are developing proposals for entitlement funded housing and community development activities in the City of Houston. This technical assistance will be offered any time proposals for the use of entitlement funding are requested.

## **3. Access to Records**

The City of Houston will provide the public with reasonable and timely access to information and records relating to documents related to the consolidated planning process, as well as the proposed, actual, and past use of funds covered by the CPP.

The public will be provided reasonable access to information, subject to local, state, and federal public information laws and laws regarding privacy and obligations of confidentiality. Confidential documents will be set apart from public information, and any requestors of this information will be promptly informed. The public will have access to records for at least five years from the publication date of the requested document.

## **4. Availability to the Public**

Copies of documents related to the consolidated planning process, as described below, will be available to the public

- Adopted Citizen Participation Plan
- Final Consolidated Plans
- Final Annual Action Plans
- Final Substantial Amendments to an Annual Action Plan or Consolidated Plan
- HUD-accepted Assessment of Fair Housing (AFH) and its revisions
- Final Consolidated Annual Performance Reports (CAPER)

Copies of these documents will be available for review at the following locations

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston’s Housing and Community Development Department Website – [www.houstontx.gov/housing](http://www.houstontx.gov/housing)

Additional single copies of these documents may be obtained from the Housing and Community Development Department at no charge. These documents will also be available in a form accessible to persons with disabilities, upon request to the Planning and Grants Management Division at 832.394.6200.

All documents related to the consolidated planning process will be placed on file in the City of Houston, Housing and Community Development Department file room located at 601 Sawyer, Houston, Texas 77007.

## **5. Language Assistance**

Based on HUD guidance, HCDD assesses language needs through a four factor analysis in its *Language Assistance Plan*. Vital documents and notices related to the consolidated planning process will be available in English and may also be available in Spanish and other languages, as feasible.

## **6. Anti-Displacement and Relocation**

The City's consolidated planning activities are designed to eliminate or minimize displacement of residents. Program guidelines and limitations are structured so that permanent displacement is unlikely.

Tenants in occupied rental properties are made aware of their rights with respect to displacement and relocation. Property owners are made aware of their rights and responsibilities. Property owners must assume the financial responsibility for the relocation of their tenants.

If displacement will occur due to any planned actions, the City will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, as described in 49 CFR Part 24. If displacement should occur because the dwelling is deemed uninhabitable, it is the City's policy to provide advisory service and, if applicable, make relocation payments in accordance with local, state, and federal law.

## **F. Citizens' Complaints**

Written complaints from the public related to the City's CPP, Consolidated Plan, Annual Action Plans, Substantial Amendments, CAPERs, AFH, or AFH revisions will receive careful consideration and will be answered in writing, or other effective method of communication, within fifteen (15) business days, where practicable.

Written complaints should be sent to  
Attn: Planning and Grants Management  
City of Houston  
Housing and Community Development Department  
601 Sawyer Street, Suite 400  
Houston, Texas 77007

## **G. Economic Development Initiative (EDI)/Section 108 Loan Program**

It should be noted that the process for amending the EDI/Section 108 grant application differs considerably from that used for the Consolidated Plan, Annual Action Plan, and non-EDI Section 108 programs. First, in accordance with Item 8 of the executed grant agreement between the City of Houston and HUD, the EDI agreement can only be amended with prior written permission of HUD. Second, federal regulations require that a public hearing be held when amending the EDI/Section 108 grant application. For any program amended, verbal and written citizen comments will be summarized and submitted to HUD along with HCDD's response to each. EDI/Section 108 applications and funding are subject to all CPP

requirements applicable to the consolidated planning process where they are the same and as specifically required under 24 CFR §570.704.

*Appendix # 7: Public Hearing Notices*

CITY | STATE

\*\* Houston Chronicle | HoustonChronicle.com and chron.com | Monday, November 2, 2015 | B3

**PUBLIC NOTICE**

The City of Houston's Housing and Community Development Department (HCDD) has initiated work on the 2016 Annual Action Plan and has scheduled its Fall Public Hearings on the Plan for Tuesday, November 17, 2015 at 6:30 p.m. in the Denver Harbor Multi-Service Center (6402 Market Street, Houston, TX 77020) and for Wednesday, November 18, 2015 at 6:00 p.m. in the Leonel J. Castillo Community Center (2101 South Street, Houston, TX 77009). The purpose of these two (2) hearings is to gather the public's input on community needs and budget priorities to help prepare the 2016 Annual Action Plan, due in May 2016. Both venues are accessible for persons with disabilities. For additional information or to request special arrangements at the public hearings (Interpreter, Captioning, Sign Language, or Other), contact Millie Walker at 832.394.6266 or Millie.Walker@houston.tx.gov. Information is also available on HCDD's website at [www.houstonhousing.org](http://www.houstonhousing.org).

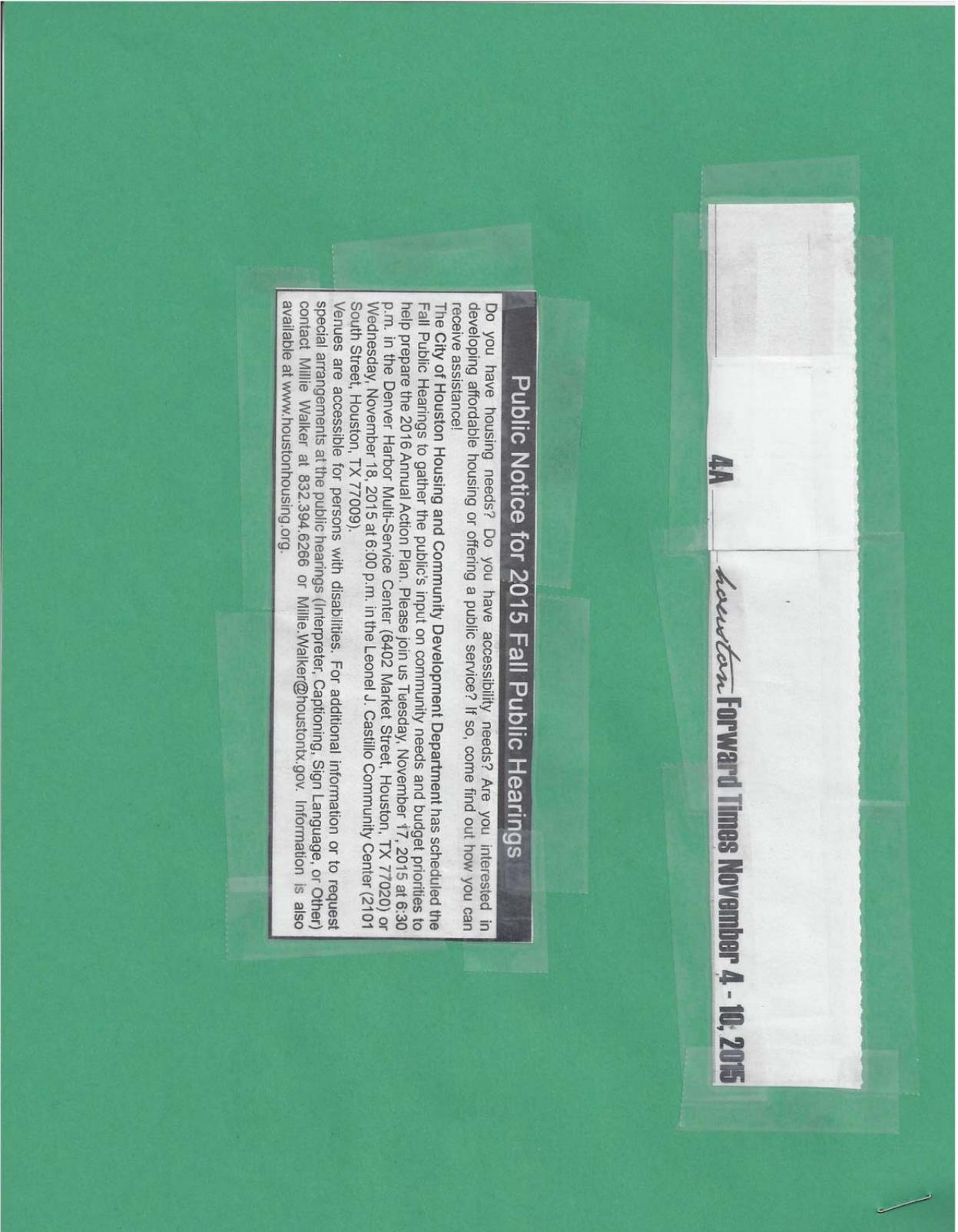
# Entretención

8 DE NOVIEMBRE DE 2015 | LAVOZDEHOUSTON.COM | LA VOZ DE HOUSTON | 5

## AVISO PÚBLICO

El Departamento de Vivienda y Desarrollo de la Comunidad (HCDD, por sus siglas en inglés) ha comenzado a trabajar en el Plan de Acción Anual de 2016 y ha programado Audiencias Públicas durante otoño sobre el Plan el Martes, 17 de Noviembre, 2015 a las 6:30 p.m. en el Centro de Multiservicios en Denver Harbor (6402 Market Street, Houston, TX 77020) y el Miércoles, 18 de Noviembre, 2015 a las 6:00 p.m. en el Centro Comunitario de Leonel J. Castillo (2101 South Street, Houston, TX 77009). El propósito de estas dos (2) audiencias es obtener aportaciones del público sobre las necesidades de la comunidad y para asistir en la preparación sobre las prioridades de el/los Plan de Acción Anual de 2016, con fecha de entrega Mayo 2016.

Ambos espacios de encuentro son accesibles a personas con discapacidades. Para más información o para solicitar arreglos especiales para las audiencias públicas (intérprete, Subtítulos, Lenguaje de Señas, U Otro), comuníquese en contacto con Millie Walker al 832-394-6266 o Millie.Walker@houstontx.gov. La información también está disponible en la página de Internet localizado HCDD en: [www.houstonhousing.org](http://www.houstonhousing.org).



### Public Notice for 2015 Fall Public Hearings

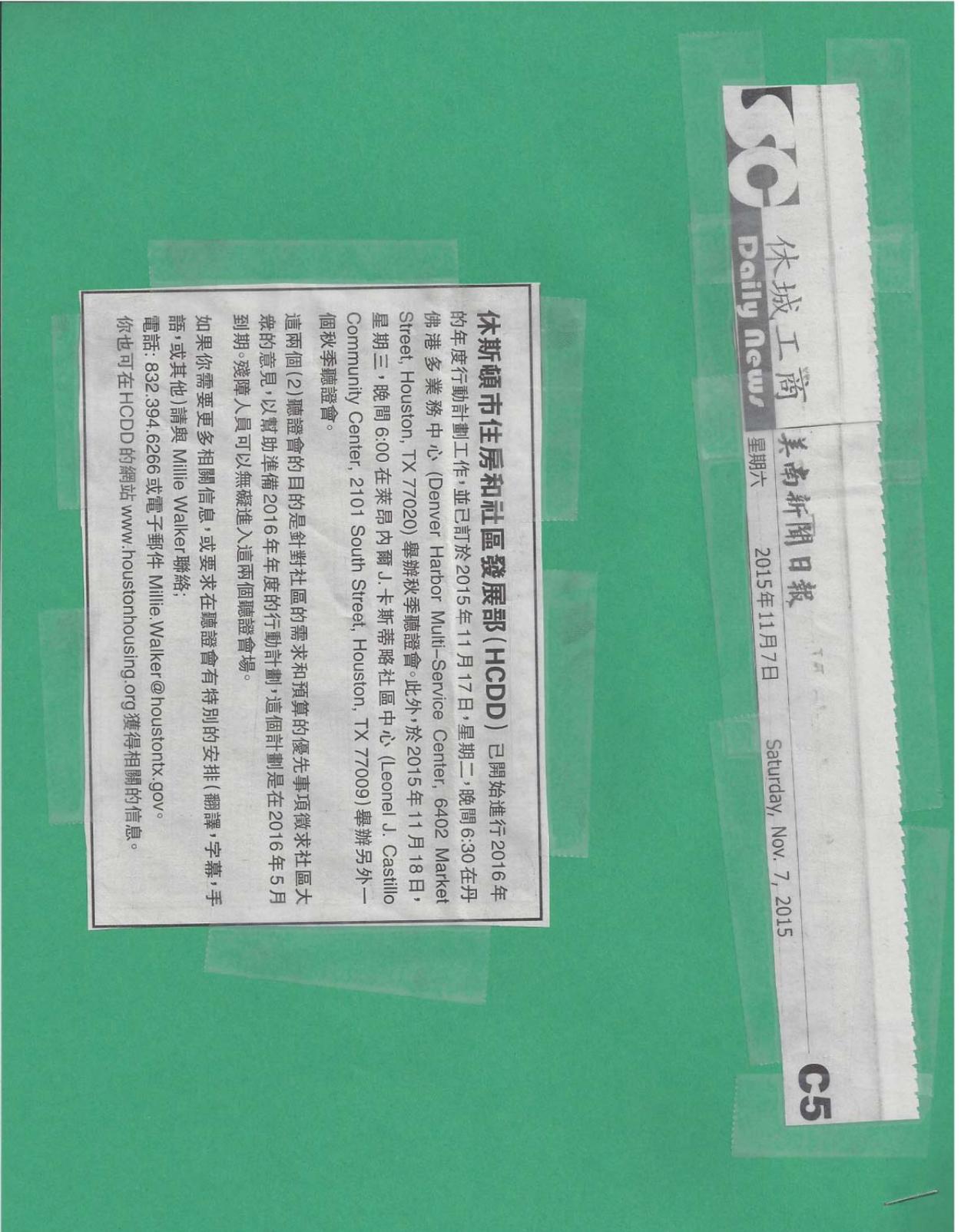
Do you have housing needs? Do you have accessibility needs? Are you interested in developing affordable housing or offering a public service? If so, come find out how you can receive assistance!

The City of Houston Housing and Community Development Department has scheduled the Fall Public Hearings to gather the public's input on community needs and budget priorities to help prepare the 2016 Annual Action Plan. Please join us Tuesday, November 17, 2015 at 6:30 p.m. in the Denver Harbor Multi-Service Center (6402 Market Street, Houston, TX 77020) or Wednesday November 18, 2015 at 6:00 p.m. in the Leonel J. Castillo Community Center (2101 South Street, Houston, TX 77009).

Venues are accessible for persons with disabilities. For additional information or to request special arrangements at the public hearings (Interpreter, Captioning, Sign Language, or Other) contact Millie Walker at 832.394.6266 or Millie.Walker@houston.tx.gov. Information is also available at [www.houstonhousing.org](http://www.houstonhousing.org).

4A

*Houston* Forward Times November 4 - 10, 2015



*Appendix # 9: Ordinance*

City of Houston, Texas Ordinance No. 2015-734

**AN ORDINANCE APPROVING AND AUTHORIZING SUBSTANTIAL AMENDMENTS TO THE ANNUAL ACTION PLANS FOR 2010, 2012, 2013 AND 2014 RELATING TO THE COMMUNITY DEVELOPMENT BLOCK GRANT (“CDBG”) PROGRAM GRANT APPLICATIONS, AS AMENDED, AND THE HOME INVESTMENT PARTNERSHIPS (“HOME”) PROGRAM GRANT APPLICATIONS, AS AMENDED, FOR SUBMISSION TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.**

**WHEREAS**, on May 12, 2010, pursuant to City Ordinance No. 2010-372, the City Council of the City of Houston (“City Council”) approved and authorized the submission of the 2010 Consolidated Plan (“2010 Annual Action Plan”), which included among other things Grant Applications and Budgets (“Grant Applications”), to the United States Department of Housing and Urban Development (“HUD”) for the Community Development Block Grant (“CDBG”) Program and the HOME Investment Partnerships (“HOME”) Program; and

**WHEREAS**, on April 25, 2012 pursuant to City Ordinance No. 2012-353, the City Council approved and authorized the submission of the 2012 Annual Action Plan (“2012 Annual Action Plan”) including the Grant Applications for the CDBG Program and the HOME Program; and

**WHEREAS**, on April 24, 2013, pursuant to City Ordinance No. 2013-353, the City Council approved and authorized the submission of the 2013 Annual Action Plan (“2013 Annual Action Plan”), including Grant Applications for the CDBG Program and the HOME Program; and

**WHEREAS**, on April 23, 2014, pursuant to City Ordinance No. 2014-337, the City Council approved and authorized the submission of the 2014 Annual Action Plan (“2014 Annual Action Plan”), including Grant Applications for the CDBG Program and the HOME Program; and

**WHEREAS**, the City, upon the recommendation of the Housing and Community Development Department (“HCDD”), now desires to make the following substantial amendments to the aforementioned 2010, 2012, 2013 and 2014 Annual Action Plans, as amended, relating to the CDBG Program Grant Applications and the HOME Program Grant Applications: (1) decrease the amount of CDBG funds from the “Dangerous Building & Legal Department,” “Acquisition,” and “Relocation” projects/activities (-\$1,659,826.26), and increase the “Public Facilities and Improvements”/“Neighborhood Facilities” projects/activities (+\$1,659,826.26); (2) decrease the amount of HOME funds from the “Homebuyer Assistance” project activity (-\$1,522,164.00), and increase the “Multifamily Development” project/activity (+\$1,522,164.00); (3) recognize and allocate unscheduled Program Income that exceeded the estimated amounts from the 2012, 2013 and 2014 Annual Action Plans to (a) increase the CDBG budgets for the “Program Administration” (+\$339,957.17), “Public Services” (+271,965.74), and

“Public Facilities and Improvements (Public/Private)” (+\$543,931.47) projects/activities, and fund a new project/activity called “Interim Assistance” (+\$543,931.47) to support victims of recent storms and flooding and/or future disaster events that may occur; and (b) increase the HOME budgets for the “Planning and Administration” (+\$154,884.57) and “Multifamily Development” (+\$1,977,523.26) projects/activities; and (4) change the Scope of the “Economic Development” and “Multifamily Housing/Development” projects/activities to allow for Program Delivery Costs, which will provide more internal flexibility in managing administrative costs for the CDBG and HOME Programs under the 2012, 2013 and 2014 Grant Applications; and

**WHEREAS**, HCDD has publicized its intent to make substantial amendments to the 2010, 2012, 2013 and 2014 Annual Action Plans, as amended, relating to the CDBG Program and HOME Program Grant Applications, through a Public Notice in the Houston Chronicle dated June 6, 2015; and

**WHEREAS**, the public notice period expired on July 6, 2015, without any citizen’s comments relating to the proposed changes set forth in the substantial amendments; **NOW, THEREFORE**,

\* \* \* \*

**BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:**

**Section 1.** That the City Council hereby adopts the recitals set forth above.

**Section 2.** The City Council hereby authorizes and approves the substantial amendments to the 2010, 2012, 2013, and 2014 Annual Action Plans relating to the CDBG Program Grant Applications, as amended, and the HOME Program Grant Applications, as amended, in the manner indicated below:

<b>HOME Plan Year</b>	<b>Activity/Project</b>	<b>Action</b>	<b>Amount</b>
2012	Unscheduled/Unrecognized Program Income	Decrease	\$350,946.71
2012	Multi-Family Development Program (with Relocation Assistance)	Increase	\$350,946.71
2013	Homebuyer Assistance	Decrease	\$392,500.00
	Unscheduled/Unrecognized Program Income	Decrease	\$1,469,148.17

	Planning and Administration	Increase	\$135,210.79
	Multi-Family Development	Increase	\$1,726,437.38
2014	Homebuyer Assistance	Decrease	\$1,129,664.00
	Unscheduled/Unrecognized Program Income	Decrease	\$312,311.95
	Planning and Administration	Increase	\$19,672.78
	Multi-Family Development	Increase	\$1,422,303.17
	<b>Total Proposed HOME Reallocation</b>		<b>\$3,654,570.83</b>

<b><u>CDBG Plan Year</u></b>	<b><u>Activity/Project</u></b>	<b><u>Action</u></b>	<b><u>Amount</u></b>
2010	Dangerous Buildings & Legal Department	Decrease	\$129,851.27
	Public Facilities and Improvements (Community Facilities) Unallocated	Increase	\$129,851.27
2012	Acquisition	Decrease	\$1,479,774.99
	Neighborhood Facilities (Public/Private)	Increase	\$1,479,774.99
2013	Unscheduled/Unrecognized Program Income	Decrease	\$134,378.81
	Program Administration	Increase	\$26,875.76
	Public Services	Increase	\$21,500.61
	Public Facilities and Improvements (Public/Private)	Increase	\$43,001.22
	Interim Assistance (New)	Add	\$43,001.22
2014	Relocation	Decrease	\$50,200.00

Unscheduled/Unrecognized Program Income	Decrease	\$1,565,407.04
Public Facilities and Improvements (Public/Private)	Increase	\$551,130.25
Program Administration	Increase	\$313,081.41
Public Services	Increase	\$250,465.13
Interim Assistance (New)	Add	\$500,940.25
<b>Total Proposed CDBG Reallocation</b>		<b>\$3,359,612.11</b>

**Change in Scope – “Program Delivery” New Line Item Added (Amount TBD through Line Item Transfer Process – CPP)**

<u>Year</u>	<u>Grant</u>	<u>Activity/Project</u>	<u>New Line Item</u>
2012	CDBG	Multifamily Acquisition/ Rehabilitation/Relocation	Program Delivery
2012	CDBG	Economic Development Assistance Programs	Program Delivery
2013	CDBG	Multifamily Housing	Program Delivery
2013	CDBG	Economic Development	Program Delivery
2013	HOME	Multi-Family Development	Program Delivery
2014	CDBG	Multifamily Housing	Program Delivery
2014	HOME	Multi-Family Development	Program Delivery

**Section 3.** That by the substantial amendments outlined above, the City Council authorizes and approves the aforementioned reallocation of funds that will result in a current allocation of funds under the 2010, 2012, 2013 and 2014 Annual Action Plans, relating to the CDBG Program Grant Applications and HOME Program Grant Applications, as set forth under **Exhibit “A”** attached hereto and incorporated herein by reference.

**Section 4.** That in accordance with HUD regulations and the City's Citizen Participation

Plan, the City is required to amend funding components of the Plan when (1) an activity is added, (2) an activity is deleted, (3) there is a change in the scope of an activity, (4) a reallocation of funds increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget, or (5) there is a change in the purpose, location, scope, or beneficiaries of an activity, or when a priority has changed.

**Section 5.** That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

**Section 6.** That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the CDBG and HOME program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

- (1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and
- (2) that this document will serve as a transmittal to HUD in compliance with 24 CFR CFR §91.505(c), if applicable.

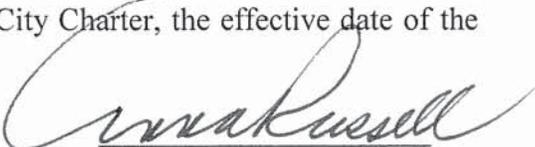
**Section 7.** That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 29<sup>th</sup> day of July, 2015.

ADOPTED this \_\_\_\_\_ day of \_\_\_\_\_, 2015.

\_\_\_\_\_  
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is AUG 0 4 2015.

  
\_\_\_\_\_  
City Secretary

(Prepared by Legal Dept.  
 (BJP/TE/07/07/15)  
 (Requested by Neal Rackleff, Director, Housing and Community Development Department  
 (L.D. File No.0291500032001)

*Barbara J. Prince* *GMJ*  
 Senior Assistant City Attorney

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AYE	NO	
✓		MAYOR PARKER
....	....	COUNCIL MEMBERS
✓		STARDIG
✓		DAVIS
✓		COHEN
✓		BOYKINS
✓		MARTIN
✓		NGUYEN
✓		PENNINGTON
✓		GONZALEZ
✓		GALLEGO
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		ROBINSON
✓		KUBOSH
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

## **EXHIBIT A**

PROJECTED USE OF FUNDS BUDGET FOR  
 THIRTY-SIXTH PROGRAM YEAR  
 July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>PUBLIC FACILITIES AND IMPROVEMENTS</b>					
B	Deluxe Theater	\$ 1,500,000.00		\$ 1,500,000.00	
I	Stanaker - Library	\$ 500,000.00		\$ 500,000.00	
ALL	Houston Police Department-Fondren	\$ 500,000.00		\$ 500,000.00	
I	Ibn Sina Clinic	\$ 1,200,000.00		\$ 1,200,000.00	
C	Korean Community Center	\$ 500,000.00		\$ 500,000.00	
	Schwartz Park	\$ 346,074.92		\$ 346,074.92	
	SPARKS Parks	\$ 350,000.00		\$ 350,000.00	
	Program Delivery Costs	\$ 300,000.00		\$ 300,000.00	
	Pro-Vision Charter School	\$ 200,000.00		\$ 200,000.00	
	Public Facilities and Improvements (Community Facilities) - Unallocated	\$ 356,913.58	\$ 129,851.27	\$ 486,764.85	
	Ft. Bend Senior Citizens Center	\$ 250,000.00		\$ 250,000.00	
	Star of Hope Womens and Family Emergency Shelter	\$ 300,000.00		\$ 300,000.00	
	Bering Omega	\$ 518,599.53		\$ 518,599.53	
	<b>SUB-TOTAL</b>	<b>\$ 6,821,588.03</b>	<b>\$ 129,851.27</b>	<b>\$ 6,951,439.30</b>	<b>19.43%</b>
<b>HOUSING</b>					
VARIOUS	Single Family Home Repair	\$ 2,460,354.00		\$ 2,460,354.00	
VARIOUS	Lead Based Paint	\$ 1,040,859.16		\$ 1,040,859.16	
VARIOUS	Single Family Home Repair Relocation	\$ 428,331.78		\$ 428,331.78	
VARIOUS	Rehabilitation Administration, Project Delivery	\$ 1,090,000.00		\$ 1,090,000.00	
	Multifamily Acquisition/Rehabilitation/Relocation	\$ 2,300,897.00		\$ 2,300,897.00	
	Homebuyer Assistance	\$ 184,997.00		\$ 184,997.00	
	<b>SUB-TOTAL</b>	<b>\$ 7,505,438.94</b>	<b>\$ -</b>	<b>\$ 7,505,438.94</b>	<b>20.98%</b>
<b>PUBLIC SERVICES</b>					
VARIOUS	Various Public Services	\$ 4,880,997.42		\$ 4,880,997.42	
VARIOUS	Emergency Shelter Grants (Match)	\$ 794,912.68		\$ 794,912.68	
	<b>SUB-TOTAL</b>	<b>\$ 5,675,910.10</b>	<b>\$ -</b>	<b>\$ 5,675,910.10</b>	<b>15.86%</b>

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 9  
 ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR  
 THIRTY-SIXTH PROGRAM YEAR  
 July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>PLANNING</b>					
VARIOUS	Planning	\$ 131,993.69		\$ 131,993.69	
	<b>SUB-TOTAL</b>	<b>\$ 131,993.69</b>	<b>\$ -</b>	<b>\$ 131,993.69</b>	<b>0.37%</b>
<b>ECONOMIC DEVELOPMENT*</b>					
VARIOUS	Economic Development Assistance Programs	\$ 4,749,482.15		\$ 4,749,482.15	
	<b>SUB-TOTAL</b>	<b>\$ 4,749,482.15</b>	<b>\$ -</b>	<b>\$ 4,749,482.15</b>	<b>13.27%</b>
<b>CLEARANCE</b>					
VARIOUS	Dangerous Building & Legal Department	\$ 3,561,066.56	\$ (129,851.27)	\$ 3,431,215.29	
VARIOUS	Code Enforcement	\$ 865,507.93		\$ 865,507.93	
	<b>SUB-TOTAL</b>	<b>\$ 4,426,574.49</b>	<b>\$ (129,851.27)</b>	<b>\$ 4,296,723.22</b>	<b>12.01%</b>
<b>PROGRAM ADMINISTRATION</b>					
N/A	CDBG Program Administration	\$ 6,160,708.19		\$ 6,160,708.19	
N/A	Other Departments Administration	\$ 308,226.41		\$ 308,226.41	
	<b>SUB-TOTAL</b>	<b>\$ 6,468,934.60</b>	<b>\$ -</b>	<b>\$ 6,468,934.60</b>	<b>18.08%</b>
	<b>TOTAL</b>	<b>\$ 35,779,922.00</b>	<b>\$ -</b>	<b>\$ 35,779,922.00</b>	<b>100.00%</b>

ESTIMATE OF THIRTY-SIXTH YEAR CDBG  
 PROGRAM INCOME

	Amount
<b>Sources of Program Income</b>	
Multi-Family Housing Loan Repayments	\$ 610,520.00
Small Business Revolving Loan Repayments	\$ 1,300,000.00
Palm Center Operations	\$ 1,100,000.00
Other Program Income	\$ -
<b>Sub-Total</b>	<b>\$ 3,010,520.00</b>
<b>Projected Use of Program Income</b>	
Small Business Revolving Loan Funds	\$ 1,300,000.00
Palm Center Operations	\$ 1,100,000.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration	\$ 610,520.00
<b>Sub-Total</b>	<b>\$ 3,010,520.00</b>

TOTAL FUNDING DOLLARS AVAILABLE FY 2011

Thirty-sixth Year CDBG Allocation	\$ 32,769,402.00
Estimated Program Income	\$ 3,010,520.00

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 9  
 ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR  
 THIRTY-SIXTH PROGRAM YEAR  
 July 1, 2010 through June 30, 2011

COUNCIL DISTRICT	CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>Total</b>				<b>\$ 35,779,922.00</b>

PROJECTED USE OF FUNDS BUDGET FOR  
 THIRTY-EIGHTH PROGRAM YEAR  
 July 1, 2012 through June 30, 2013

COUNCIL DISTRICT	CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>CDBG ACTIVITIES</b>				
Neighborhood Facilities (Public/Private)	\$ 2,913,226.44	\$ 1,479,774.99	\$ 4,393,001.43	
Retrofitting for Accessibility			\$ -	
Program Delivery Single Family Home Repair Program	\$ 1,000,000.00		\$ 1,000,000.00	
Relocation for Single Family Home Repair Program	\$ 357,402.10		\$ 357,402.10	
Lead-Based Paint Matching Grant - Transfer to Health Dept.	\$ 951,300.53		\$ 951,300.53	
Multifamily Acquisition/Rehabilitation/Relocation	\$ 4,721,353.00		\$ 4,721,353.00	
Economic Development Assistance Programs	\$ 2,421,376.00		\$ 2,421,376.00	
Program Delivery (Department of Neighborhoods (DON) - Code Enforcement)	\$ 2,791,483.00		\$ 2,791,483.00	
Program Delivery (Legal Services Supporting Code Enforcement)	\$ 198,408.66		\$ 198,408.66	
Program Delivery Cost (Procurement Legal & Audit Services)	\$ 250,000.00		\$ 250,000.00	
Acquisition	\$ 2,208,853.05	\$ (1,479,774.99)	\$ 729,078.06	
<b>SUB-TOTAL</b>	<b>\$ 17,813,402.78</b>	<b>\$ -</b>	<b>\$ 17,813,402.78</b>	<b>72.14%</b>
<b>PUBLIC SERVICES</b>				
Public Services Activities	\$ 4,196,059.32		\$ 4,196,059.32	
Public Services - ESG Match (1:1 – Includes In-Kind)	\$ 581,495.00		\$ 581,495.00	
<b>SUB-TOTAL</b>	<b>\$ 4,777,554.32</b>	<b>\$ -</b>	<b>\$ 4,777,554.32</b>	<b>19.35%</b>
<b>ADMINISTRATION</b>				
Legal Department (Transfer for Administration Costs)	\$ 260,232.49		\$ 260,232.49	
Coalition for the Homeless of Houston/Harris County (HMIS)	\$ 133,415.00		\$ 133,415.00	
Affirmatively Furthering Fair Housing Activity	\$ 150,000.00		\$ 150,000.00	
CDBG Program Administration to HCDD	\$ 4,395,226.51		\$ 4,395,226.51	
<b>SUB-TOTAL</b>	<b>\$ 4,938,874.00</b>	<b>\$ -</b>	<b>\$ 4,938,874.00</b>	<b>20.00%</b>
<b>TOTAL</b>	<b>\$ 27,529,831.10</b>	<b>\$ -</b>	<b>\$ 27,529,831.10</b>	<b>111.48%</b>
<b>PROJECTED THIRTY-EIGHTH CDBG AWARD - FY2013</b>				
Thirty-eighth Year CDBG Allocation			\$ 24,227,493.00	
Actual Program Income			\$ 3,302,338.10	
<b>Total</b>			<b>\$ 27,529,831.10</b>	

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 1  
 ORDINANCE NO:

**PROJECTED USE OF HOME FUNDS**  
**Allocation of Funds**  
 July 1, 2012 through June 30, 2013

<b>HOME Projects</b>	<b>Current Allocation</b>	<b>Reallocation</b>	<b>Current Revised Allocation</b>	<b>% of Current Allocation</b>
Planning and Administration (10% of Estimated Grant and Program Income)	\$ 803,099.00		\$ 803,099.00	9.58%
HOME CHDO Program Set-Aside (15% of HOME Allocation)	\$ 1,204,649.00		\$ 1,204,649.00	14.37%
Multi-Family Development Program (with Relocation Assistance)	\$ 5,623,246.00		\$ 5,623,246.00	67.09%
<sup>1</sup> - Multifamily Program Delivery Costs	\$ 400,000.00	\$ 350,946.71	\$ 750,946.71	8.96%
<b>Total</b>	<b>\$ 8,030,994.00</b>	<b>\$ 350,946.71</b>	<b>\$ 8,381,940.71</b>	<b>100.00%</b>

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 3  
 ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR  
 THIRTY-NINTH PROGRAM YEAR  
 July 1, 2013 through June 30, 2014

COUNCIL DISTRICT	CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>CDBG ACTIVITIES</b>				
<sup>1</sup> - Program Administration*	\$ 4,761,991.00	\$ 26,875.76	\$ 4,788,866.76	20.11%
<sup>1</sup> - Public Services**	\$ 3,442,929.00	\$ 21,500.61	\$ 3,464,429.61	14.55%
ESG Match (1:1 - Includes In-Kind)	\$ 550,000.00		\$ 550,000.00	2.31%
<sup>1</sup> - Public-Facilitied and Improvements (Public/Private)	\$ 4,191,199.60	\$ 43,001.22	\$ 4,234,200.82	17.78%
Single Family Housing	\$ 801,679.40		\$ 801,679.40	3.37%
Relocation	\$ 450,000.00		\$ 450,000.00	1.89%
Lead-Based Paint	\$ 975,000.00		\$ 975,000.00	4.09%
Multifamily Housing	\$ 1,500,000.00		\$ 1,500,000.00	6.30%
Economic Development	\$ 4,377,268.66		\$ 4,377,268.66	18.38%
Code Enforcement	\$ 2,708,535.00		\$ 2,708,535.00	
Acquisition	\$ 51,355.34	-	\$ 51,355.34	0.22%
<sup>1</sup> - Interim Assistance	\$ -	43,001.22	\$ 43,001.22	0.18%
<b>SUB-TOTAL</b>	<b>\$ 23,809,958.00</b>	<b>\$ 134,378.81</b>	<b>\$ 23,944,336.81</b>	<b>100.56%</b>

\*Program Administration up to 20% of Grant Amount + Projected Program Income

\*\*The City has been grandfathered with a rate of 16.77% instead of 15%

<sup>1</sup> - Funds in the amount of \$134,378.81 shown in the Reallocation column is a result of additional unrecognized Program Income earned in Grant Year 2013.

PROJECTED THIRTY-NINTH CDBG AWARD - FY2014	
Thirty-ninth Year CDBG Allocation	\$ 23,809,958.00
Estimated Program Income	\$ 95,056.00
<b>Total</b>	<b>\$ 23,905,014.00</b>

ESTIMATE OF THIRTY-NINTH YEAR CDBG PROGRAM INCOME	
<b>Sources of Program Income</b>	
Multi-Family Housing Loan Repayments	\$ 95,056.00
<b>Sub-Total</b>	<b>\$ 95,056.00</b>
<b>Projected Use of Program Income</b>	
Program Administration	\$ 19,011.00
Public Services	\$ 15,940.00
Public-Facilitied and Improvements (Public/Private)	\$ 60,105.00
<b>Sub-Total</b>	<b>\$ 95,056.00</b>

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 2  
 ORDINANCE NO:

**PROJECTED USE OF HOME FUNDS**  
**Allocation of Funds**  
 July 1, 2013 through June 30, 2014

HOME Projects	Current Allocation	Reallocation	Current Revised Allocation	% of Current Allocation
<sup>1</sup> - Planning and Administration*	\$ 721,986.00	\$ 135,210.79	\$ 857,196.79	9.87%
<sup>1</sup> - Multi-Family Development**	\$ 6,105,377.00	\$ 1,726,437.38	\$ 7,831,814.38	90.13%
Homebuyer Assistance	\$ 392,500.00	\$ (392,500.00)	\$ -	0.00%
<b>Total</b>	<b>\$ 7,219,863.00</b>	<b>\$ 1,469,148.17</b>	<b>\$ 8,689,011.17</b>	<b>100.00%</b>

HOME Funds	
2013 HOME Grant Award	\$ 7,022,142.00
2013 Actual Program Income	\$ 1,469,148.17
	<b>\$ 8,491,290.17</b>
Actual Program Income	
<b>Sources of Program Income</b>	
Multifamily Housing Loan Repayments/Fees	\$ 1,212,774.09
Single Family Loans	\$ 2,736.67
Miscellaneous Income	\$ 253,637.41
	<b>\$ 1,469,148.17</b>
<b>Uses of Program Income</b>	
Program Administration	\$ 135,210.79
Multifamily Development	\$ 1,333,937.38
	<b>\$ 1,469,148.17</b>

\*Planning and Administration up to 10% of Grant Amount + Projected Program Income

\*\*20% of CHDO set aside included

<sup>1</sup> - Funds in the amount of \$1,469,148.17 shown in the Reallocation column is a result of additional unrecognized Program Income earned in Grant Year 2013.

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 1  
 ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR  
 FORTIETH PROGRAM YEAR  
 July 1, 2014 through June 30, 2015

COUNCIL DISTRICT	CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
<b>CDBG ACTIVITIES</b>				
<sup>1</sup> - Program Administration*	\$ 4,586,845.00	\$ 313,081.41	\$ 4,899,926.41	20.58%
<sup>1</sup> - Public Services**	\$ 3,290,786.00	\$ 250,465.13	\$ 3,541,251.13	14.87%
ESG Match (1:1 - Includes In-Kind)	\$ 555,284.00		\$ 555,284.00	2.33%
<sup>1</sup> - Public-Facilitied and Improvements (Public/Private)	\$ 7,676,804.52	\$ 551,130.25	\$ 8,227,934.77	34.56%
Single Family Housing	\$ 1,227,683.48		\$ 1,227,683.48	5.16%
Relocation	\$ 50,200.00	\$ (50,200.00)	\$ -	0.00%
Lead-Based Paint	\$ 328,016.00		\$ 328,016.00	1.38%
Multifamily Housing	\$ 2,620,784.00		\$ 2,620,784.00	11.01%
Code Enforcement	\$ 2,597,830.00		\$ 2,597,830.00	10.91%
<sup>1</sup> - Interim Assistance	\$ -	\$ 500,930.25	\$ 500,930.25	2.10%
<b>SUB-TOTAL</b>	<b>\$ 22,934,233.00</b>	<b>\$ 1,565,407.04</b>	<b>\$ 24,499,640.04</b>	<b>102.90%</b>

\*Program Administration up to 20% of Grant Amount + Projected Program Income

\*\*The City has been grandfathered with a rate of 16.77% instead of 15%

<sup>1</sup> - Funds in the amount of \$1,565,407.04 shown in the Reallocation column is a result of additional unrecognized Program Income earned in Grant Year 2014.

<b>FORTIETH CDBG AWARD (PY-2014/FY-2015)</b>	
Fortieth Year CDBG Allocation (PY-2014/FY-2015)	\$ 22,747,420.00
Actual Program Income (PY-2014/FY-2015)	\$ 1,565,407.04
<b>Total</b>	<b>\$ 24,312,827.04</b>

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 1  
 ORDINANCE NO:

**PROJECTED USE OF HOME FUNDS**  
**Allocation of Funds**  
 July 1, 2012 through June 30, 2013

<b>HOME Projects</b>	<b>Current Allocation</b>	<b>Reallocation</b>	<b>Current Revised Allocation</b>	<b>% of Current Allocation</b>
Planning and Administration (10% of Estimated Grant and Program Income)	\$ 803,099.00		\$ 803,099.00	9.58%
HOME CHDO Program Set-Aside (15% of HOME Allocation)	\$ 1,204,649.00		\$ 1,204,649.00	14.37%
Multi-Family Development Program (with Relocation Assistance)	\$ 5,623,246.00		\$ 5,623,246.00	67.09%
<sup>1</sup> - Multifamily Program Delivery Costs	\$ 400,000.00	\$ 350,946.71	\$ 750,946.71	8.96%
<b>Total</b>	<b>\$ 8,030,994.00</b>	<b>\$ 350,946.71</b>	<b>\$ 8,381,940.71</b>	<b>100.00%</b>

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 2  
 ORDINANCE NO:

**PROJECTED USE OF HOME FUNDS**  
**Allocation of Funds**  
 July 1, 2013 through June 30, 2014

HOME Projects	Current Allocation	Reallocation	Current Revised Allocation	% of Current Allocation
<sup>1</sup> - Planning and Administration*	\$ 721,986.00	\$ 135,210.79	\$ 857,196.79	9.87%
<sup>1</sup> - Multi-Family Development**	\$ 6,105,377.00	\$ 1,726,437.38	\$ 7,831,814.38	90.13%
Homebuyer Assistance	\$ 392,500.00	\$ (392,500.00)	\$ -	0.00%
<b>Total</b>	<b>\$ 7,219,863.00</b>	<b>\$ 1,469,148.17</b>	<b>\$ 8,689,011.17</b>	<b>100.00%</b>

HOME Funds	
2013 HOME Grant Award	\$ 7,022,142.00
2013 Actual Program Income	\$ 1,469,148.17
	<b>\$ 8,491,290.17</b>
Actual Program Income	
<b>Sources of Program Income</b>	
Multifamily Housing Loan Repayments/Fees	\$ 1,212,774.09
Single Family Loans	\$ 2,736.67
Miscellaneous Income	\$ 253,637.41
	<b>\$ 1,469,148.17</b>
<b>Uses of Program Income</b>	
Program Administration	\$ 135,210.79
Multifamily Development	\$ 1,333,937.38
	<b>\$ 1,469,148.17</b>

\*Planning and Administration up to 10% of Grant Amount + Projected Program Income

\*\*20% of CHDO set aside included

<sup>1</sup> - Funds in the amount of \$1,469,148.17 shown in the Reallocation column is a result of additional unrecognized Program Income earned in Grant Year 2013.

PREPARED: July 10, 2015  
 APPROVED:  
 AMENDMENT: 1  
 ORDINANCE NO:

**PROJECTED USE OF HOME FUNDS**  
**Allocation of Funds**  
 July 1, 2014 through June 30, 2015

HOME Projects	Current Allocation	Reallocation	Current Revised Allocation	% of Current Allocation
<sup>1</sup> - Planning and Administration*	\$ 730,490.00	\$ 19,672.78	\$ 750,162.78	9.85%
<sup>1</sup> - Multi-Family Development**	\$ 5,444,754.00	\$ 1,422,303.17	\$ 6,867,057.17	90.15%
Homebuyer Assistance	\$ 1,129,664.00	\$ (1,129,664.00)	\$ -	0.00%
<b>Total</b>	<b>\$ 7,304,908.00</b>	<b>\$ 312,311.95</b>	<b>\$ 7,617,219.95</b>	<b>100.00%</b>

HOME Funds	
2014 HOME Grant Award	\$ 7,213,965.00
2014 Actual Program Income	\$ 312,311.95
	<b>\$ 7,526,276.95</b>

Actual Program Income	
<b>Sources of Program Income</b>	
Multifamily Housing Loan Repayments/Fees	\$ 62,750.00
Single Family Loans	\$ 900.00
Miscellaneous Income	\$ 248,661.95
	<b>\$ 312,311.95</b>
<b>Uses of Program Income</b>	
Program Administration	\$ 19,672.78
Multifamily Development	\$ 292,639.17
	<b>\$ 312,311.95</b>

\*Planning and Administration up to 10% of Grant Amount + Projected Program Income  
 \*\*15% CHDO Set Aside included

<sup>1</sup> - Funds in the amount of \$312,311.95 shown in the Reallocation column is a result of additional unrecognized Program Income earned in Grant Year 2014.

